RESERVE BANK INFORMATION AND TRANSFER SYSTEM

ESA Management User Guide

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DOCUMENT CHANGE HISTORY

Version	Date Completed	Comments
1.0	June 1998	Initial version (unnumbered)
1. <i>n</i>	2006 to April 2022	Various historical updates (unnumbered)
2.0	September 2024	Updates to include the migration of Automated Information Facility (AIF) messages to ISO 20022 format.
		'Document Change History' section added.

Overview

1. ESA MANAGEMENT

This user guide should be read together with the *Overview of Functionality*.

1.1 Overview

The ESA Management user guide provides details on functions in RITS (including the Fast Settlement Service (FSS)) for the management of liquidity and credit, operations at the Cash Account level, and for monitoring the RITS Balance, FSS Balance and overall ESA balance.

The following functions are available in relation to the RITS Balance:

- liquidity management is aided by setting an ESA Sub-Limit, Override ESA Statuses, and changing the ESA Statuses of transactions on the System Queue¹.
- Credit management is made possible by setting override Credit Statuses and by changing the Credit Status of transactions on the System Queue.
- Management at the Cash Account level is made possible by setting Cash Account Limits and Sub-Limits, override Cash Account Statuses and changing the Cash Account Status of transactions on the System Queue.

The following functions are available in relation to the FSS Balance:

- FSS Liquidity Management is supported by pre-setting Upper and Lower Trigger Points and a Reset Point to automatically allocate ES Funds between the RITS Balance and the FSS Balance.
- Account Sub-Limits and ESA, Credit and Cash Account statuses are not relevant to the management of the FSS Balance.

The overall ESA balance comprises the RITS Balance plus the FSS Balance. For ESA holders who do not participate in the FSS, the FSS Balance will be null, and the ESA balance will equal the RITS Balance. For FSS Participants, the management of the overall ESA balance will require the management of both the RITS Balance and the FSS Balance.

1.2 Glossary

Terminology and terms used in this document have the meaning as set out in the Glossary of the Overview of Functionality User Guide.

1.3 Available functions

ESA Management:

- **ESA Position** View ESA position and set the ESA Sub-Limit.
- Settled Payments View RITS settled payments.
- **Transaction Enquiry** View RITS transactions, including forward-dated SWIFT payments and RITS Allocation Transactions.
- **Res Summary** View aggregate positions in Reservation Batches.
- **SWIFT Enquiry** View SWIFT payment messages.
- **AIF Enquiry** View AIF MT messages for commands, enquiries and unsolicited advices.

¹ The System Queue in RITS in which all transactions are tested to ensure that paying Members have sufficient funds to allow settlement to proceed.

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- **AIF MX Enquiry** View AIF ISO 20022 messages for commands, enquiries and unsolicited advices.
- **Interest Accrued** View daily ESA interest accrual details.
- **Monthly Interest** View monthly ESA interest payments.

FSS:

- FSS Position View a summary of all FSS settlement activity transacted against the FSS Balance².
- Allocation Mgt View and change the Upper and Lower Trigger Points that control when Intraday Allocation Transfers are triggered. View and change a Reset Point value. Members can return the FSS Balance to the set Reset Point value using the **Return to Reset Point** function.
- **AT Enquiry** View the processing status of an Allocation Transfer and the completion status of the underlying legs of Allocation Transfers on the System Queue and the FSS.
- **Settled Payments** View all FSS settled payments (i.e. NPP transactions and FSS Allocation Transactions).
- **Rejected Messages** View Settlement Request messages received from the NPP that have been rejected or identified as duplicates by the FSS.
- **Recall RITS AT** Recall a RITS Allocation Transaction (for the first leg of an FSS Topup) from the System Queue.
- **FSS Notifications** Manage which FSS Notifications an organisation receives as well as who will receive them and via which channel, i.e. SMS and/or email.

ESA/Credit:

- **Queue Mgt** View the RITS transactions on the System Queue and manage by changing the ESA and/or Credit Statuses and/or LVSS Settlement Method of LVSS payments.
- **Override Status** Set the Payment Threshold, the Override ESA Below Threshold Status, the Override ESA Above Threshold Status, Credit Statuses and LVSS Settlement Method that are applied to payments as they enter the System Queue.
- **Bulk ESA Status** Change the ESA Statuses of many RITS payments in the one action. Also bulk defer and reinstate ESA Statuses.
- **Bulk Credit Status** Change the Credit Statuses of many RITS payments in the one action.
- **Bulk Settle Method** Change the LVSS Settlement Method of many LVSS transactions in one action.

LVSS:

- **Multilateral Position** View net settlement position of LVSS transactions eligible for multilateral settlement.
- **Bilateral Summary** View a summary of LVSS settlement positions by payment service and by other bank.
- Transaction Enquiry View a list of LVSS transactions and view details of a FSI.
- **Interest Enquiry** View details of LVSS clearing interest transactions, including multiple FSIs on which they were based.
- File Enquiry View LVSS messages, including FSIs, FRIs, and advices and responses.

 $^{^{\}rm 2}$ In this document FSS Balance refers to the balance in the Member's FSS Allocation.

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Overview

Cash Account:

- **Queue Mgt** View the RITS queued transactions of a branch and manage by changing the Cash Account Status and recalling RITS Cash Transfers.
- **Override Status** Set the override Cash Account Status that is applied to payments as they enter the System Queue.
- **Bulk Status** Change the Cash Account Status of many RITS payments in the one action.
- Limit Set a limit on a RITS Cash Account or turn limit processing off.
- **Sub-Limit** Set a Sub-Limit on a RITS Cash Account.
- **Enquiry** View RITS payments settled in Cash Accounts.

Targeted Offset:

- **Selection** Select RITS transactions for offset. See the Targeted Bilateral Offset User Guide for more information.
- **Management** Manage a TBO. See the Targeted Bilateral Offset User Guide for more information.

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Overview

1.4 RITS Menu

After logging in to RITS, the Main menu is displayed on the left-hand side of the screen. Select the **ESA Management** tab to expand the menu. Select **ESA/Credit**, **FSS**, **LVSS**, **Cash Account**, or **Targeted Offset** to further expand the menu.

 ▼ ESA Management ESA Position Settled Payments Transaction Enquiry Res Summary SWIFT Enquiry AIF Enquiry AIF Enquiry Interest Accrued
ESA Position Settled Payments Transaction Enquiry Res Summary SWIFT Enquiry AIF Enquiry AIF MX Enquiry Interest Accrued
Settled Payments Transaction Enquiry Res Summary SWIFT Enquiry AIF Enquiry AIF MX Enquiry Interest Accrued
Transaction Enquiry Res Summary SWIFT Enquiry AIF Enquiry AIF MX Enquiry Interest Accrued
Res Summary SWIFT Enquiry AIF Enquiry AIF MX Enquiry Interest Accrued
SWIFT Enquiry AIF Enquiry AIF MX Enquiry Interest Accrued
AIF Enquiry AIF MX Enquiry Interest Accrued
AIF MX Enquiry Interest Accrued
Interest Accrued
Monthly Interest
 ESA/Credit
Queue Mgt
Override Status
Bulk ESA Status
Bulk Credit Status
Bulk Settle Method
▼ FSS
FSS Position
Allocation Mgt
AT Enquiry
Settled Payments
Rejected Messages
Recall RITS AT
FSS Notifications
▼ LVSS
Multilateral Position
Bilateral Summary
Transaction Enquiry
Interest Enquiry
File Enquiry
 Cash Account
Queue Mgt
Override Status
Bulk Status
Limit
Sub-Limit
Enquiry
 Targeted Offset
Selection
Management
Cash Transfers
Manual FSI/FRI
Bulk FSI
▶ Batches
▶ Batch Admin
Batch Admin Member Admin
Batch Admin Member Admin
 Batch Admin Member Admin Messages and Info
 Batch Admin Member Admin Messages and Info Authorisations
 Batch Admin Member Admin Messages and Info Authorisations Reports

Overview

1.5 RITS function descriptions

RITS Function	Description
ESA Position	ESA holders can view their ESA Balance, RITS Balance and FSS Balance, Reserved Funds, Available RITS Balance, ESA Sub-Limit and RITS Active Balance, and access the function to change the ESA Sub-Limit.
	ESA holders can view their RITS obligations in Multilateral Runs, including the 9am Settlement.
	ESA holders can view details of their intraday RBA Repo and Open Standing Facility (SF) Repo transactions.
	ESA holders can view a summary of RITS queued payments, their ESA position following settlement of RITS queued transactions, and a calculated projected RITS ES funds surplus or shortfall. For ESA holders with Late Payments (i.e. Late DE and/or Late FSS obligations), the Calculated Net ESA position is available which is adjusted to take into account the Late Payments.
RITS Settled Payments	View settled payments, including completed RITS Allocation Transactions.
Transaction Enquiry	View all RITS transactions, including forward-dated SWIFT payments and RITS Allocation Transactions.
Reservation Batch Summary	View aggregate positions in Reservation Batches.
SWIFT Enquiry	View SWIFT Payment Messages sent in the SWIFT PDS.
AIF Enquiry	View AIF MT messages for commands, enquiries and unsolicited advices sent to/from RITS.
AIF MX Enquiry	View AIF ISO 20022 messages for commands, enquiries and unsolicited advices sent to/from RITS.
ESA Interest Accrued	View daily ESA interest accrual details, including components of the calculation, from the start of the previous calendar year to date.
Monthly ESA Interest	View monthly ESA interest payments from the start of the previous calendar year to date.

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RITS Function	Description	
FSS Position Summary	For the current day, FSS Participants can view a summary of their FSS settlement activity so far that day, including aggregate value and volume of settled NPP transactions, aggregate value and volume of completed FSS Allocation Transactions, and ESA/RITS/FSS Balances. View opening and closing ESA/RITS/FSS Balances and volume/value activity for the current date or any prior calendar date within the previous five RITS business days.	
FSS Allocation Management	View and change the Upper and Lower Trigger Points that control when intraday Allocation Transfers are triggered. View and change a Reset Point value. FSS Participants can select Return to Reset to the set Reset Point value.	
FSS Allocation Transfer Enquiry	View the processing status of an Allocation Transfer and the status of the underlying Allocation Transactions on the System Queue and the FSS.	
FSS Settled Payments	View all FSS settled payments (i.e. NPP transactions) and completed FSS Allocation Transactions.	
Rejected FSS Message Enquiry	View FSS rejected Settlement Request messages or those that have been identified as duplicates.	
Recall RITS Allocation Transaction	Recall a RITS Allocation Transaction (for the first leg of an FSS Top-up) from the System Queue.	
FSS Notification Maintenance	Manage which FSS Notifications an organisation receives as well as who will receive them and via which channel, i.e. SMS and/or email.	
ESA/Credit Queue Management	The management of ESA and Credit Statuses and LVSS Settlement Method of transactions on the System Queue.	
ESA/Credit Override Status	Set a Payment Threshold, an Override ESA Status to apply to RITS payments below this Threshold, an Override ESA Status above this Threshold or Credit Status or LVSS Settlement Method for RITS transactions from any (or all) sources (e.g. RITS Cash Transfers, Austraclear, SWIFT, etc.).	
Bulk ESA Status	Change the ESA Status of payments on the System Queue and new payments entering the System Queue. Also bulk defer and re-instate ESA Statuses.	

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Overview

RITS Function	Description
Bulk Credit Status	Change the Credit Status of RITS payments on the System Queue and new payments entering the System Queue.
Bulk Settle Method	Change the LVSS Settlement Method of LVSS transactions on the System Queue and new LVSS transactions entering the System Queue.
Multilateral Position	View the net settlement position of LVSS transactions that are eligible for multilateral settlement in the current LVSS Multilateral Run (displayed only while the Multilateral Run is testing) and the next LVSS Multilateral Run.
Bilateral Summary	View a summary of LVSS transactions by Payment Service and by other bank. For each Payment Service and other bank, it is possible to see the total value of FSIs sent to RITS and, separately, the total value of FSIs that were sent to RITS by the other bank.
Transaction Enquiry	View individual LVSS transactions, including full details of the FSI (excluding the ESA, Credit and Cash Account statuses).
Interest Enquiry	View details of settled LVSS clearing interest transactions, including the multiple FSIs on which they were based.
File Enquiry	View the full message content of File Settlement Instructions and File Recall Instructions sent to RITS and File Settlement Advices, File Settlement Responses and File Recall Responses sent from RITS, including XML format.
Cash Account Queue Management	The management of the Cash Account Status of transactions on the System Queue and the recall of RITS Cash Transfers from the System Queue.
Cash Account Override Status	Set an override Cash Account Status for transactions from any (or all) sources (e.g. RITS, Austraclear, SWIFT, etc.).
Cash Account Bulk Status	Change the Cash Account Status of payments on the System Queue and new payments entering the System Queue.
Cash Account Limit	Set a Cash Account Limit, turn limit processing on or off or set a deferral block on a RITS Cash Account.

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Overview

RITS Function	Description
Cash Account Sub-Limit	Set RITS Cash Account Sub-Limits.
Cash Account Enquiry	View a Member's settled transactions against individual RITS Cash Accounts.
Targeted Offset Selection	Initiate a TBO by selecting eligible transactions to include in the TBO. For details on this function, see <i>TBO User</i> <i>Guide</i> which is available on the <i>RITS Information Facility</i> .
Targeted Offset Management	Confirm or delete a TBO, or view the details of the individual transactions in a TBO. For details on this function, see <i>TBO User Guide</i> which is available on the <i>RITS Information Facility</i> .

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2. ESA POSITION

2.1 Key points

This screen allows the ESA manager to view all relevant information relating to a Member's overall ESA position.

The main panel shows the following details:

- current overall ESA Balance, RITS Balance and FSS Balance. Note that Members that are not FSS Participants will have null FSS Balance and an ESA Balance equal to their RITS Balance. For Members that are FSS Participants the current ESA Balance will be the sum of the RITS Balance and FSS Balance;
- Information relating to the management of the RITS balance, including: Reserved Funds, Available RITS Balance, ESA Sub-Limit and RITS Active Balance;
- amount to be credited/debited to the ESA in an LVSS Multilateral Run;
- projected Available RITS Balance after posting the Multilateral Run settlement (i.e. sum of the current Available RITS Balance and the Current LVSS MR Position). Note – the Current LVSS MR Position and the Projected Available RITS Balance after MR Posting are only displayed while a Multilateral Run is in progress;
- value of first and second leg Intraday RBA Repos completed on the current settlement day (including reversals of Overnight RBA Repos outstanding from the previous day, if any);
- forecast/final indicative position for the current/earlier dates, comprising the sum of the Overnight Position in Open Standing Facility (SF) Repos contracted at an interest rate equal to the rate on surplus ES balances or the minimum ESA balance requirement (whichever is greater) and the value of Open SF Repos contracted at an interest rate equal to the rate on surplus ES balances completed on the current settlement day;
- calculated Net ESA Position, Calculated Net ESA Position (Adjusted for Late Payments) and Projected ESA Surplus/Shortfall; and
- a link to the function to change the ESA Sub-Limit.

Note: Due to the real-time nature of the system, transactions may have arrived/settled during the calculation of each of these totals. Therefore, aggregates displayed on this page may not be synchronised.

The Calculated Net ESA Position Details panel shows the components of this calculation: current (total) ESA Balance, plus the System Queue's queued and Reserved inward payments, less the System Queue's queued and Reserved outwards payments less outstanding 2nd leg Intraday RBA Repos (the Queued Out figure is adjusted to avoid double counting of 2nd leg Intraday RBA Repos that are on the System Queue).

The Calculated Net ESA Position Details (Adjusted for Late Payments) panel shows the components of this calculation: ESA balance less any settled Late DE transactions and settled Late FSS Transactions, plus the System Queue's queued and Reserved inward payments excluding queued inward Late DE, less queued and Reserved outward payments excluding queued outward Late DE (adjusted to avoid double counting of 2nd leg Intraday RBA Repos that are on the System Queue), less outstanding 2nd leg Intraday RBA Repos.

ESA Position

2.2 ESA Position screen

Select **ESA Position** from the **ESA Management** tab on the menu. The following screen is displayed.

ESA Position							
ESA Balance							
ESA Balance \$8,846,7	07,829.99	Bal	RITS ance	\$8,	844,207,828.75	FSS Balance	\$2,500,001.24
RITS E	Balance				L	/SS Multilateral R	tun (MR)
RITS Balance	\$8,844,207	,828.75					
Reserved Funds	\$0.00				Only displayed	d when LVSS Multila	ateral Run in progress
Available RITS Balance	\$8,844,207	,828.75					
ESA Sub-Limit	None set		Chang ESA Su	<u>e</u> b-			
RITS Active Balance	\$8,844,207	,828.75	<u>Limit</u>				
Intraday RBA Repos Completed Today			Forec	ast/Final Indicati	ive Position ²		
	First Leg	\$0.00			Ον	ernight Position	\$83,359,755.23
Se	cond Leg ¹	\$0.00			Co	mpleted Today ³	\$0.00
Current Ne	et Position	\$0.00			Ind	licative Position	\$83,359,755.23
		E	SA Pos	ition	Summary		
Calculated Net ES	A Position	\$8,846,707,	829.99		Calculated I (Adjusted for	Net ESA Position Late Payments)	\$8,846,707,829.99
					Su	Projected ESA rplus/Shortfall ⁴	\$8,763,348,074.76
¹ Figures for outstanding Intraday RBA Repos will be misleading if there are overnight repos carried forward from the previous day. ² Forecast Indicative Position is for the current date. Final Indicative Position is for earlier dates.							
³ In certain circumstances, this value may lead to an inaccurate Indicative Position being displayed. This may occur when the settlement consideration for transactions 'Completed Today' is not the same as the change to the Open SF Repo position, or when the amount of Open SF Repos held moves above or below the ESA minimum balance requirement.							

⁴ 'Calculated Net ESA Position (Adjusted for Late Payments)' less 'Forecast/Final Indicative Position'. See footnote 3.

ESA Position

Calculated Net ESA Po	ositi	on Details						()
Calculated Net ESA Position	=	ESA Balance	+	Queued In ⁵	-	Queued Out ⁵	-	Outstanding 2nd leg Intraday RBA Repos
\$8,846,707,829.99		\$8,846,707,829.99		\$0.00		\$0.00		\$0.00
(No. of transactions)				0		0		
⁵ Queued In and Queu	ed O	ut include Reservation I	Batch	transactions.				
Calculated Net ESA Po	ositi	on Details (Adjusted I	for La	ate Payments)				6
Calculated Net ESA Position	=	ESA Balance	+	Queued In ⁵	-	Queued Out ⁵	-	Outstanding 2nd leg Intraday RBA Repos
\$8,846,707,829.99		\$8,846,707,829.99		\$0.00		\$0.00		\$0.00
(No. of transactions)				0		0		
Late DE	=	Settled Late DE	+	Queued In Late DE	-	Queued Out Late DE		
\$0.00		\$0.00		\$0.00		\$0.00		
(No. of transactions)				0		0		
Late FSS	=	Settled Late FSS						
\$0.00		\$0.00						
Calculated Net ESA Position (Adjusted for Late Payments)	=	Adjusted ESA Balance	+	Adjusted Queued In ⁵	-	Adjusted Queued Out ⁵	-	Outstanding 2nd leg Intraday RBA Repos
\$8,846,707,829.99		\$8,846,707,829.99		\$0.00		\$0.00		\$0.00
(No. of transactions)				0		0		
⁵ Queued In and Queued Out include Reservation Batch transactions.								

2.2.1 ESA Position details

Field

Description

ESA Balance panel

The ESA Balance is the total current value of funds in the ESA.

ESA Balance	The ESA Balance is the total current value of funds in the ESA. The ESA Balance equals the sum of the Member's RITS Balance and FSS Balance. For non-FSS Participants the ESA balance is always equal to the RITS Balance.
RITS Balance	The RITS Balance is the Member's current value of ESA funds held in RITS for the settlement of transactions on the System Queue.
FSS Balance	The FSS Balance is the Member's FSS Balance as last retrieved from the FSS, available to settle transactions in the FSS. Note that this field will be blank if the Member is not an FSS Participant.

RITS Balance panel

Displays details of the current RITS Balance.

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ESA Position

Field	Description
RITS Balance	The RITS Balance is the Member's current value of ESA funds held in RITS for the settlement of transactions on the System Queue.
Reserved Funds	Funds that have been quarantined in the ESA of paying Members in a Reservation Batch for settlement of Reservation Batches and that cannot be used for settlement of other transactions.
ESA Sub-Limit	Displays the current ESA Sub-Limit.
RITS Active Balance	Displays the balance available for RITS Transactions with active ESA Status. The difference between the Available RITS Balance and the ESA Sub-Limit.
Change ESA Sub- Limit	Select Change ESA Sub-Limit to access the change ESA Sub- Limit screen. This link is only available to users who have been allocated the <i>ESA Sub-Limit – Set Sub-Limit</i> role.

LVSS Multilateral Run (MR) panel

This panel displays details of LVSS Multilateral Run obligations and projected Available RITS Balance.

Details are only displayed when a Multilateral Run is being tested. If no Multilateral Run is being tested, the following text displays: "Only displayed when LVSS Multilateral Run in progress".

Current LVSS MR Position	This is the multilateral net obligation arising from the Member's LVSS transactions (and associated clearing interest, if relevant) that are selected for settlement in the current Multilateral Run
Projected Available	This is the sum of the Member's current Available RITS Balance
RITS Balance after	and their Current LVSS MR Position. This value displays in red
MR Posting	if the amount is negative.

Intraday RBA Repos Completed Today panel

This panel displays details of intraday RBA Repos.

First Leg	This panel displays details of intraday RBA Repos. The sum of new intraday RBA Repos initiated today.
Second Leg	The sum of all intraday RBA Repos reversed so far today, including any RBA Repos from the previous day that were taken overnight and have been unwound today.
Current Net Position	The net of First Leg and Second Leg – this is the amount of intraday RBA Repos that are still outstanding (unless overnight RBA Repos have been unwound).

ESA Position

Field

Description

Forecast/Final Indicative Position panel

Displays details of Open SF Repos contracted at an interest rate equal to the rate on surplus ES balances. The forecast indicative position is for the current date; the final indicative position is for earlier dates.

Overnight Position	The greater of i) the minimum ESA balance requirement or ii) the sum of the cash value of the first leg(s) of Open SF Repos contracted at an interest rate equal to the rate on surplus ES balances taken out prior to the current day.
Completed Today	The net cash value of all transactions related to Open SF Repos contracted at an interest rate equal to the rate on surplus ES balances that have been settled so far on the current day.
Indicative Position	The sum of Overnight Position and Completed Today. In certain circumstances, the 'Completed Today' value may lead to an inaccurate 'Indicative Position' being displayed. For example, this may occur when the settlement consideration for transactions 'Completed Today' is not the same as the change to the Open SF Repo position, or when the amount of Open SF Repos held moves above or below the minimum ESA balance requirement.

ESA Position Summary panel

This panel shows the Members projected ESA position.

Calculated Net ESA Position	The current RITS Balance plus all queued and Reserved inwards payments in the System Queue less all queued and Reserved outwards payments on the System Queue, and less any outstanding 2nd leg Intraday RBA Repos.
Calculated Net ESA Position (Adjusted for Late Payments)	The Calculated Net ESA Position excluding all queued and settled Late DE and settled Late FSS Transactions.
Projected ESA Surplus/Shortfall	The Calculated Net ESA Position (Adjusted for Late Payments) less the Indicative Position.

ESA Position

Field

Description

Calculated Net ESA Position Details panel

Click on the arrow on the right hand side to view this panel. This panel displays the components of the Calculated Net ESA Position.

Calculated Net ESA Position	ESA Balance + Queued In – Queued Out – Outstanding 2nd leg Intraday RBA Repos.
Queued In	Displays the total amount and number of credit transactions on the System Queue (including those LVSS transactions that are part of a multilateral run currently in progress and batches in status of Reserved where the viewing Member is a payee). Also includes credit RITS Allocation Transactions for the Member if present on the Queue.
Queued Out	Displays the total amount and number of debit transactions on the System Queue (including those LVSS transactions that are part of a multilateral run currently in progress and batches in status of Reserved where the viewing Member is a payer. Also includes debit RITS Allocation Transactions for the Member if present on the Queue, but excludes queued 2nd leg Intraday RBA Repos.
Outstanding 2nd leg Intraday RBA Repos	After all intraday RBA Repos have been reversed for the day, a residual amount may display for Outstanding 2nd leg Intraday RBA Repos. This amount reflects fees that may be included in RBA Repos conducted in the Austraclear TRADE function.

Calculated Net ESA Position Details (Adjusted for Late Payments) panel

Click on the arrow on the right hand side to view this panel.

This panel displays the components of the Calculated Net ESA Position (Adjusted for Late Payments).

Calculated Net ESA Position	ESA Balance + Queued In - Queued Out - Outstanding 2 nd leg Intraday RBA Repos.
Late DE	Settled Late DE + Queued In Late DE - Queued Out Late DE.
Settled Late DE	Displays those settled DE transactions that arrived on the System Queue after 4.45 pm.
Queued In Late DE	Displays the number and value of credit DE transactions that arrived on the System Queue after 4.45 pm.
Queued Out Late DE	Displays the number and value of debit DE transactions that arrive on the System Queue after 4.45 pm.
Late FSS	Displays the net value of the Member's settled Late FSS Payments (received via the NPP)

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ESA Position

Field	Description
Settled Late FSS	Displays the net value of the Member's settled Late FSS Payments. This is always identical to Late FSS, as the FSS has no queued payments.
Calculated Net ESA Position (Adjusted for Late Payments)	Adjusted ESA Balance + Adjusted Queued In – Adjusted Queued Out – Outstanding 2 nd leg Intraday RBA Repos.
Adjusted ESA Balance	ESA Balance - Settled Late DE - Settled Late FSS.
Adjusted Queued In	Queued In - Queued In Late DE.
Adjusted Queued Out	Queued Out - Queued Out Late DE

2.3 Setting or changing an ESA Sub-Limit on the RITS Balance

Select Change ESA Sub-Limit on the ESA Position screen. The screen below is displayed:

Update ESA Sub-Limit	J.
Bank Account	092-002-812782
ESA Limit	\$0.00
Current ESA Sub-Limit	\$9,000,000.00
New ESA Sub-Limit	\$8,000,000.00
Submit	Cancel

2.3.1 Update ESA Sub-Limit details

Field	Description
Bank Account	Displays the ESA BSB and account number.
ESA Limit	Displays a 0.00 balance to indicate that no over-drawings are allowed.
Current ESA Sub- Limit	Displays the current ESA Sub-Limit.
New ESA Sub- Limit	Enter the new ESA Sub-Limit (must be greater than or equal to 0.00 and less than 10 billion). Abbreviations k, t, m and b are accepted (e.g. $1m = 1,000,000.00$)

ESA Management

ESA Position

2.3.2 Actions

Button	Description
Submit	Select Submit to enter the new ESA Sub-Limit.
Cancel	Select Cancel to return to the ESA Position screen.
Printer Icon	Select the Printer Icon to print the page.

2.3.3 Update ESA Sub-Limit notification

The following notification is displayed for a successful update.

Update ESA Sub-Limit Notification
The amendment to the ESA Sub-Limit has been successfully submitted
Close

Select **Close** to return to the **ESA Position** screen.

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RITS Settled Payments

3. RITS SETTLED PAYMENTS

3.1 Key points

- The **RITS Settled Payments** enquiry displays intrabank and interbank settled transactions from RITS, SWIFT, Austraclear, CHESS Batch, Mastercard Batch, eftpos Batch, Property Settlement Batches, LVSS (including clearing interest transactions) and CHESS-RTGS, and completed RITS Allocation Transactions.
- Displays payments for the current RITS business day and for the previous five RITS business days.
- Records may be filtered on a wide range of criteria.
- Use the filters to refine searches for data.
- A limit of 10,000 transactions applies when **Find** is used. If the search exceeds 10,000 records the search is terminated and a message is displayed.
- Data can be downloaded to EXCEL using **Find** and **Export**. The transaction limit does not apply here. It is recommended that large data demands be downloaded and analysed in EXCEL.
- The transaction record limit also does not apply to **Find Totals**.
- Use **Find Last 100** to return the most recent 100 records (ignores the filters). Export is not available.
- View summary aggregates of inward and outward settled payments based on the filter criteria used.

3.2 RITS Settled Payments screen

Select **Settled Payments** from the **ESA Management** tab on the menu. The following screen is displayed.

RITS Settled Payme	ents		S.
Enquiry Filter			8
Own Branch Please Select ✔	Other Bank	Inter/Intra All	Settlement Date 06-Jan-2022 V
Source All V Batch All	Payt Service	All 💙 Msg Type	✓ Direction Both ✓
Amount From	Amount To	Ext TRN	Trans ID
		Time Se	ttled From 00 00 00 To
		Find Last 100	Find Totals Find Clear Export

3.2.1 Filter criteria for RITS Settled Payments

Field	Description	
Own Branch	Allows a user to filter at the branch level, listing all branches of the Member. An <i>All</i> option is also provided.	
Other Bank	Enter the counterparty's four character mnemonic in this auto- populate field.	
Inter/Intra	Defaults to All. Select Intrabank or Interbank. Selection of 'Intra' will display results that include completed RITS Allocation Transactions.	

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RITS Settled Payments

Field	Description			
Settlement Date	Defaults to the current date. Select either the current date or up to five business days previous.			
Source	Defaults to All. Select All or S (SWIFT), A (Austraclear), R (RITS), C (CHESS), B (Batches), L (LVSS) or T (RITS Allocation Transactions) to view transactions by source.			
Batch	Select the batch stream or All. Only available if B' is selected in Source field.			
Payt Service	Select the LVSS payment service or <i>All</i> . Only available when <i>L</i> is selected in the Source field.			
Msg Type	Select either SWIFT message type $103/pacs.008$, $202/pacs.009$ or pacs.004. Only available when S is selected in the Source field.			
Direction	Defaults to Both. Select In (inward payments), Out (outward payments) or Both (all payments). For RITS Allocation Transactions, if 'In' is selected the Tran Type 'FSSWD' will be displayed and if 'Out' is selected, the Tran Type 'FSSTU' will be displayed.			
Amount From	Enter an amount up to \$10 billion or leave blank to set no minimum amount. This filter works in conjunction with Direction. Example: To find outgoing payments of more than \$1 million, enter \$1,000,000 in Amount From and <i>Out</i> in Direction.			
Amount To	Enter an amount up to \$10 billion or leave blank to set no maximum amount. This filter works in conjunction with Direction. Example: To find outgoing payments up to \$10 million, enter \$10,000,000 in Amount To and <i>Out</i> in Direction. The Amount From and Amount To filters can also be used together to specify a range for the payment amounts.			
Ext TRN	Filter by the External Transaction ID/Instruction ID/Return ID of the transaction. For RITS transactions and for RITS Allocation Transactions the Ext TRN is the RITS Trans ID with the prefix RITS. Entering an Ext TRN in the filter criteria limits the search to that entry; when used in conjunction with the Settlement Date filter, all other filter criteria are ignored.			
Trans ID	Filter by a RITS Transaction ID. Entering a Trans ID in the filter criteria limits the search to that entry. All other filter criteria are ignored.			
Time Settled – From	Defaults to 06:00:00. Enter a time in the format hh:mm:ss.			
Time Settled – To	Enter a time in the format hh:mm:ss. No entry is required to get the latest payments.			
Export	Select the tick box to download transaction records into an Excel spreadsheet, based on the filter criteria entered.			

ESA Management

RITS Settled Payments

3.2.2 Actions

Button	Description
Find Last 100	Select Find Last 100 to return the 100 most recently settled transactions. This option ignores the filters. It is not possible to export with this option.
Find Totals	Select Find Totals to display only the aggregate number and value of inward and outward settled payments, based on the filter criteria selected. No transaction records limits apply.
Find	Select Find to display a list of settled transactions based on the filter criteria selected. The aggregate noted above are also displayed. Searches are limited to 10,000 records. If the search covers more than 10,000 records, the search is terminated and a message is displayed. If this occurs, refine the search using the filters provided, or download the data using Export and Find . The transaction limit does not apply to data requests using Export.
Export	Select Export and Find to 'export' a list of transactions to an Excel spreadsheet, based on the filter criteria selected. No transaction records limits apply.
Clear	Select Clear to reset all fields to their default state. Any listed transactions are also cleared.
Printer Icon	Select the Printer Icon to print the page.

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RITS Settled Payments

3.3 Finding transactions in RITS Settled Payments

Once the appropriate filter criteria have been entered in the **RITS Settled Payments** screen, select **Find**. The following screen is displayed.

RITS Sett	led Paym	ents					Ś
Enquiry Filter							۲
Own Branch All	~	Other Bank		Inter/Intra All	~	Settlement	Date 18-Feb-2022 V
Source All 🗸	Batch All	✓ Pa	ayt Service Al	Msg Type	e	✓ Direction Bo	th 🗸
Amount From		Amount To		Ext TRN		Tra	INS ID
					Tin	e Settled From 00 00	00 то
				Find	Last 100	Find Totals Fine	Clear Export
<u>Summary</u>							8
				Amou	unt No.		
			In	\$9,900,000,000.	00 1		
			Out	\$0.	00 00		
Net \$9,900,000.00							
					2	Records found [First]	Previous] 1 [Next Last]
Tran Type T	ïme Rec	Time Settled ≑	Other Bank 💠	Own Branch	Method 🗘	Amount 🔶	RITS Balance 🌲
RTEOD	00:00:00	00:00:00		RBV12FE		\$0.00	\$0.00
FSSWD	01:28:14	01:28:14	RBV1	RBV12FS		\$9,900,000,000.00	\$9,900,000,000.00

3.3.1 Summary headings

Field	Description
In	Displays the sum and number of settled receipts (and completed FSSWD RITS Allocation Transactions, if relevant).
Out	Displays the sum and number of settled payments (and completed FSSTU RITS Allocation Transactions, if relevant).
Net	Displays the net sum and number of settled transactions and completed RITS Allocation Transactions (if relevant).

The summary panel can be minimised by clicking on the arrow indicator on the right hand side of the panel.

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RITS Settled Payments

3.3.2 List headings

Field	Description
Tran Type	Displays the transaction type of the payment, (e.g. ACPAY, ACREC, BCHPY, BCHRC, CASHP, CASHR, CHPAY, CHREC, FSSWD, FSSTU, SWPAY, SWREC, LVPAY, LVREC or RTEOD).
Time Rec	Displays the time the System Queue received the payment. ESA interest payments, and warehoused RITS Cash Transfers, SWIFT payments and LVSS transactions, are placed on the System Queue during overnight processing, usually at around 02:30. Column can be sorted in ascending or descending order.
Time Settled	Displays the time the System Queue settled the payment. Column can be sorted in ascending or descending order.
Other Bank	Displays the counterparty Member mnemonic (<i>blank</i> if the transaction is part of a multilateral batch). The Member's own RITS mnemonic is displayed for a RITS Allocation Transaction. Column can be sorted in ascending or descending alphabetical order.
Own Branch	Displays the mnemonic of your branch in the transaction.
Method	Displays A if the transaction has been settled by Auto Offset, T if the transaction has been settled by TBO and M if the Transaction has been settled in an LVSS Multilateral Run. Column can be sorted in ascending or descending order.
Amount	Displays the payment amount. Column can be sorted in ascending or descending order. Payments are shown in red with a negative sign. Receipts are shown in black with no sign.
RITS Balance	Displays the RITS Balance at the time the payment is settled. Column can be sorted in ascending or descending order. Where payments are settled simultaneously (e.g. auto-offset), the RITS Balance that resulted from the settlement of all of the payments in the group is displayed beside each payment.

3.3.3 Actions

Button	Description
Select a transaction	Select a transaction by clicking on it to open the Transaction Details screen.
Printer Icon	Select the Printer Icon to print the page.

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RITS Settled Payments

3.4 Viewing individual transaction details

To view the details of a transaction, select the transaction by clicking on it in the RITS **Settled Payments** screen (see previous section). The example shown below is a RITS payment.

Transaction D	etails					Ś
Member	BQLQ2E	Other Member	ROYCLC	Amount	-\$366,891.	40
Trans ID	12500119				LVSS	
Ext TRN	BQLQ2830926			LVSS Sett	le Method	Multilateral
BIN				Paymer	nt Service	BECN
Tran Type	LVPAY			Clearing De	escription	BECN123
Status	Complete					
Settlement Date	02-Sep-2013					
Time Received	08:17:43					
Time Settled	08:26:28					
		< Prev Nex	d> Close			

3.4.1 Individual transaction detail headings

Field	Description
Member	Displays the branch in which the transaction is recorded.
Other Member	Displays the counterparty's branch.
Amount	Displays the amount of the settled payment. Payments are shown in red with a negative sign. Receipts are shown in black with no sign.
Trans ID	Displays the Transaction ID that is assigned by RITS.
Ext TRN	Displays the External Transaction ID/Instruction ID/Return ID allocated by the paying Member's system for SWIFT and Austraclear payments. For RITS transactions (including RITS Allocation Transactions) the Ext TRN is the RITS Trans ID with the prefix RITS.
BIN	Displays Batch Identification Number, if applicable.
Tran Type	Displays the transaction type of the settled payment. A full list of Transaction Types is available in section 4.2.1 of this user guide.
Status	Displays the status of the payment.
Settlement Date	Displays the date of settlement.

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RITS Settled Payments

Field	Description				
Time Received	Displays the time the System Queue received the payment. ESA interest payments, warehoused RITS Cash Transfers, SWIFT payments and LVSS transactions are placed on the System Queue during overnight processing, usually at around 02:30.				
Time Settled	Displays the time the payment was settled.				
Pay BIC	Displays the SWIFT BIC of the paying Member. Only visible for SWIFT transactions.				
Rec BIC	Displays the SWIFT BIC of the receiving Member. Only visible for SWIFT transactions.				
Msg Type	Displays the SWIFT message type. Only visible for SWIFT transactions.				
Orig. Msg Type	Displays the original SWIFT message type of the payment return sent via the ISO 20022 CUG of the SWIFT PDS. Only visible for SWIFT messages sent through the ISO 20022 CUG.				
ACLR Feeder Tran Type	Displays the Austraclear transaction type. Only visible for Austraclear transactions.				
Rec Client ID	Displays the Austraclear Client mnemonic of the receiving Member. Only visible to receiving Member's bank for Austraclear transactions.				
Rec Client Account	Displays the bank account used by the receiving Member in Austraclear. Only visible to receiving Member's bank for Austraclear transactions.				
Pay Client ID	Displays the Austraclear Client mnemonic of the paying Member. Only visible to paying Member's bank for Austraclear transactions.				
Pay Client Account	Displays the bank account used by the paying Member in Austraclear. Only visible to paying Member's bank for Austraclear transactions.				
LVSS Settle Method	Displays the LVSS Settlement Method of the transaction. Only displays for LVSS transactions.				
Payment Service	Displays the Payment Service of the transaction. Only displays for LVSS transactions.				
Clearing Description	Displays the Clearing Description of the transaction. Only displays for LVSS transactions. For LVSS clearing interest transactions, this field is blank.				

ESA Management

RITS Settled Payments

3.4.2 Actions

Button	Description
Prev	Select Prev to view the details of the previous transaction.
Next	Select Next to view the details of the next transaction.
Close	Select Close to close the Transaction Details screen and return to the list of filtered transactions on the Settled Payments screen.
Printer Icon	Select the Printer Icon to print the page.

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4. TRANSACTION ENQUIRY

4.1 Key points

- The **Transaction Enquiry** displays all of the RITS transactions and RITS Allocation Transactions of the Member submitted to RITS for testing and settlement on the System Queue.
- This includes forward dated SWIFT payments and LVSS transactions.
- Transactions may be undergoing limits testing, completed, recalled or unsettled at end of day.
- Display transactions for the current RITS business day and for the previous five RITS business days.
- Filter is available on a wide range of criteria.
- Use the filters to refine searches for data.
- Each enquiry lists 100 transactions on each page. Page numbers are shown when more than one set of 100 transactions have been returned.
- A limit of 10,000 transactions applies when **Find** is used. If the search exceeds 10,000 records the search is terminated and a message is displayed. Users are advised to refine searches where possible.
- Data can be downloaded to EXCEL using **Find** and **Export**. The transaction limit does not apply here. It is recommended that large data demands are downloaded and analysed in EXCEL.

4.2 Transaction Enquiry screen

Select **Transaction Enquiry** from the **ESA Management** tab on the menu.

Transaction Enquiry
Enquiry Filter
Own Branch Please Select V Tran Type All Status Please Select V
Source All V Batch All V Payt Service All V Msg Type V Direction Both V
Amount Ext TRN Trans ID Settlement Date 06-Jan-2022 V
View Forward Dated Payments Find Clear Export

4.2.1 Filter Criteria for Transaction Enquiry screen

Field	Description
Own Branch	Allows a user to filter at the branch level, listing all RITS branches of the Member. An <i>All</i> option is also provided.

Transaction Enquiry

Field	Description					
Tran Type	 Filter a search on the following Transaction Types: <i>ALL</i>: all transactions are displayed <i>ACLR</i>: Austraclear feeder system transactions <i>BATCH</i>: Batch transactions <i>CASHT</i>: Cash Transfer <i>CHESR</i>: CHESS-RTGS feeder system transactions <i>CHESS</i>: CHESS Batch transactions <i>ESINT</i>: ESA Interest Payment <i>FSSC</i>: Intraday RITS Allocation Transactions only (i.e. FSS Top-Up or FSS Withdrawal) <i>FSEOD</i>: End of Day RITS Allocation Transactions (i.e. FSS Top-Up) <i>FSSOD</i>: Start of Day RITS Allocation Transactions (i.e. FSS Withdrawal) <i>GDES</i>: Government Direct Entry System <i>LVSS</i>: LVSS transactions <i>LVSSW</i>: Warehoused LVSS transactions <i>RTEOD</i>: RITS balance as at close of the previous business day <i>SWIFT</i>: SWIFT feeder system transactions <i>SWIFTW</i>: Warehoused SWIFT feeder system transactions <i>WCSH</i>: Warehoused RITS Cash Transfer <i>WFDR</i>: Warehoused CHESS-RTGS feeder transactions 					
Status	View transactions by status: Limits Test, Completed, Recalled, Unsettled or All.					
Source	Select source to filter: <i>S</i> (SWIFT), <i>A</i> (Austraclear), <i>R</i> (RITS), <i>C</i> (CHESS), <i>B</i> (Batches), <i>L</i> (LVSS) or <i>T</i> (RITS Allocation Transactions).					
Batch	Only available if B' is selected in Source. Select the Batch Stream.					
Payt Service	Only available if <i>L</i> is selected in Source. Select the Payment Service or <i>All</i> .					
Settlement Date	Defaults to the current system date. Search today's transactions or up to five RITS business days prior.					
Msg Type	Defaults to null. Select from 103/pacs.008, 202/pacs.009 or pacs.004.					
Direction	Defaults to Both. Select In (inward payments), Out (outward payments) or Both (all payments).					
Amount	Enter an amount up to \$10 billion or leave blank to view all amounts.					

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Transaction Enquiry

Field	Description
Ext TRN	Filter by the External Transaction ID/Instruction ID/Return ID of the transaction. Entering an Ext TRN in the filter criteria limits the search to that entry; when used in conjunction with the Settlement Date filter, all other filter criteria are ignored.
Trans ID	Filter by a RITS Transaction ID. Entering a Trans ID in the filter criteria limits the search to that entry; when used in conjunction with the Settlement Date filter, all other filter criteria are ignored.
Export	Select the tick box to download details of transactions based on the filter criteria entered into an Excel spreadsheet.

4.2.2 Actions

Button	Description
View Forward Dated Payments	Select View Forward Dated Payments to go to another screen to view payments with future settlement dates.
Find	Select Find to display a list of transactions based on the filter criteria selected. Searches are limited to 10,000 records. If the search covers more than 10,000 records, the search is terminated and a message is displayed. If this occurs, refine the search using the filters provided, or download the data using Export and Find . The transaction limit does not apply to data requests using Export.
Export	Select Export and Find to 'export' a list of transactions to an Excel spreadsheet, based on the filter criteria selected. No transaction records limits apply.
Clear	Select Clear to reset all fields to their default values. Any listed transactions are also cleared.
Printer Icon	Select the Printer Icon to print the page.

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Transaction Enquiry

4.3 Finding transactions in Transaction Enquiry

Once the appropriate filter criteria have been entered in the **Transaction Enquiry** screen, select **Find**. The following screen is displayed.

Transacti	on Enquiry					Ś
Enquiry Filter						8
Own Branch	All 🗸		Tran Type	All 🗸	•	Status All 🗸
Source Al	Batch All	 Payt Servi 	ice All 🗸	Msg Type	✓ [Direction Both V
Amount	Ext			Trans ID	Settleme	ent Date 18-Feb-2022 V
				View Fo	orward Dated Payments	Find Clear Export
					4 Records found [Firs	t Previous] 1 [Next Last]
Trans ID 😂	Ext TRN	Own Branch	Other Branch	Tran Type	Status	Amount
14019499	RITS14019221	AT05LC	AT06LC	LVPAY	Recalled	-\$2,000.00
14019495	RITS14019222	AT05LC	AT06LC	LVPAY	Limits Test	-\$1.00
14019494	RITS14019223	AT05LC	AT06LC	SWREC	Complete	\$100.00
14019225	RITS14019225	AT052E		RTEOD	Complete	\$6,978,485,433.14

4.3.1 List headings

Field	Description
Trans ID	Displays the RITS Transaction ID. Column can be sorted in ascending or descending order.
Ext TRN	Displays the External Transaction ID/Instruction ID/Return ID allocated by the paying Member's system for SWIFT and Austraclear payments. For RITS transactions and RITS Allocation Transactions the Ext TRN is the RITS Trans ID with the prefix RITS.
Own Branch	Displays the mnemonic of your branch in the transaction.
Other Branch	Displays the counterparty's branch mnemonic.
Tran Type	Displays the transaction type that describes the transaction/ movement of funds (e.g. ACPAY, ACREC, BCHPY, BCHRC, CASHP, CASHR, CHPAY, CHREC, SWPAY, SWREC, LVPAY and LVREC).
Status	Displays the status of the transaction.
Amount	Displays the payment amount. Payments are shown in red with a negative sign. Receipts are shown in black with no sign.

4.3.2 Actions

Button	Description
Select a transaction	Select a transaction by clicking on it to open the transaction details page.
Printer Icon	Select the Printer Icon to print the page.
Y

Transaction Enquiry

4.4 Viewing individual transaction details

Select a transaction by clicking on it to view the Transaction Details screen.

Transaction D	etails				Ś
Member	AT05LC	Other Memb	er AT06LC	Amount	-\$1.00
Trans ID	12989215			LVSS	
Ext TRN	AT0515840266			LVSS Settle Method	Individual
BIN				Payment Service	APCS
Tran Type	LVPAY			Clearing Description	A a 9/-?:().,'+
Status	Complete				
Settlement Date	15-Aug-2017				
Time Received	08:43:50				
This Settled	00.45.54				
		Close			

4.4.1 Individual transaction detail headings

The individual transaction details shown are the same as in the **RITS Settled Payments** screen. Refer to section 3.4.1 of this user guide.

Note that, when viewing this screen from the Transaction Enquiry, 'Time Received' does not populate while the transaction is in 'Limits Test' status. However, the field is populated when the transaction is completed.

4.5 Forward Dated Payments Enquiry

Use this enquiry to find details of forward dated (warehoused) SWIFT payments and LVSS transactions.

On the day of settlement these payments may be viewed in the **Transaction Enquiry**. Search using the Tran Type **SWIFTW** or **LVSSW**.

Click the **View Forward Dated Payments** button in the **Transaction Enquiry** screen to open the Forward Dated Payments Enquiry screen.

Forward Dated Payme	nts Enquiry	Ś
Enquiry Filter		8
Own Branch All	Other Member Pay/Rec Both Status All	•
	Settlement Date 08-May-2009	
	Find Clear	Export
	No records found for	r this query
	Close	

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Transaction Enquiry

4.5.1	Filter criteria for Forward Dated Payments Enquiry screen
4.3.1	The check of the ward baced i dyments Enquiry server

Field	Description
Own Branch	Filter at the branch level, listing all branches of the Member. An <i>All</i> option is provided.
Other Member	Filter on the six character mnemonic of the counterparty in the transaction.
Pay/Rec	Select the direction - Pay or Receive or Both.
Status	 View transactions by status. <i>Entered</i> (received by RITS) <i>Matched</i> (Cash Transfers matched by RITS) <i>Recalled</i> (recalled from RITS prior to the settlement day)
Settlement Date	Filter on transactions for up to the next five business days.

4.5.2 Actions

Button	Description
Find	Select Find to display a list of transactions based on the filter criteria selected.
Clear	Select Clear to reset all fields back to their default values. Any listed transactions are also cleared.
Export	Select Export and Find to 'export' a list of transactions to an Excel spreadsheet, based on the filter criteria selected.
Printer Icon	Select the Printer Icon to print the page.

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Transaction Enquiry

4.6 Finding transactions in Forward Dated Payments Enquiry

Once the appropriate filter criteria have been entered in the Forward Dated Payments Enquiry, select **Find.** The following screen is displayed.

Forward D	Dated Payme	ents Enquiry				Ś
Own Branch	n All	Other Membe	r	Pay/Rec Settlement Date	Both 11-Aug-2005	Status All 💌
				2 Rec	Fin	d Clear Export 🗖 Previous] 1 (Next La
Trans ID	Payer	Receiver	\$ Settle Date	¢ Amount		Status
11513600	NABLS1	GEORS1	11-Aug-2005		-\$13	3.00 Matched
11513602	NABLS1	NABLS1	11-Aug-2005		-\$139	9.00 Matched
Trans ID 11513600 11513602	Payer NABLS1 NABLS1	GEORS1 NABLS1	 Settle Date 11-Aug-2005 11-Aug-2005 		-\$13; -\$13;	 Status Matched Matched

4.6.1 List headings

Field	Description
Trans ID	Displays the RITS Transaction ID.
Payer	Displays the Paying branch mnemonic.
Receiver	Displays the Receiving branch mnemonic.
Settle Date	Displays the transaction settlement date.
Amount	Displays the payment amount. Payments are shown in red with a negative sign. Receipts are shown in black with no sign.
Status	Displays the status of the transaction.

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Transaction Enquiry

4.7 Viewing individual Forward Dated Payment Details

Select a transaction by clicking on it to view the Forward Dated Payment Details screen.

Forward Da	ted Payment Details		ø
	Branch	NABLS1	NABL SWIFT FEEDER SYSTEM
	Side	Payer	
	Status	Matched	
	Trans ID	11513600	
	Ext TRN	SKNLBBX790006608	
	Trans Type	SWPAY	
	Payment Service		
	Clearing Description		
	Other Member	GEORS1	GEOR SWIFT FEEDER SYSTEM BRANCH
	Date Time Received	10-Aug-2005 09:52:48	
	Settlement Date	11-Aug-2005	
	Bank Account	082-001-NABLS1	
	Amount	\$138.00	
		Close	

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Transaction Enquiry

4.7.1 Individual Forward Dated Payment Detail headings

Field	Description
Branch	Displays the branch in which the transaction is recorded. Displays the name of the branch next to the panel.
Side	Displays the side of the transaction: Payer or Receiver.
Status	Displays the status of the transaction: Matched, Entered or Recalled.
Trans ID	Displays the Transaction ID that is assigned by RITS.
Ext TRN	Displays the External Transaction ID/Instruction ID/Return ID allocated by the paying Member's system for SWIFT payments and the TRN assigned by the Originator for LVSS transactions. For RITS transactions, the Ext TRN is the RITS Trans ID with the prefix RITS.
Trans Type	Displays the transaction type of the settled payment (e.g. ACPAY, ACREC, CASHP, CASHR, CHPAY, CHREC, SWPAY, SWREC, LVPAY and LVREC).
Payment Service	Displays the Payment Service of the transaction. Only visible for LVSS transactions.
Clearing Description	Displays the Clearing Description of the transaction. Only displayed for LVSS transactions. For LVSS clearing interest transactions, this field is blank.
Other Member	Displays the counterparty's branch. Displays the name of the branch next to the panel.
Date Time Received	Displays the date and time the System Queue received the payment. Warehoused RITS Cash Transfers, SWIFT payments and LVSS transactions are placed on the System Queue during overnight processing, usually at around 02:30.
Settlement Date	Displays the date for settlement.
Bank Account	Displays the account number of the Cash Account used by the Branch applicable to that transaction.
Amount	Displays the settlement amount. All amounts are shown as positive. The "Side" field indicates whether the Member is payer or receiver.

Reservation Batch Summary

5. RESERVATION BATCH SUMMARY

5.1 Key points

- The **Reservation Batch Summary** screen displays the aggregate value and number of settlement positions inwards and outwards in Reservation Batches in a status of *Reserved*.
- This includes both Reservation Batches that are on the System Queue and those not yet on the System Queue.
- Use the filter to specify the Batch Stream for which to display aggregate reservations.

5.2 Reservation Batch Summary screen

Select **Res Summary** from the **ESA Management** tab on the menu.

Reservation Batch Summary	e e e e e e e e e e e e e e e e e e e
Enquiry Filter	8
Batch Stream ID All 🔹	
	Find Clear

5.2.1 Filter Criteria for Reservation Batch Summary screen

Field	Description
Batch Stream ID	Allows a user to filter at the Batch Stream level. An All option is also provided.

5.2.2 Actions

Button	Description
Find	Select Find to display a list of Summaries by Batch Stream based on the filter criteria selected.
Clear	Select Clear to reset all fields to their default values. Any listed Summaries are also cleared.
Printer Icon	Select the Printer Icon to print the page.

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Reservation Batch Summary

5.3 Finding summary information in the Reservation Batch Summary

Once the appropriate filter criteria have been entered in the **Reservation Batch Summary** screen, select **Find**. The following screen is displayed.

Reservation Bat	ch Summary				
Enquiry Filter					(
Batch Stream ID All	•				
					Find Clear
Batch Stream Id	Reserved Outward	No.	Reserved Inward	No.	Net Position
PEXA	-\$2,000,000.00	1	\$3,000,000.00	3	\$1,000,000.00

5.3.1 List headings

Field	Description
Batch Stream ID	Displays the Batch Stream ID.
Reserved Outward	Displays the Member's aggregate settlement position in Reservation Batches where the Member is a payer.
No.	Displays the total number of Reservation Batch transactions where the Member is a payer.
Reserved Inward	Displays the Member's aggregate settlement position in Reservation Batches where the Member is a payee.
No.	Displays the total number of Reservation Batch transactions where the Member is a payee.
Net Position	Reserved Inward less Reserved Outward.

5.3.2 Actions

Button	Description
Printer Icon	Select the Printer Icon to print the page.

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SWIFT Payment Message Enquiry

6. SWIFT PAYMENT MESSAGE ENQUIRY

6.1 Key points

- Track SWIFT Payment messages in RITS, including the payment status and reject codes.
- View details of the message received by RITS and the message sent by RITS.
- SWIFT payment messages sent for settlement on a forward date can be viewed in this function by searching with Enquiry Date = today's date.
- Refer to the RITS/SWIFT Interface User Guide for more details.

6.2 SWIFT Payment Message Enquiry screen

Select **SWIFT Enquiry** from the **ESA Management** tab on the menu. The following screen is displayed.

SWIFT Payment Message Enqu	uiry		Ś
Enquiry Filter			۲
Rec	Bank	Enquiry Date 06-Jan-2022 V	
Original Message Type	I V	Status Please Select 🗸	Reject/Reason Code All 🗸
TRN/Instruction ID/Return ID		Time Received/Sent	From 00 00 00 To
			Find Clear Export

6.2.1 Filter Criteria for SWIFT Payment Message Enquiry

Field	Description
Rec Bank	The party to which the SWIFT payment message has been sent. Leave blank to search for All.
Enquiry Date	Enquire on today's date or any day up to the previous five business days.
Original Message Type	Either All, 103/pacs.008, 202/pacs.009 or pacs.004.

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SWIFT Payment Message Enquiry

Field	Description
Status	Select from: All Failed – there is an error in the message sent to RITS that prevented it from being processed. Incomplete – the message sent to RITS passed all validations but there was an error in the response message that RITS is formulating, preventing it from being sent. Other – the message was rejected. Received – the message received by RITS passed all validations and is being processed. Settled – RITS has returned a response indicating that the payment settled.
Reject/Reason Code	Select from <i>Recalled</i> , <i>Rejected</i> , <i>Unsettled</i> and <i>All</i> . <i>Recalled</i> is reject code 85/CUST. <i>Rejected</i> includes reject codes 60-61, 70-84 and 87-94 for SWIFT MT messages or AC06, AG03, AM12, CURR, DT01, DUPL, RC05, TD03 and TM01 for ISO 20022 messages. <i>Unsettled</i> is reject code 86/ED05. A list of reject codes and descriptions is contained in the Appendix to this guide.
TRN/Instruction ID/Return ID	The TRN/Instruction ID/Return ID of the message. Type in up to 16 characters. Case sensitive.
Time Received/Sent From	Permits searches on Time Received/Sent. Enter the time period that you wish to search over.
Time Received/Sent To	Enter a time or leave as 'null' to access the latest messages.

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SWIFT Payment Message Enquiry

6.2.2 Actions

Button	Description
Find	Select Find to display a list of messages based on the filter criteria selected. Searches are limited to 10,000 records. If the search covers more than 10,000 records, the search is terminated and a message is displayed. If this occurs, refine the search using the filters provided, or download the data using Export and Find . The transaction limit does not apply to data requests using Export.
Export	Select Export and Find to 'export' a list of messages to an Excel spreadsheet, based on the filter criteria selected. No transaction records limits apply.
Clear	Select Clear to reset all fields to their default values. Any listed messages are also cleared.
Printer Icon	Select the Printer Icon to print the page.

6.3 Finding messages in SWIFT Payment Message Enquiry

Once the appropriate filter criteria have been entered in the **SWIFT Payment Message Enquiry** screen, select **Find**. The following screen is displayed.

SWIFT Payment Message Enquiry						Ś
Enquiry Filter						۲
Rec Bank		Enquiry Date 18	3-Feb-20	22 🗸		
Original Message Type All	Original Message Type All V Status All V Reject/Reason Code All V					
TRN/Instruction ID/Return ID		Time	Receive	d/Sent Fro	m 00 00	00 то
	Find Clear Export					
Т	otal Amount	\$600,100.00 No	o. 1			
1 Record found [First Previous] 1 [Next Last]						
Date Time Received/Sent	Original D Message Type	Value Date	Rec Bank	Status	Reject/ Reason Code	Amount
18-Feb-2022 06:47:43 BMARIC010000050	103	18-Feb-2022	BKWA	Settled		\$600,100.00

6.3.1 Summary headings

Field	Description
Total Amount	Displays the sum of the amounts of records returned.

SWIFT Payment Message Enquiry

6.3.2 List headings

Field	Description
Date Time Received/Sent	The date and time when the message was sent or received.
TRN/ Instruction ID/Return ID	The TRN/Instruction ID/Return ID of the SWIFT payment message.
Original Message Type	Either 103, pacs.008, 202, pacs.009 or pacs.004.
Value Date	The settlement date of the payment.
Receiving Bank	The Member to which the SWIFT payment message is sent.
Status	Failed, Incomplete, Other (rejected), Received or Settled.
Reject/Reason Code	The reject/reason code for the message, if applicable.
Amount	The amount of the payment.

6.4 Viewing individual message details

Click on a payment in the **SWIFT Payment Message Enquiry** screen to view the **SWIFT Message Details** screen.

This screen will show the details of the message received by RITS and the response from RITS if one has been generated at the time of the enquiry.

SWIFT Message Details						
Original Message Type 103						
	Message Received	at RITS				
Message Type	096	Sending Bank	BLUE			
Date/Time Received	18-Feb-2022 09:37:47	Receiving Bank	WEST			
Value Date	18-Feb-2022	TRN/Instruction ID	SWIFT103TRNRA003			
Amount	\$100.00					
Message	Message {1:F01ABCABC20ART84877112468}{2:I103ABCABC20XL25N}{3:{103:PDT}{113:AAAA}{12} 1:3025b872-9042-41ec-b578-75cd682a711a}}{4::20:SWIFT103TRNRA003:32A:220218AUD1 00,00 -}{5:{TNG:}{MRF:220217223737220218ABCABC20AXXX4877112468}}					
	Message Sent by	RITS				
Message Type	097	Reject/Reason Code				
TRN	S5755277	Reject/Reason Text				
Date/Time Sent	18-Feb-2022 09:38:03					
Close						

SWIFT Payment Message Enquiry

SWIFT Message Details						
Original Message Type pacs.008						
Message Received at RITS						
Message Type	xcop.001	Sending Bank	BLUE			
Date/Time Received	18-Feb-2022 14:49:35	Receiving Bank	WEST			
Value Date	18-Feb-2022	TRN/Instruction ID	HVCS992211203774			
Amount	\$1.00					
			Export File (.xml)			
	Message Sent	by RITS				
Message Type	xsys.001	Reject/Reason Code				
TRN	S5755287	Reject/Reason Text				
Date/Time Sent	18-Feb-2022 14:49:40					
	Close]				

6.4.1 Display criteria

Field	Description
Message Type	Messages received by RITS are indicated by '096' or 'xcop.001' and responses sent by RITS are indicated by '097' or 'xsys.001'.
Message	The SWIFT MT message received by RITS. Note: this field is only present where the message type is '096' and is not present where the payment is received in an ISO 20022 format.

6.4.2 Actions

Close	Select Close to close the details screen and return to the SWIFT Payment Message screen.
Export File	Where a payment is received in an ISO 20022 format, select Export File (.xml) to download the .xml message received by RITS.

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7. AIF MESSAGE ENQUIRY

7.1 Key points

- View AIF MT messages (Commands, Enquiries and Unsolicited Advices) in RITS.
- AIF MT messages are listed in the Appendix of this user guide.
- The enquiry also covers batch feeder and CHESS-RTGS messages. <u>Search for</u> <u>these under the Message Purpose Commands.</u>
- View details of both the message received by RITS and the message sent by RITS in response (where applicable). Command and Enquiry messages receive responses from RITS, but Unsolicited Advices are generated by RITS based on a Member's selection in the RITS UI.
- Refer to the *RITS/SWIFT Interface User Guide* for more details.

7.2 AIF Message Enquiry screen

Select **AIF Enquiry** from the **ESA Management** tab on the menu. The following screen is displayed.

AIF Message En	quiry		Ś
Enquiry Filter			8
Message Purpose	Please Select 💌	Enquiry Date 10-Jun-2009 💌	
	Message Type All 💌	Sub Message Type All	Status Please Select 💌
	Reject Code All	TRN R	telated Reference
		Time Received/Se	nt From 00 00 00 To
			Find Clear Export

7.2.1 Filter Criteria for AIF Message Enquiry

Field	Description
Message Purpose	Default is <please select="">. Select from <i>Commands</i>, <i>Enquiries</i> or <i>Unsolicited Advices</i>. <u>CHESS-RTGS</u> and Batch Feeder messages are found under <u>Commands</u>.</please>
Enquiry Date	Enquire on messages sent/received on the current day or on the previous seven calendar days.
Message Type	Select from 198, 920, 941, 942, and 950.

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AIF Message Enquiry

Field	Description
Sub Message Type	Select from 001-009, 013-019, 026-032, 034, 036-041, 121-124 (CHESS-RTGS), 131-134 (Batch Feeder), 888, 941, 942, and 999. The list for Sub Message Type <u>does not</u> automatically populate after the selection of a Message Type. This means that if an invalid MT and SMT combination is selected, no records will be found and the message 'No records found for this query' is displayed after Find is selected. There is no selection for the Sub Message Types of 936 and 937. These messages can be found by selecting the Sub Message Types of 036 and 037. The following are valid MT/SMT combinations: MT198 – SMT 001-009, 013-019, 026-034, 036-041, 121-124 and 131-134. MT920 – SMT941-942 MT941 – none MT942 – SMT001 MT942 – SMT001
Status	Default is <please select="">. Select from: <i>All</i> <i>Completed</i> – RITS has sent a response to the incoming Command or Enquiry message or has sent an Unsolicited Advice. <i>Failed</i> – there is an error in the message sent to RITS that prevented it from being processed. <i>Incomplete</i> – the message sent to RITS passed all validations but there was an error in the response message that RITS is formulating, preventing it from being sent. <i>Received</i> - the message received by RITS passed all validations and is being processed.</please>
Reject Code	Select from Reject codes 60-61, 70-84 and 87-96. A list of all the reject codes and descriptions is contained in the Appendix (chapter 35) of this user guide.
TRN	The TRN of the message.
Related Reference	The TRN/Instruction ID/Return ID of the payment message that the AIF message relates, or the first message in a message pair.

ESA Management

AIF Message Enquiry

7.2.2 Actions

Button	Description
Find	Select Find to display a list of messages based on the filter criteria selected. If no records are found that match the filter criteria the message 'No records found for this query' is displayed. Searches are limited to 10,000 records. If the search covers more than 10,000 records, the search is terminated and a message is displayed. If this occurs, refine the search using the filters provided, or download the data using Export and Find . The transaction limit does not apply to data requests using Export.
Clear	Select Clear to reset all fields to their default values. Any listed messages are also cleared.
Export	Select Export and Find to 'export' a list of messages to an Excel spreadsheet, based on the filter criteria selected. No transaction records limits apply.
Printer Icon	Select the Printer Icon to print the page.

7.3 Finding messages in AIF Message Enquiry

Once the appropriate filter criteria have been entered in the **AIF Message Enquiry** screen, select **Find**. The following screen is displayed.

AIF Message Enqu	iry					Ś
Enquiry Filter						8
Message Purpose U	nsolicited Advices 💌	Enquiry Date 09-Aug-201	1 🗸			
Me	essage Type All 💌	Sub Message Type All	•	s	tatus All	•
	Reject Code All	TRN	Rel	ated Reference		
		Time	Received/Sent	From 00 00) 00 то	
				Fin	d Clear Fx	mort
			9 Records	s found [First	Previous] 1 [N	lext Last
Date Time Received/Sent	‡ TRN	Related Reference	Message Type	Sub Message Type	Status	Reject Code
09-Aug-2011 09:24:37	U16447841	ACLR11096263	198	027	Completed	
09-Aug-2011 09:24:00	U16447523	ACLR11094674	198	037	Completed	
09-Aug-2011 09:21:56	U16445851	ACLR11096063	198	036	Completed	
09-Aug-2011 09:21:38	U16445730	RITS7446389	198	036	Completed	
09-Aug-2011 09:21:38	U16445733	RITS7446641	198	036	Completed	
09-Aug-2011 09:21:16	U16445573	ACLR11095989	198	036	Completed	
09-Aug-2011 09:20:58	U16445387	ACLR11096237	198	027	Completed	
09-Aug-2011 09:20:14	U16445254	RITS7446396	198	037	Completed	
09-Aug-2011 09:18:19	U16444129	ACLR11096171	198	027	Completed	

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AIF Message Enquiry

7.3.1 List headings

Field	Description
Date Time Received/Sent	The date and time when the message was sent or received.
TRN	The TRN of the message.
Related Reference	The TRN/Instruction ID/Return ID of the payment message that the AIF message relates, or the first message in a message pair (i.e. a command or an enquiry).
Message Type	The SWIFT Message Type.
Sub Message Type	The SWIFT Sub Message Type.
Status	Completed, Failed, Incomplete or Received.
Reject Code	The reject code, if applicable. See the Appendix (chapter 35) of this user guide for a list of reject codes.

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AIF Message Enquiry

7.4 Viewing individual message details

Click on a payment in the **AIF Message Enquiry** screen it to view the **AIF Message Details** screen.

This screen shows the command or enquiry message that is received by RITS and the response from RITS if one has been generated at the time of the enquiry. For unsolicited advices there is only a message sent by RITS.

Message Type 1 Sub Message Type 0 Date/Time Sent 1	Message Rec 198 001	eived at RITS Sending Bank	BTBB 2228031
Message Type 1 Sub Message Type 0 Date/Time Sent 1	198 001	Sending Bank	BTBB 2228031
Sub Message Type Date/Time Sent	001		
Date/Time Sent		TRN	Ckln1DS040001270
	10-Aug-2011 11:42:14	Related Reference	Bkln1DS04110810
Message	{4: :20:Ckln1DS040001270 :12:001 :77E: :21:Bkln1DS04110810 :32A:110810AUD52,00 -}		
Message Type 1	Message Se	ent by RITS Related Reference	Ckln1DS040001270
Sub Message Type 0	002	Reject Code	
Date/Time Sent 1	10-Aug-2011 11:42:27	Reject Text	
TRN	C5561893	Status	Completed
Message	{4: :20:C5561893 :12:002 :77E: :21:Ckln1DS040001270 :451:0 -}		

7.4.1 Actions

Close	Select Close to close the details screen and return to the AIF
	Message screen.

AIF MX Message Enquiry

8. AIF MX MESSAGE ENQUIRY

8.1 Key points

- View AIF ISO 20022 messages (Commands, Enquiries and Unsolicited Advices) in RITS.
- AIF ISO 20022 messages are listed in the Appendix of this user guide.
- View details of both the message received by RITS and the message sent by RITS in response (where applicable). Command and Enquiry messages receive responses from RITS, but Unsolicited Advices are generated by RITS based on a Member's selection in the RITS UI.
- Refer to the RITS/SWIFT Interface User Guide for more details.

8.2 AIF MX Message Enquiry screen

Select **AIF MX Enquiry** from the **ESA Management** tab on the menu. The following screen is displayed.

AIF MX Message Enquiry			Ś
Enquiry Filter			8
	Message Purpose Pl	lease Select 🗸 🗸	Enquiry Date 08-May-2024 🗸
		Message Type 💉	Message Desc 💙
TRN			Status Please Select 🗸
Related Ref			Reason Code All 🗸
		Time Received/Sent	From 00 00 00 To
			Find Clear Export

8.2.1 Filter Criteria for AIF MX Message Enquiry

Field	Description
Message Purpose	Default is <please select="">. Select from <i>Commands, Enquiries</i> or <i>Unsolicited Advices</i>.</please>
Enquiry Date	Defaults to current day. Enquire on messages sent/received on the current day or on the previous seven calendar days.
Message Type	 Only available if <i>Commands, Enquiries</i> or <i>Unsolicited Advices</i> is selected in Message Purpose. Once available, defaults to <i>All</i>. The list is automatically populated after the selection of a Message Purpose. There is no selection for the response to Commands and Enquiries. The response (if one has been generated at the time of the enquiry) will be displayed in the AIF MX Message Details screen as shown in section 8.4 of this user guide. A list of all the Message Types is contained in the Appendix of this user guide.

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AIF MX Message Enquiry

Field	Description
Message Desc	Only available if <i>Commands</i>, <i>Enquiries</i> or <i>Unsolicited Advices</i> is selected in Message Purpose.Once available, defaults to <i>All</i>. The list is automatically populated based on the selection of a Message Type.A list of all the Message Descriptions is contained in the Appendix of this user guide.
TRN	The TRN of the message. Defaults to null. Type in up to 35 alphanumeric characters or leave blank to search for all messages. Case sensitive.
Status	Default is <please select="">. Select from: <i>All</i> <i>Completed</i> – RITS has sent a response to the incoming Command or Enquiry message or has sent an Unsolicited Advice. <i>Failed</i> – there is an error in the message sent to RITS that prevented it from being processed. <i>Incomplete</i> – the message sent to RITS passed all validations but there was an error in the response message that RITS is formulating, preventing it from being sent. <i>Received</i> - the message received by RITS passed all validations and is being processed.</please>
Related Ref	The TRN/Instruction ID/Return ID of the payment message to which the AIF message relates, or the first message in a message pair. Type in up to 35 alphanumeric characters or leave blank to search for all messages. Case sensitive.
Reason Code	Defaults to All. Select from All, AC01, AGNT, AM12, CURR, DUPL, LEGL, NOOR, RC05, TD03 and UM28. A list of all the reason codes and descriptions is contained in the Appendix of this user guide.
Time Received/Sent From	Defaults to 00:00:00. Enter the time period in format hh:mm:ss that you wish to search over. Leave blank to access all messages for the selected enquiry date.
Time Received/Sent To	Defaults to null. Enter a time in format hh:mm:ss or leave blank to access the latest messages for the selected enquiry date. Note that To Time must be the same or later than the From Time.

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ESA Management

AIF MX Message Enquiry

8.2.2 Actions

Button	Description
Find	Select Find to display a list of messages based on the filter criteria selected. If no records are found that match the filter criteria the message 'No records found for this query' is displayed. Searches are limited to 10,000 records. If the search covers more than 10,000 records, the search is terminated and a message is displayed. If this occurs, refine the search using the filters provided, or download the data using Export and Find . The transaction limit does not apply to data requests using Export.
Clear	Select Clear to reset all fields to their default values. Any listed messages are also cleared.
Export	Select Export and Find to 'export' a list of messages to an Excel spreadsheet, based on the filter criteria selected. No transaction records limits apply.
Printer Icon	Select the Printer Icon to print the page.

8.3 Finding messages in AIF MX Message Enquiry

Once the required filter criteria have been entered in the **AIF MX Message Enquiry** screen, select **Find**. The following screen is displayed.

AIF MA Mes	sage	Enquiry								Ś
Enquiry Filter										-
	Mess	age Purpose	Unsolicit	ed Advices 🗸	•				Enquiry Date 07	'-May-2024
		Mess	age Type	All 🗸	Message D	Desc 🖌				,
TRN									Status All	
Related Ref									Reason Co	ode All
					_	Time	e Received/Sen	t From (00 00 то	
									Find Clea	
Summary						_				
					No. 6					
							6 Records	s found	[First Previous]	1 [Next La
Date Time Received/S	ent 🌲	TRN					6 Records	s found	[First Previous] Status	1 [Next La Reason Code
Date Time Received/S 07-May-2024 11:	ent 🜲 53:24	TRN RSBKAUSRXX	X2024050	7000000000	5543251		6 Records Msg Type camt.054	s found Msg Desc POCE	First Previous] Status Completed	1 [Next La Reason Code
Date Time Received/S 07-May-2024 11: 07-May-2024 08:	ent 🜩 53:24 00:03	TRN RSBKAUSRXX RSBKAUSRXX	X2024050 X2024050	7U00000000 6U00000000	5543251 5543234		6 Records Msg Type camt.054 camt.054	Msg Desc POCE PODA	First Previous] Status Completed Completed	1 [Next La Reason Code
Date Time Received/S 07-May-2024 11: 07-May-2024 08: 07-May-2024 07:	ent 53:24 00:03 47:04	TRN RSBKAUSRXX RSBKAUSRXX RSBKAUSRXX	X2024050 X2024050 X2024050	17U00000000 16U000000000 16U000000000	5543251 5543234 55543231		6 Records Msg Type camt.054 camt.054 camt.054	Msg Desc POCE PODA PODA	First Previous] Status Completed Completed Completed	1 [Next La Reason Code
Date Time Received/So 07-May-2024 11: 07-May-2024 08: 07-May-2024 07: 07-May-2024 01:	ent 53:24 00:03 47:04 34:12	TRN RSBKAUSRXX RSBKAUSRXX RSBKAUSRXX RSBKAUSRXX	X2024050 X2024050 X2024050 X2024050 X2024050	17U00000000 16U00000000 16U000000000 16U000000000	5543251 5543234 5543231 5543229		6 Records Msg Type camt.054 camt.054 camt.054 camt.054	Msg Desc POCE PODA PODA PODA	First Previous] Status Completed Completed Completed Completed	1 [Next La Reason Code
Date Time Received/S 07-May-2024 11: 07-May-2024 08: 07-May-2024 07: 07-May-2024 01: 07-May-2024 00:	ent 53:24 00:03 47:04 34:12 05:25	TRN RSBKAUSRXX RSBKAUSRXX RSBKAUSRXX RSBKAUSRXX RSBKAUSRXX	X2024050 X2024050 X2024050 X2024050 X2024050 X2024050	7000000000 600000000 6000000000 600000000	5543251 5543234 5543231 5543229 5543193		6 Records Msg Type camt.054 camt.054 camt.054 camt.054	Msg Desc POCE PODA PODA PODA POCA STES	First Previous] Status Completed Completed Completed Completed Completed	1 [Next La Reason Code

AIF MX Message Enquiry

8.3.1 List headings

Field	Description					
Date Time Received/Sent	The date and time when the message was sent or received.					
TRN	The TRN of the message.					
Msg Type	The Message Type.					
Msg Desc	The four character acronym of the Message Description.Hovering the mouse over the acronym will display a tooltip that shows the full Message Description.A list of all the message acronyms and Message Descriptions is contained in the Appendix of this user guide.					
Status	Completed, Failed, Incomplete or Received.					
Reason Code	The reason code, if applicable. See the Appendix of this user guide for a list of reason codes.					

8.4 Viewing individual message details

Click on a message in the **AIF MX Message Enquiry** screen to view its details in the **AIF MX Message Details** screen.

This screen shows the command or enquiry message that is received by RITS, and the response from RITS (if one had already been generated at the time of the enquiry). For unsolicited advices there will be no Message Received at RITS, but only a Message Sent by RITS.

AIF MX Message	e Details		S.
	Mess	sage Received at RITS	
Message Type	camt.056	TRN	RSBKAU2SRT62023120800000RECALLKN07A
Message Description	Recall Request	Related Reference	SKLNIT202KNAR
Date/Time Received	30-Apr-2024 09:06:09		
			Export File (.xml)
	Με	essage Sent by RITS	
Message Type	camt.029	TRN	RSBKAUSRXXX20240430C00000006541588
Message Description	Recall Response	Related Reference	RSBKAU2SRT62023120800000RECALLKN07A
Date/Time Sent	30-Apr-2024 16:54:29	Reason Code	NOOR
Status	Completed	Reason Description	The original transaction was never received
			Export File (.xml)
		Close	

AIF MX Message Enquiry

8.4.1 Actions

Close	Select ${\bf Close}$ to close the details screen and return to the AIF MX Message Enquiry screen.
Export File	Select Export File (.xml) to download the .xml message received by or sent by RITS.
Printer Icon	Select the Printer Icon to print the page.

Y

ESA Interest Accrued and Monthly ESA Interest

9. ESA INTEREST ACCRUED AND MONTHLY ESA INTEREST

9.1 Key points

- View daily ESA interest accrual details, including the components of the calculation, from the start of the previous calendar year to date.
- View monthly ESA interest payments from the start of the previous calendar year to date.

9.2 ESA Interest Accrued screen

Select **Interest Accrued** from the **ESA Management** tab in the menu. The following screen is displayed.

ESA Interest Accrued		e de la companya de la
Enquiry Filter		8
Date From	1 V July V 2017 V	
Date To	31 V July V 2017 V	Find Clear Export Excel V

9.2.1 Filter criteria for ESA Interest Accrued

Field	Description
Date From	Select a day, month and year. The default value is the 1 st of the current month.
Date To	Select a day, month and year. The default value is the current date. Note that Date To must be the same or later than Date From.

9.2.2 Actions

Button	Description
Find	Select Find to display information based on filter criteria selected.
Clear	Select Clear to reset all fields back to their default values. Any listed values are also cleared.
Export	Select Export (in conjunction with Excel/PDF) and Find to 'export' the results in Excel or PDF format, based on the filter criteria selected.
Printer Icon	Select the Printer Icon to print the page.

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ESA Interest Accrued and Monthly ESA Interest

Finding details in the ESA Interest Accrued enquiry 9.2.3

Once the appropriate filter criteria have been entered in the ESA Interest Accrued screen, select Find.

ESA Inte	rest Accrued				Å
Enquiry Filte	<u>r</u>				8
	Date From 📘	V July V	2017 🗸		
	Date To 3	July V	2017 🗸	Find Cle	ear Export Excel V
			21.0	locardo found	t Dravious] 1 [Navt Last]
Data	Ford of Days 504 Delemon	Orren Deres Arrentik	Jaka Davaranta Arrowsta	Ecolos louna (Fils	Cumulative Interest Accord
Date	End of Day ESA Balance	Open Repo Amount*	Late Payments Amount	ESA Interest Accrued+	Cumulative Interest Accrued
01-Jul-2017	\$51,634,212.10	\$0.00	\$0.00	\$1,768.29	\$1,708.29
02-Jul-2017	\$51,634,212.10	\$0.00	\$0.00	\$1,768.29	\$3,530.59
03-Jul-2017	\$51,634,212.10	\$0.00	\$0.00	\$1,768.29	\$5,304.88
04-Jul-2017	\$51,685,497.79	\$0.00	\$0.00	\$1,770.05	\$7,074.94
05-Jul-2017	\$51,685,497.79	\$0.00	\$0.00	\$1,770.05	\$8,844.99
06-Jul-2017	\$51,685,497.79	\$0.00	\$0.00	\$1,770.05	\$10,615.04
07-Jul-2017	\$51,685,497.79	\$0.00	\$0.00	\$1,770.05	\$12,385.09
08-Jul-2017	\$51,685,497.79	\$0.00	\$0.00	\$1,770.05	\$14,155.14
09-Jul-2017	\$51,685,497.79	\$0.00	\$0.00	\$1,770.05	\$15,925.19
10-Jul-2017	\$51,682,733.79	\$0.00	\$0.00	\$1,769.96	\$17,695.15
11-Jul-2017	\$51,682,733.79	\$0.00	\$0.00	\$1,769.96	\$19,465.11
12-Jul-2017	\$51,682,733.79	\$0.00	\$0.00	\$1,769.96	\$21,235.06
13-Jul-2017	\$51,682,733.79	\$0.00	\$0.00	\$1,769.96	\$23,005.02
14-Jul-2017	\$51,690,231.87	\$0.00	\$0.00	\$1,770.21	\$24,775.23
15-Jul-2017	\$51,690,231.87	\$0.00	\$0.00	\$1,770.21	\$26,545.45
16-Jul-2017	\$51,690,231.87	\$0.00	\$0.00	\$1,770.21	\$28,315.66
17-Jul-2017	\$51,690,231.88	\$0.00	\$0.01	\$1,770.21	\$30,085.87
*Open Repo A	mount represents the start	ing cash value of all Op	en RBA Repos contracte	ed at the Cash Rate	Target by the ESA holder
**Late Paymer and (b) FSS pa	nts Amount is the sum of n ayments settling after a tim	et receipts from (a) DE	payments arriving on t	he queue after a tim	ne specified within RITS;

+For details, refer to the ESA interest calculation formula on the RBA web site

RITS ESA Interest Accrued and Monthly ESA Interest

9.2.4 List headings

Field	Description				
Date	Displays the value date of the item.				
End of Day ESA Balance	isplays the end of day ESA balance.				
Open Repo Amount	splays the Member's position in Open RBA Repo.				
Late Payments Amount	Displays the net value of settled Late DE and FSS transactions. Net receipts are shown in black with no sign. Net payments are shown in red with a negative sign.				
ESA Interest Accrued	Displays the amount of ESA interest accrued for that date to two decimal places. The daily ESA Interest Accrued amounts, used for calculation of the monthly ESA Interest transaction, are stored in RITS to seven decimal places. The sum of the ESA Interest Accrued figures may not match the Cumulative Interest Accrued column, or the actual ESA interest transaction, due to rounding differences. In the unlikely event that an adjustment is made to the ESA interest accrual, the value shown on this screen will be the adjusted amount and will be underlined. Hovering the user's mouse over that value will display a box that shows the initial accrual and the subsequent adjustment amount.				
Cumulative Interest Accrued	Displays the cumulative amount of ESA interest accrued from the first of the relevant month to that date. Note that cumulative interest from the first of the month will always be shown, regardless of the 'Date From' chosen in the enquiry filter.				

R I T S ESA Interest Accrued and Monthly ESA Interest

9.3 Viewing ESA Interest Accrued details

Click on an interest accrual entry in the **ESA Interest Accrued** screen to view the **ESA Interest Accrued Details** screen.

This screen separately shows each input used in the calculation of ESA interest.

ESA Interest Accrued Details		
Date	01-Jul-2017	
End of Day ESA Balance	\$51,634,212.10	
RITS Balance	\$0.00	
FSS Balance	\$51,634,212.10	
Open Repo Amount	\$0.00	
Late Payments Amount	\$0.00	
Late DE Amount	\$0.00	
Late FSS Amount	\$0.00	
Interest Adjustment	\$0.00	
ESA Interest Accrued	\$1,768.29	
Cumulative Interest Accrued	\$1,768.29	

9.3.1 Actions

Button	Description
Close	Select Close to close the details screen and return to the ESA Interest Accrued screen.
Printer Icon	Select the Printer Icon to print the page.

9.4 Monthly ESA Interest screen

Select **Monthly Interest** from the **ESA Management** tab in the menu. The following screen is displayed.

Monthly ESA Interest	Ś
Enquiry Filter	۲
Date From July V 2019 V	
Date To September V 2019 V	Find Clear Export Excel V

¥

R I T S ESA Interest Accrued and Monthly ESA Interest

9.4.1 Filter criteria for Monthly ESA Interest

Field	Description
Date From	Select a month and year. The default value is the previous calendar month.
Date To	Select month and year. The default value is the previous calendar month. Note that Date To must be the same or later than Date From.

9.4.2 Actions

Button	Description
Find	Select Find to display information based on filter criteria selected.
Clear	Select Clear to reset all fields back to their default values. Any listed transactions are also cleared.
Export	Select Export (in conjunction with Excel/PDF) and Find to 'export' the results in Excel or PDF format, based on the filter criteria selected.
Printer Icon	Select the Printer Icon to print the page.

9.4.3 Finding payments in the Monthly ESA Interest enquiry

Once the appropriate filter criteria have been entered in the Monthly ESA Interest screen, select ${\bf Find}.$

Monthly ESA Interest	:		Ś
Enquiry Filter			8
Dat	July 2019 V Date To September V 2019 V	Find	lear Export 🗌 Excel 🗸
ESA Interest For		ESA Interest Amount	Payment Date
Jul-2019		\$37,384,849.58	01-Aug-2019
Aug-2019		\$38,697,674.75	02-Sep-2019
Sep-2019		\$31,265,053.57	01-Oct-2019

9.4.4 List headings

Field	Description
ESA Interest For	Displays the month and year this item is for.
ESA Interest Amount	Displays the value of the ESA Interest payment.
Payment Date	Displays the date of the ESA Interest Amount. This should be the first business day of the following month.

10. ESA/CREDIT STATUS/LVSS SETTLE METHOD QUEUE MANAGEMENT

10.1 Key points

- Use ESA/Credit Status Queue Management to:
 - View transactions and RITS Allocation Transactions on the System Queue.
 - Change the ESA Status, Credit Status and/or LVSS Settlement Method of transactions on the System Queue.
 - Update more than one status at a time.
- ESA Status, Credit Status and LVSS Settlement Method of transactions that have been locked for settlement in a Multilateral Run cannot be changed.
- A change of LVSS Settlement Method for a transaction in a confirmed TBO will be processed, but will result in the deletion of the TBO.
- ESA Status and Credit Status of transactions in a Reservation Batch cannot be modified.
- ESA Status and Credit Status of queued RITS Allocation Transactions cannot be modified.
- Tip: Queue Status updates in this function take several seconds to be effected. Check the status of the update by selecting Find to re-query. Do not submit the same update again.

Users may update ESA Statuses, Credit Statuses and LVSS Settlement Method based on the roles they have been allocated. Users will only be able to view and select the New drop down box where they have been allocated the update role for that item. For example:

- users with the roles *ESA Status Queue Management*, *Credit Status Queue Management* and *LVSS Settlement Method Queue Management* are able to update ESA Statuses, Credit Statuses and LVSS Settlement Method;
- users with only the *Credit Status Queue Management* role will only be able to change the Credit Status, and will not be able to change the ESA Status or LVSS Settlement Method which will show as display only;
- users with the ESA Status Queue Management role and the LVSS Settlement Method Queue Management role will be able to change the ESA Status and LVSS Settlement Method, but will not be able to change the Credit Status which will show as display only;
- users that do not have any of these roles are able to view statuses and settlement method but cannot update.

A user with the role *ESA Status Queue Management – LVSS* will only be able to change the ESA Status of LVSS transactions. They will be able to view all queued transactions, but the New drop down selection box will not be available for other transactions types (eg SWIFT).

Note that a user cannot concurrently have both the *ESA Status Queue Management* role and the *ESA Status Queue Management – LVSS* role.

10.2 Queued Payments Management screen

Select **Queue Mgt** from the **ESA/Credit** tab of the **ESA Management** Menu to display the Queued Payments Management – ESA/Credit Status/LVSS Settle Method screen.

Queued	Payments Ma	anagement -	ESA/Cred	it Status/LVSS	Settle Me	ethod	<u></u>
Enquiry Filt	<u>er</u>						۲
Own Branch	\checkmark	Other Bank All		Evening Flag		Transactions	All 🗸
Source All	► Batch	ll 🗸 Payt	Service All	Msg Type	\sim	Direction	Both 🗸
				Amount From		Amount To	
Cash All	\checkmark	Credit All	\checkmark	ESA All	× Ar	nd/Or	And 🗸
					LVSS Position	on Find	Clear Export
Advanced							۲
Ext TRN		Tran Type	Ali 🗸	Session All	\sim		
	Ti	me Received From	00 00 00	То			

10.2.1 Filter criteria for Queue Management screen

Field	Description
Own Branch	Filter at the branch level. Select from a list of all branches of the Member. Defaults to an empty field which is equivalent to <i>All</i> .
Other Bank	Defaults to <i>All</i> . Enter the counterparty's four-character mnemonic into this auto-populate field or leave as <i>All</i> to view transactions with all Members.
Evening Flag	 Defaults to <i>All</i>. Select <i>All</i>, <i>EVE</i> (transactions eligible for settlement in all settlement sessions including the Evening Session) or <i>DAY</i> (transactions which are only eligible to settle before the end of the Settlement Close Session). <i>EVE</i> transactions have the Evening Transaction Flag. The Evening Transaction flag is assigned to a transaction if: 1. both parties to the transaction are ESA holders; 2. the participating banks (i.e. the bankers to the parties in the transaction) both have an evening agreement with RBA; and 3. the feeder is eligible in the EVENING session.
Transactions	Defaults to All. Select All or TBO.
Source	Defaults to All. Select All, S (SWIFT), A (Austraclear), R (RITS), C (CHESS), B (Batches), L (LVSS) or T (RITS Allocation Transactions) to view transactions by source.
Batch	Only displayed if B' is selected in Source field. Select the Batch Stream.
Payt Service	Only available if <i>L</i> is selected in Source field. Select a Payment Service or <i>All</i> .

Field	Description
Msg Type	Defaults to All. Select from SWIFT payment message types.
Direction	Defaults to <i>Both</i> . Select <i>In</i> (inward payments), <i>Out</i> (outward payments) or <i>Both</i> (all payments).
Amount From	Enter any positive number up to \$10 billion or leave blank to set no minimum amount. This filter works in conjunction with Direction . Example: To find outgoing payments of more than \$1 million, enter \$1,000,000 in Amount From and <i>Out</i> in Direction.
Amount To	 Enter an amount up to \$10 billion or leave blank to set no maximum amount. This filter works in conjunction with Direction. Example: To find outgoing payments up to \$10 million, enter \$10,000,000 in Amount To and <i>Out</i> in Direction. The Amount From and Amount To filters can also be used together to specify a range for the payment amounts.
Cash	Defaults to <i>All</i> . Select Cash Account Status of <i>Deferred</i> , <i>Active</i> , <i>Priority</i> or <i>Active</i> + <i>Priority</i> or leave as <i>All</i> . Only available when Direction filter selected is <i>Out</i> .
Credit	Defaults to <i>All</i> . Select Credit Status of <i>Deferred</i> , <i>Active</i> , <i>Priority</i> or <i>Active</i> + <i>Priority</i> or leave as <i>All</i> . Only available when Direction filter selected is <i>Out</i> .
ESA	Defaults to <i>All</i> . Select ESA Status of <i>Deferred</i> , <i>Active</i> , <i>Priority</i> , <i>Active</i> + <i>Priority</i> , <i>Bypass</i> (intrabank payments not tested at ESA level) or leave as <i>All</i> . Only available when Direction filter selected is <i>Out</i> .
And/Or	 Works in conjunctions with the Cash, Credit and ESA filters. Defaults to And. Select And - the transaction list populates with all transactions that have the combination of statuses selected in the Cash Account, Credit or ESA boxes (e.g., if Active is selected for Credit and Deferred is selected for ESA, then only those transactions with both an Active Credit and a Deferred ESA Status are displayed). Select Or - the transaction list populates with any transaction that has the statuses selected in the Cash Account, Credit or ESA boxes, (e.g., if Active is selected for Credit and Deferred ESA Status are displayed).
Ext TRN	Enter an External Transaction ID/Instruction ID/Return ID to view that transaction.
Tran Type	Defaults to <i>All</i> . Transaction type describes the transaction. Select from <i>ACLR</i> , <i>BATCH</i> , <i>CASHT</i> , <i>CHESR</i> , <i>CHESS</i> , <i>ESINT</i> , <i>FSS</i> , <i>FSEOD</i> , <i>FSSOD</i> , <i>LVSS</i> , <i>LVSSW</i> , <i>RBAPAY</i> , <i>RTEOD</i> , <i>SWIFT</i> , <i>SWIFTW</i> , <i>WCSH</i> or <i>WFDR</i> . See section 4.2.1 of this user guide for descriptions.

Field	Description
Session	 Defaults to All. Or select: Pre 9am - to view those transactions on the System Queue in the Morning Settlement Session that are eligible to settle in that session, or Post 9am - to view those transactions on the System Queue in the Morning Settlement Session that are not eligible to settle until DAY session opens. Indicated by the 'Post 9AM' flag. This filter is only available during the Morning Settlement Session.
Time Received – From	Defaults to 00:00:00. Enter a time in the format hh:mm:ss.
Time Received – To	Enter a time in the format hh:mm:ss.

The Advanced Filter criteria panel can be minimised by clicking on the arrow indicator on the right hand side of the panel.

Button	Description
LVSS Position	Select LVSS Position to go to LVSS Multilateral Position Summary in order to view your position in the current and next LVSS Multilateral Runs.
Find	Select $\ensuremath{\textit{Find}}$ to display the list of transactions based on the filter criteria selected.
Clear	Select Clear to reset all fields back to their default values. Any listed transactions are also cleared.
Export	Select Export and Find to 'export' a list of transactions to an Excel spreadsheet, based on the filter criteria selected.
Printer Icon	Select the Printer Icon to print the page.

10.3 Finding transactions in Queue Management

Once the appropriate filter criteria have been entered in the Queued Payments Management – ESA/Credit Status/LVSS Settle Method screen, select **Find**.

Queued Paym	ients Manageme	nt - ESA/Credi	t Status/LVSS Se	ettle Method		Ś
Enquiry Filter						8
Own Branch	Other Bank	I Ev	vening Flag All 🗸	Transac	tions	All 🗸
Source All 🗸	Batch All V	Payt Service A	Msg Type	✓ Dire	ction	Both 🗸
			Amount From	Am	ount To	
Cash All	✓ Credit A		ESA All	✓ And/Or		And V
				VSS Position F	ind	Clear Export
Advanced						
Ext TRN	Trar	Type All 🗸	Session All	~		
	Time Received	From 07 32 00	то 07 33	00		
Summary						
<u>Summary</u>						1
	RITS Balance	\$66,796,162,234.38	3	Amount	No.	
	Available RITS Balance	\$66,785,095,101.81	. Queued Inward	\$27.00	1	
	ESA Sub-Limit	\$2,000,000,000.00	Queued Outward #	\$0.00	0	
	RITS Active Balance	\$64,785,095,101.81	. Reserved Inward *	\$14,555,519.10	52	
			Reserved Outward *	\$11,067,132.57	33	
Calc	ulated Net ESA Positio	n \$67.146.075.893	97 (See qualification	s on ESA Positio	n scr	reen)
Cuic					ii sei	ceny
	* 5	# Includes queued Reservation Batch trans	l 2nd-leg RBA repos. actions awaiting settlemen	t.		
			g eetheman			
1 Record found [First Previous] 1 [Next Last]						
Time Rec 🗘 Pay Bank	Rec Bank Pay Rec Branch Bra	nch FI \Rightarrow Trans Type	Amount	CSH 🗘 CR 🗘 E	SA 🗧	LVSM 🗢 MR 🗢
07:32:17 WEST	BLUE WESTB1 BLU	JES1 SWREC	\$27.00			

10.3.1 Summary headings

Field	Description
RITS Balance	Displays the RITS Balance.
Available RITS Balance	Displays the balance available for RITS Transactions with priority ESA status. Calculated as RITS Balance less any current Reserved funds.
ESA Sub-Limit	Displays the current ESA Sub-Limit.
RITS Active Balance	Displays the balance available for RITS Transactions with active ESA Status. The difference between the Available RITS Balance and the ESA Sub-Limit.
Queued Inward	Displays the total amount and number of queued receipts.
Queued Outward	Displays the total amount and number of queued payments. Includes second leg RBA Repos.

Field	Description
Reserved Inward	The aggregate value and number of receipts in Reservation Batches not yet queued.
Reserved Outward	The aggregate value and number of payments in Reservation Batches not yet queued.
Calculated Net ESA Position	Displays the Calculated Net ESA Position, which is the current RITS Balance plus all queued and reserved inward payments less all queued and reserved outwards payments. This calculation is the same as that on the ESA position screen (refer to section 2.2)

The summary panel can be minimised by clicking on the arrow indicator on the right-hand side of the panel.

Field	Description
Time Rec	Displays the time the System Queue received the transaction. Warehoused RITS Cash Transfers, LVSS transactions and SWIFT payments are placed on the System Queue during overnight processing, usually at around 02:30. Column can be sorted in ascending or descending order.
Pay Bank	Displays the Paying Member mnemonic.
Rec Bank	Displays the Receiving Member mnemonic.
Pay Branch	Displays the Paying Member branch.
Rec Branch	Displays the Receiving Member branch.
Ev Fl	A 'Y' flag indicates that the transaction is eligible for settlement in the Evening Settlement Session. Column can be sorted in ascending or descending order.
Trans Type	Displays the transaction type that describes the payment, (e.g. ACPAY, ACREC, CASHP, CASHR, FSSTU, FSSWD, SWPAY, SWREC, LVPAY and LVREC). A full list of Transaction Types is available in section 4.2.1 of this user guide.
Amount	Displays the payment amount. Column can be sorted in ascending or descending order. Payments are shown in red with a negative sign. Receipts are shown in black with no sign.
Cash	Displays the Cash Account Status for payment. $D = Deferred$, $A = Active$, $P = Priority$. Column can be sorted in ascending or descending order.
Credit - Old	Displays the existing Credit Status of the payment. Column can be sorted in ascending or descending order

10.3.2 List headings

Field	Description
Credit - New	Select the new Credit Status for the payment. This is only available to users who have been allocated the <i>Credit Status Queue Management</i> role.
ESA - Old	Displays the existing ESA Status of the payment. Column can be sorted in ascending or descending order.
ESA - New	Select the new ESA Status for the payment. This is only available to users who have been allocated the <i>ESA Status Queue</i> <i>Management</i> role or the <i>ESA Status Queue Management – LVSS</i> role. This field will only be available for LVSS transactions for users with the <i>ESA Status Queue Management - LVSS</i> role.
LVSM - Old	Displays the existing LVSS Settlement Method of the transaction. Column can be sorted in ascending or descending order.
LVSM - New	Select the new LVSS Settlement Method for the transaction. This is only available to users who have been allocated the <i>LVSS Settle Method Queue Management</i> role.
MR	A 'Y' flag indicates that the transaction is currently locked in a Multilateral Settlement Run.

10.4 Update ESA and/or Credit Status and/or LVSS Settlement Method

In the drop down boxes in the **New** column for ESA Status, Credit Status and/or LVSS Settlement Method, select the desired new value(s).

More than one status for the same transaction and the statuses for more than one transaction may be updated at the same time.

List Box/Button	Description
Select new credit Status	Select the new Credit Status for the transaction. $D = Deferred$, $A = Active$, $P = Priority$.
Select new ESA Status	Select the new ESA Status for the transaction. $D = Deferred$, $A = Active$, $P = Priority$.
Select new LVSM	Select the new LVSS Settlement Method for the transaction. I = Individual, $M = Multilateral$.
Submit	Select Submit to enter the changes.
Export	Select Export and Find to 'export' a list of transactions to an Excel spreadsheet, based on the filter criteria selected.
Printer Icon	Select the Printer Icon to print the page.

10.4.1 Actions

10.5 Viewing individual transaction details

Select a transaction by clicking on it to view the **Queued Transaction Details** screen.

Queued Trar	saction Details						Ś
Member	RBV12E	Othe	r Member	RBV22E		Amount	\$0.00
Trans ID 65	938713			LVSS			
Ext TRN RB	3V20000035592A		LVSS Set	ttle Method	Multilateral		
Tran Type LV	REC		Paym	ent Service	BECN		
			Clearing	Description	10:00-7		
			LVSS Multi	lateral Run			
Queue Test I	nformation						
Time Activated Time on Queue	09:15:29		Last Test	t ed n/a		Fa	iled Tests
Account Deta	ils						
Cash A/C	081-590-12345680	Account	t Limit N	lo Limit	ESA Ba	lance \$	160,336,399.71
Balance	\$0.00	Priority B	alance N	lo Limit	ESA Sub-	Limit \$	100,000,000.00
Sub-Limit	n/a				Active Ba	lance \$	60,336,399.71
Active Balance	No Limit						
		[Close				

10.5.1 Individual transaction detail headings

Field Description

Queued Transaction Details panel

Displays details of the queued transaction.

Member	Displays the branch in which the transaction is recorded.			
Other Member	Displays the counterparty's branch.			
Amount	Displays the amount of the payment. Payments are shown in red with a negative sign. Receipts are shown in black with no sign.			
Trans ID	Displays the Transaction ID that is assigned by RITS.			
Ext TRN	Displays the External TRN/Instruction ID/Return ID of the transaction.			
BIN	Displays Batch Identification Number, if applicable.			

Field	Description	
Tran Type	Displays the transaction type of the payment (e.g. ACPAY, ACREC, BCHPY, BCHRC, CASHP, CASHR, FSSTU, FSSWD, SWPAY, SWREC, LVPAY and LVREC). Refer to section 4.2.1 of this user guide for the full list of Transaction Types.	
Pay BIC	Displays the SWIFT BIC of the paying Member. Only visible for SWIFT transactions.	
Rec BIC	Displays the SWIFT BIC of the receiving Member. Only visible for SWIFT transactions.	
Msg Type	Displays the SWIFT message type. Only visible for SWIFT transactions.	
Orig. Msg Type	Displays the original SWIFT message type of the payment return sent via the ISO 20022 CUG of the SWIFT PDS. Only visible for SWIFT messages sent through the ISO 20022 CUG.	
ACLR Feeder Tran Type	Displays the Austraclear transaction type. Only visible for Austraclear transactions.	
Rec Client ID	Displays the Austraclear Client mnemonic of the receiving Member. Only visible to receiving Member's bank for Austraclear transactions.	
Rec Client Account	Displays the bank account used by the receiving Member in Austraclear. Only visible to receiving Member's bank for Austraclear transactions.	
Pay Client ID	Displays the Austraclear Client mnemonic of the paying Member. Only visible to paying Member's bank for Austraclear transactions.	
Pay Client Account	Displays the bank account used by the paying Member in Austraclear. Only visible to paying Member's bank for Austraclear transactions.	
LVSS Settle Method	Displays whether the transaction is queued for <i>I</i> (<i>Individual</i>) or <i>M</i> (<i>Multilateral</i>) settlement. Only visible for LVSS transactions.	
Payment Service	Displays the payment service of the transaction. Only visible for LVSS transactions.	
Clearing Description	Displays the clearing description of the transaction. Only visible for LVSS transactions.	
LVSS Multilateral Run	Displays Y if the transaction is currently locked in a Multilateral Settlement Run, otherwise the field is blank. Only visible for LVSS transactions.	
Queue Test Information panel		

Displays information on the System Queue tests performed on the transaction.

Time Activated	Displays the time that the transaction was recognised by the System Queue processor.
Time on Queue	Displays the time spent on the System Queue.
ESA/Credit Status/LVSS Settle Method Queue Management

Field	Description
Last Tested	Displays the time the transaction was last tested by the System Queue, even if the last Multilateral Run failed. To be tested by the System Queue, the Cash Account, Credit and ESA statuses must be <i>Active</i> or <i>Priority</i> . The field will be blank if a Multilateral LVSS transaction has not yet been tested for settlement.
Failed Tests	Displays the number of times the transaction has failed System Queue testing.
Last Failure	 Displays the reason the transaction last failed System Queue testing. Only visible to the paying Member. Cash Account Sub-Limit Failure Cash Account Limit Failure ESA Sub-Limit Failure ESA Limit Failure Multilateral Settle Fail (for Multilateral LVSS transactions only)

Account Details panel

Displays the account details of the transaction.

Cash A/C	Displays the number of the Cash Account for the transaction.
Balance	Displays the Cash Account Balance at the time the screen was entered.
Sub-Limit	Displays the Cash Account Sub-Limit at the time the screen was entered. If a null Cash Account Sub-Limit is set 'None Set' is displayed. If limit processing is off 'N/A' is displayed.
Active Balance	Displays the Cash Account Active Balance at the time the screen was entered. If limit processing is on and the Sub-Limit is not null the Active Balance = Cash Account Balance – Cash Account Sub- Limit. If limit processing is on and the Cash Account Sub-Limit is null the Active Balance = Cash Account Balance + Cash Account Limit. If limit processing is off, 'No Limit' displays.
Account Limit	Displays the limit set for the Cash Account. If limit processing is off 'No Limit' displays.
Priority Balance	Displays the Cash Account Priority Balance at the time the screen was entered. If limit processing is on the Priority Balance = Cash Account Balance + Cash Account Limit. If limit processing is off 'No Limit' displays.
ESA Balance	Displays the RITS balance at the time the screen was entered.
ESA Sub-Limit	Displays the ESA Sub-Limit at the time the screen was entered. If a null ESA Sub-Limit is set 'None Set' is displayed.
Active Balance	Displays the RITS Active Balance at the time the screen was entered. This is calculated as Active Balance = ESA Balance - ESA Sub-Limit.

ESA/Credit Status/LVSS Settle Method Queue Management

10.5.2	Actions

Button	Description
Close	Select Close to return to the list screen.

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11. FSS POSITION SUMMARY

11.1 Key points

- The **FSS Position Summary** function provides Members with an overview of their FSS activity for a given date.
- The FSS Position Summary displays the current ESA Balance, RITS Balance and FSS Balance.
- The function displays the aggregate value and volume of settled NPP transactions and completed Allocation Transfers (FSS Top-ups and FSS Withdrawals).
- The function allows the selection of the current date or any prior calendar date within the previous five RITS business days.

11.2 FSS Position Summary screen

Select **FSS Position** from the **FSS** tab of the menu. The following screen is displayed.

FSS Position S	Sum	mary						Ś
Enquiry Filter								8
Settlement	t Date	02-Sep-2016	~					Find Clear
				ESA B	alance			
ESA Balance \$	28,28	1,129.74		RITS Balanc	e \$0.00		FSS Ba	alance \$28,281,129.74
				FSS Ba	alance			
Start of I	Day F	SS Balance	\$25,181,3	29.96				
NPP Transaction	15	In		No.	Out	t	No.	Net
NPP Transactio	ons	\$	200,030.45	4,057	-\$	100,230.67	3,122	\$99,799.78
Allocation Transfe	ers	Top-	Up	No.	Withdr	awal	No.	Net
RITS Start of D	Day				-\$22,	000,000.00	1	-\$22,000,000.00
RITS Intrac	day				-\$2,	000,000.00	2	-\$2,000,000.00
RITS End of D	Day	\$27,	000,000.00	1				\$27,000,000.00

11.2.1 Filter criteria for FSS Position Summary

Field	Description
Settlement Date	Defaults to the current date. Select either the current date or any prior calendar date within the previous five RITS business days.

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FSS Position Summary

11.2.2 Actions

Button	Description
Find	Select Find to display the FSS Position Summary based on the Settlement Date selected.
Clear	Select Clear to reset the Settlement Date filter to the default value.
Printer Icon	Select the Printer Icon to print the page.

11.2.3 FSS Position Summary details

Field Description

ESA Balance panel

Displays details of the ESA balance for the selected Settlement Date. Separate figures are shown for the ESA Balance, RITS Balance and FSS Balance.

Note that where the current Settlement Date is selected, the current balances are displayed and where a previous Settlement Date is selected, closing balances for that date are displayed.

FSS Balance panel

Displays details of the Start of Day FSS Balance as well as net NPP Transaction and Allocation Transfers movements.

Start of Day FSS Balance	Displays the FSS Balance as at Start of Day (midnight) for the selected Settlement Date.
NPP Transactions	Displays the aggregates of settled NPP Feeder system transactions including the value and number of inward and outward NPP payments and overall net value.
Allocation Transfers	Displays the aggregates of completed Allocation Transfers including the value and number of FSS Top-Ups and FSS Withdrawals and overall net value for each type of Allocation Transfer (Start of Day, Intraday and End of Day).

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FSS Allocation Management

12. FSS ALLOCATION MANAGEMENT

12.1 Key Points

- The **FSS Allocation Management** function allows Members to view and change the Upper and Lower Trigger Points that control when Intraday Allocation Transfers are triggered.
- The **FSS Allocation Management** function allows Members to set a Reset Point value. Allocation Transfers return the FSS Balance to the nominated Reset Point.
- Members can select **Return to Reset Point** to manually trigger an Allocation Transfer to return the FSS Balance to the Reset Point.
- Members should set Lower and Upper Trigger points sufficiently apart to avoid numerous Intraday Allocation Transfers being generated.
- Members should set the Lower Trigger high enough to provide a sufficient level of liquidity in the FSS, allowing for potential delays in settlement of FSS Top-ups.

12.2 FSS Allocation Management screen

Select **Allocation Mgt** from the **FSS** tab of the menu. The following screen is displayed.

ion Management		
FSS Balance		
FSS Balance	\$30,000,000.00	
FSS Allocation Triggers	Current	New
Upper	\$50,000,000	
Lower	\$10,000,000	
Reset Point	\$30,000,000	
Sub	mit Return to Reset Point	

In the case that there is a pending Allocation Transfer, for example, where the RITS leg is on the System Queue with a status of 'Limits Test', an additional panel is displayed showing the details of the pending Allocation Transfer. This is highlighted in red below.

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FSS Allocation Management

	FSS Balance					
	FSS Ba	lance	\$7,000,000	0.00		
	FSS Allocation Trigge	rs	Current		New	
	U	oper	\$30,000,0	00		
	Lo	wer	\$8,000,0	00		
	Reset P	oint	\$19,000,0	00		
		Pe	ending Allocation Tra	insfer		
Time Created	AT Trans ID	an Type	BITS Amount	RITS Queue Status	ESS Amount	ESS Status
11:51:14	AT16173 F	SSTU	-\$12,000,000,00	Limits Test		

12.2.1 Actions

Button	Description
Submit	Select Submit to make the changes to the FSS Allocation Triggers.
Return to Reset Point	Select Return to Reset Point to manually trigger an Allocation Transfer to return the FSS Balance to the Reset Point.
Print	Select the Printer Icon to print the page.

12.2.2 FSS Allocation Management details

Field	Description			
FSS Balance panel				
Displays the current FSS	Balance.			
FSS Balance	The current FSS Balance.			
FSS Allocation Triggers panel				
Displays the FSS Trigger	·S.			

FSS Allocation Management

Field	Description
Upper	Displays the current Upper Trigger. Enter a New amount from `0' to '999,999,999,000' (multiples of \$1,000; whole dollars only).
Lower	Displays the current Lower Trigger. Enter a <i>New</i> amount from `0' to '999,999,999,000' (multiples of \$1,000; whole dollars only).
Reset Point	Displays the current Reset Point. Enter a <i>New</i> amount from '0' to '999,999,999,000' (multiples of \$1,000; whole dollars only).

Pending Allocation Transfer panel

Displays details of a pending Allocation Transfer.

Time created	Displays the time the Pending Allocation Transfer was created.
AT Trans ID	Displays the Allocation Transfer Transaction Identifier prefixed with 'AT'.
Tran Type	Displays the Transaction Type, which can be either FSSTU (FSS Top-Up) or FSSWD (FSS Withdrawal).
RITS Amount	Displays the RITS Allocation Transaction amount.
RITS Queue Status	Displays the Settlement Status of the RITS Allocation Transaction.
FSS Amount	Displays the FSS Allocation Transaction amount.
FSS Status	Displays the Settlement Status of the FSS Allocation Request. Leave blank or select from <i>Initiated</i> , <i>Settled</i> , <i>Pending</i> , <i>Failed</i> , <i>Rejected</i> .

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FSS Allocation Transfer Enquiry

13. FSS ALLOCATION TRANSFER ENQUIRY

13.1 Key Points

- The Allocation Transfer Enquiry displays all Allocation Transfer records of the Member for the selected date.
- Allocation Transfers may have a status of *Complete, Pending, Cancelled* or *Failed*.
- Members can view the details of an Allocation Transfer and the underlying legs of the transfer by selecting the relevant record from the results list.
- Records may be filtered using a range of criteria.
- Use the filters to refine searches for data.
- Data can be downloaded to EXCEL using **Find** and **Export**.
- Allocation Transfers for the current RITS business day and up to five previous RITS business days are available.

13.2 FSS Allocation Transfer Enquiry screen

Select **AT Enquiry** from the **FSS** tab of the menu. The following screen is displayed.

FSS Allocation Transfer Enquiry			
Enquiry Filter			۲
Allocation Transfer Type	All 🗸	Reason All V	Enquiry Date 06-Jul-2016 🗸
Allocation Transfer Status	All V		
			Find Clear Export

13.2.1 Filter criteria for FSS Allocation Transfer Enquiry

Filter Field	Description
Allocation Transfer Type	Defaults to All. Select the Allocation Transfer Type: FSS Top- Up or FSS Withdrawal.
Reason	Defaults to All. Select the Allocation Transfer reason: End of Day, Lower Trigger, Reset, Start of Day or Upper Trigger.
Enquiry Date	Defaults to the current RITS business date. Select either the current RITS business date and up to five previous RITS business days.
Allocation Transfer Status	Defaults to All. Select the Allocation Transfer Status: Complete, Pending, Cancelled or Failed.
Export	Select the check box to download results into an Excel spreadsheet on selection of Find.

FSS Allocation Transfer Enquiry

13.2.2 Actions

Button	Description
Find	Select Find to display a list of Allocation Transfers based on the filter criteria selected, or to export results to Excel (if the Export checkbox is checked).
Clear	Select Clear to reset all fields to their default state. Any listed Allocation Transfers are also cleared.
Printer Icon	Select the Printer Icon to print the page.

13.2.3 FSS Allocation Transfer Enquiry Results screen

Select appropriate filter criteria in the **FSS Allocation Transfer Enquiry** screen and select **Find**. The following screen is displayed, showing the filter criteria and a list of results.

FSS Allocation Transfer Enquiry							
Enquiry Filte	r						
Allocation Transfer Type All V Reason All V Enquiry Date 30-Aug-2016 V							
Allocat	ion Transfer Statu	is All	 Image: A set of the set of the				
						Find C	lear Export
				5	Records found	[First Previo	us] 1 [Next Las
Time Created ≑	AT Trans ID	АТ Туре	Reason	FSS Amount	FSS Status	RITS Status	AT Status
2:00:07	AT35270	FSSTU	End of Day	\$29,300,000.00	Settled	Settled	Complete
7:58:33	AT35224	FSSTU	Lower Trigger	\$7,500,000.00		Recalled	Cancelled
1:12:29	AT35078	FSSWD	Upper Trigger	-\$3,000,000.00	Settled	Settled	Complete
0:10:42	AT35048	FSSWD	Upper Trigger	-\$4,000,000.00	Settled	Settled	Complete
7.00.07	AT34977	ESSWD	Start of Day	-\$27,000,000,00	Settled	Settled	Complete

13.2.4 List headings

Field	Description
Time Created	Displays the time the Allocation Transfer record was created. Column can be sorted in ascending or descending order by clicking on the up/down arrows next to the column heading. Time is shown in AEST/AEDT 24hr time.
AT Trans ID	Displays the unique Allocation Transfer identifier assigned by RITS.
АТ Туре	Displays the Allocation Transfer Type: FSSTU (FSS Top-up), FSSWD (FSS Withdrawal).
Reason	Displays the Allocation Transfer reason.
FSS Amount	Displays the Allocation Transfer amount.
FSS Status	Displays the status of the FSS leg of the Allocation Transfer.
RITS Status	Displays the status of the RITS leg of the Allocation Transfer.
AT Status	Displays the overall status of the Allocation Transfer.

FSS Allocation Transfer Enquiry

13.3 Viewing individual Allocation Transfer details

To view the details of an Allocation Transfer and the underlying RITS and FSS Transactions, select the Allocation Transfer by clicking on the relevant row in the **FSS Allocation Transfer Enquiry** results screen. The example shown below is an intraday FSS Withdrawal, triggered by the FSS Balance rising above the Upper Trigger.

Note that the two legs of the Allocation Transfer are displayed in the order in which they completed.

Allocation Transfe	er Details		Ś
	FSS Allocation Tra	nsfer Details	Ť
Member	LV03		
AT Trans ID	AT35078		
АТ Туре	FSSWD		
Reason	Upper Trigger		
Date/Time Created	30-Aug-2016 11:12:29		
Date/Time Completed	30-Aug-2016 11:12:33		
Status	Complete		
	FSS Transa	ction	
FSS Trans ID	6324042	Reject Code	
Tran Type	FSSWD	Reject Reason	
Status	Settled		
Settlement Date	30-Aug-2016		
Time Received	11:12:29		
Time Settled	11:12:31		
Amount	-\$3,000,000.00		
	RITS Transa	action	
RITS Trans ID	12839800	Reject Code	
Tran Type	FSSWD	Reject Reason	
Status	Settled		
Settlement Date	30-Aug-2016		
Time Received	11:12:31		
Time Settled	11:12:31		
Amount	\$3,000,000.00		
Own Branch	LV03FS		
	Close		

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FSS Allocation Transfer Enquiry

13.3.1 Allocation Transfer Details

Field	Description	
FSS Allocation Transfer De	tails	
The details of the Allocation Transfer		
Member	The Member's RITS mnemonic	
AT Trans ID	The Allocation Transfer Transaction Id prefixed with 'AT'	
АТ Туре	The Allocation Transfer Type. Possible values are FSSTU or FSSWD	
Reason	The reason for the Allocation Transfer	
Date/Time Created	The Date/Time the Allocation Transfer record was created	
Date/Time Completed	The Date/Time the Allocation Transfer record was completed	
Status	The status of the Allocation Transfer	
FSS Transaction		

The details of the FSS Transaction leg of the Allocation Transfer.

FSS Trans ID	The FSS Transaction ID (i.e. FSS Sequence ID)
Tran Type	The transaction type of the FSS transaction leg
Status	The status of the of the FSS transaction leg
Settlement Date	The Settlement Date of the FSS transaction
Time Received	The time the FSS transaction is recorded as being received by the FSS
Time Settled	The time the FSS transaction settled on the FSS
Amount	The amount of the FSS transaction
Reject Code	The FSS reject code if the FSS transaction was rejected. Possible values include: 50, 63, 67, 75, 77 and 87. Blank for non-rejected transactions.
Reject Reason	The FSS reject reason if the FSS transaction was rejected. Blank for non-rejected transactions.
RITS Transaction	

The details of the RITS Transaction leg of the Allocation Transfer.

RITS Trans ID	The RITS Transaction ID
Tran Type	The transaction type of the RITS transaction leg
Status	The status of the RITS transaction leg from the AT Service

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FSS Allocation Transfer Enquiry

Field	Description
Settlement Date	The Settlement Date of the RITS transaction
Time Received	The time the RITS transaction was received on the RITS System Queue
Time Settled	The time the RITS transaction settled on the RITS System Queue
Amount	The amount of the RITS transaction
Own Branch	The Member's branch across which the RITS transaction settled
Reject Code	The RITS reject code if the RITS transaction was rejected. Possible values include: 71, 72, 77, 82, 85 and 86. Blank for non-rejected transactions.
Reject Reason	The RITS reject reason if the RITS transaction was rejected. Blank for non-rejected transactions.

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14. FSS SETTLED PAYMENTS

14.1 Key Points

- The FSS Settled Payments enquiry displays settled FSS Transactions and completed FSS legs of Allocation Transfers.
- Records may be filtered on a wide range of criteria.
- Use the filters to refine searches for data.
- A limit of 10,000 records applies when **Find** is used. If the search exceeds 10,000 records the search is terminated and an error message is displayed.
- Data can be downloaded to EXCEL using the **Export** checkbox and **Find**. The limit of 10,000 records also applies to exports. It is recommended that large data demands be downloaded and analysed in EXCEL.
- Use **Find Last 500** to return the most recent 500 records (ignores the filters). Export is not available.
- Displays payments for the current date or any prior calendar date within the previous five RITS business days.

14.2 FSS Settled Payments screen

Select **Settled Payments** from the **FSS** tab of the menu. The following screen is displayed.

FSS Settled Payments
Enquiry Filter
Other Member All V Direction Both Tran Type All V Settlement Date 15-Aug-2017
FSS Trans ID Transaction ID
BO Service Code All V Clearing System Ref
Amount From To Time Settled From 00 00 To Image: Control of the settled From Control of the settled
Find Last 500 Find Clear Export

14.2.1 Filter criteria for FSS Settled Payments

Filter Field	Description
Other Member	Defaults to All. Select the counterparty's four character RITS mnemonic.
Direction	Defaults to Both. Select In (inward payments), Out (outward payments) or Both (all payments).
Tran Type	Defaults to All. Select the FSS Transaction type: FSEOD (FSS balance as at close of previous business day), FSSTU (FSS Top-Up), FSSWD (FSS Withdrawal), NPPAY (NPP Pay), NPREC (NPP Receive).

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FSS Settled Payments

Filter Field	Description		
Settlement Date	Defaults to the current date. Select either the current date or any prior calendar date within the previous five RITS business days.		
FSS Trans ID	The FSS Transaction ID assigned by the FSS. Enter an FSS Trans ID in the filter criteria to limit the search to that entry. All other filter criteria are ignored. Note this does not apply when a value is entered in the Transaction ID filter field, in which case the FSS Tran ID filter will be ignored.		
Transaction ID	For Transaction Types NPPPAY and NPREC this is the <i>TxId</i> field as provided in the Settlement Request. For Transaction Types FSSTU and FSSWD this is the ID assigned by the FSS. Not present for Transaction Type FSEOD. Enter a Transaction ID in the filter criteria to limit the search to that entry. All other filter criteria are ignored. A wildcard character `*' (asterisk) may be used at the beginning of the field followed by any number of alphanumeric characters (up to a total length of 35 characters excluding the wildcard). The results returned will include all records where the Transaction ID ends with the matching characters following the `*'.		
BO Service Code	The BO Service Code component of the Business Service ID, as provided in the Settlement Request. Defaults to All. Allows a user to filter at the BO Service Code level, listing all available BO Service Codes. Applies to NPP payments and receipts only, i.e. it is not present for Transaction Types FSSTU, FSSWD and FSEOD.		
Clearing System Ref	Enter a Clearing System Reference in the filter criteria to limit the search to that entry. Applies to NPP payments and receipts only, i.e. it is not present for Transaction Types FSSTU, FSSWD and FSEOD.		
Amount From	Enter an amount to search for transactions larger than this amount or leave blank to set no minimum amount.		
Amount To	Enter an amount to search for transactions smaller than this amount or leave blank to set no maximum amount. The Amount From and Amount To filters can also be used together to specify a range for the payment amounts.		
Time Settled – From	Defaults to 00:00:00. Enter a time in the format hh:mm:ss.		
Time Settled – To	Enter a time in the format hh:mm:ss. No entry is required to get the latest payments and receipts. The Time Settled From and Time Settled To filters can also be used together for transactions within the nominated time period.		

FSS Settled Payments

Filter Field	Description
Export	Select the check box to download results records to an Excel spreadsheet on selection of Find. Note that an exported list of transactions will contain additional fields matching those found in the End-Of-Day FSS Settled Transactions Report.

14.2.2 Actions

Button	Description
Find Last 500	Select Find Last 500 to return the 500 most recently settled transactions. This option ignores the filters. It is not possible to export results with this option.
Find	Select Find to display a list of settled transactions based on the filter criteria selected. Searches are limited to 10,000 records. If the search covers more than 10,000 records, the search is terminated and a message is displayed. If this occurs, refine the search using the filters provided.
Clear	Select Clear to reset all fields to their default state. Any listed transactions are also cleared.
Export	Select the checkbox to download results to an Excel spreadsheet, on selection of Find .
Printer Icon	Select the Printer Icon to print the page.

14.2.3 Finding transactions in FSS Settled Payments

Once the appropriate filter criteria have been entered in the **FSS Settled Payments** screen, select **Find**. The following screen is displayed.

FSS Set	ttled Paym	ients					ø.
Enquiry Fi	lter						۲
Other Me	mber All	Direction Bo	th 🗸	Tran Type All	~	Settlement Date	e 15-Aug-2017 ∨
FSS Trai	ns ID		Transaction ID				
BO Service	Code All 🗸		Clearing	System Ref			
Amount F	rom	то		Time	Settled	From 00 00 00	то
					Г	Find Last 500 Fi	
					L		
		7255 Records	found [First Previ	ous] 1, <u>2,3,4,5,6,7</u>	<u>7,8,9,10</u>	,11,12,13,14,15,16,1	7,18,19,20 [Next Last]
Tran Type	Time Settled 🗢	Other Member 🌲	Transaction ID		\$	Amount 🔶	FSS Balance 🛛 🌲
FSEOD	00:00:00					\$16,109,969,772.83	\$16,109,969,772.83
FSSWD	07:28:15	RBV1	RSBKAUFSXXXR20170	81400000000363	150	-\$133,424,388.83	\$15,976,545,384.00
NPREC	07:39:23	RBV1	RSBKAUTAXXXN20170	814005247481494	560	\$1.01	\$15,976,545,384.00
NPPAY	07:39:23	RBV1	RSBKAUTAXXXN20170	814005247481494	560	-\$1.01	\$15,976,545,384.00
NPPAY	07:39:23	RBV2	RSBKAUTAXXXN20170	814000640102508	840	-\$1.01	\$15,976,545,382.99
NPREC	07:39:23	RBV1	RSBKAUTCXXXN20170	814006551931885	740	\$1.01	\$15,976,545,382.99
NPPAY	07:39:23	RBV1	RSBKAUTCXXXN20170	814006551931885	740	-\$1.01	\$15,976,545,382.99
NPPAY	07:39:23	RBV2	RSBKAUTCXXXN20170	814000873336337	640	-\$1.01	\$15,976,545,381.98
NPREC	07:39:23	RBV1	RSBKAUTAXXXN20170	814001294957518	880	\$1.01	\$15,976,545,381.98

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FSS Settled Payments

14.2.4 List headings

Field	Description
Tran Type	Displays the transaction type of the payment: FSEOD (FSS End of Day), FSSTU (FSS Top-Up), FSSWD (FSS Withdrawal), NPPAY (NPP Pay), NPREC (NPP Receive).
Time Settled	Displays the time the FSS settled the payment. Column can be sorted in ascending or descending order. Time is shown in AEST/AEDT 24hr time.
Other Member	Displays the counterparty's RITS mnemonic (blank for Transaction Type FSEOD). Column can be sorted in ascending or descending alphabetical.
Transaction ID	Displays the Transaction ID associated with the payment as received in the Settlement Request or the RITS generated Transaction ID for FSS Allocation Transactions (blank for Transaction Type FSEOD). Column can be sorted in ascending or descending alphanumerical order.
Amount	Displays the payment amount. Column can be sorted in ascending or descending order. Debits are shown in red with a negative sign. Credits are shown in black with no sign.
FSS Balance	Displays the FSS Balance at the time the payment is settled. Column can be sorted in ascending or descending order.

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FSS Settled Payments

14.3 Viewing individual transaction details

To view the details of a transaction, select the transaction by clicking on the row in the **FSS Settled Payments** results screen. The example shown below is an NPP payment.

FSS Settled Payment Details			
FSS Transaction Details			NPP Message Details
Payer	RBV1	Sender	RSBKAUTAXXX
Payee	RBV1	Receiver of Payer SN	RSBKAUTAXXX
Amount	-\$1.01	Receiver of Payee SN	RSBKAUTAXXX
FSS Trans ID	64556440	Transaction ID	RSBKAUTAXXXN20170814005247481494560
Tran Type	NPPAY	End to End ID	PERC 0815.073917 332067765535251
Status	Settled	Clearing System Ref	PAYEEBOSYS20160704062846876
Date/Time Received	15-Aug-2017 07:39:23	BO Service Code	sct
Date/Time Settled	15-Aug-2017 07:39:23	Debtor	RSBKAUTAXXX
		Creditor	RSBKAUTAXXX
		Debtor Agent	
		Creditor Agent	
		Ultimate Debtor	
		Ultimate Creditor	
	E	< Prev Next > Close	1

14.3.1 Individual transaction detail headings

Field Description

FSS Transaction Details

This section is displayed for all FSS Transactions (i.e. NPP Payments, the FSS leg of Allocation Transfers and the FSEOD opening FSS Balance record).

Payer	Displays the Payer ESA holder's RITS mnemonic.
Рауее	Displays the Payee ESA holder's RITS mnemonic.
Amount	Displays the amount of the settled payment. Debits are shown in red with a negative sign. Credits are shown in black with no sign.
FSS Trans ID	Displays the Transaction ID that is assigned by the FSS.
Transaction ID	Displayed only for FSS Allocation Transactions (FSSWD and FSSTU). Contains the RITS generated Transaction ID for the FSS Allocation Transaction.

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FSS Settled Payments

Field	Description
Tran Type	Displays the transaction type of the settled payment: FSEOD (FSS balance as at close of previous business day), FSSTU (FSS Top-Up), FSSWD (FSS Withdrawal), NPPAY (NPP Pay), NPREC (NPP Receive).
Status	Displays the transaction status (which will always be <i>Settled</i> as it always relates to a settled transaction.
Date/Time Received	Displays the date and time the payment was received by the FSS. Time is shown in AEST/AEDT 24hr time.
Date/Time Settled	Displays the date and time the payment was settled. Time is shown in AEST/AEDT 24hr time.

NPP Message Details

This section is displayed for NPP Payments only.

Sender	Displays the BIC11 of the sender of the Settlement Request.
Receiver of Payer SN	Displays the BIC11 of the receiver of the Payer Settlement Notification (this will be the same value as the Sender BIC11).
Receiver of Payee SN	Displays the BIC11 of the Creditor Agent, if present in the Settlement Request otherwise display the BIC11 of the Creditor (i.e. receiver of the 'payee' Settlement Notification).
Transaction ID	Displays the Transaction ID from the associated Settlement Request.
End to End ID	Displays the End to End ID from the associated Settlement Request.
Clearing System Ref	Displays the Clearing System Reference from the associated Settlement Request (if present, otherwise blank).
BO Service Code	Displays the BO Service Code in the Business Service field from the associated Settlement Request.
Debtor	Displays the BIC11 of the Debtor from the associated Settlement Request.
Creditor	Displays the BIC11 of the Creditor from the associated Settlement Request.
Debtor Agent	Displays the BIC11 of the Debtor Agent from the associated Settlement Request (if present, otherwise blank).
Creditor Agent	Displays the BIC11 of the Creditor Agent from the associated Settlement Request (if present, otherwise blank).
Ultimate Debtor	Displays the BIC11 of the Ultimate Debtor from the associated Settlement Request (if present, otherwise blank).
Ultimate Creditor	Displays the BIC11 of the Ultimate Creditor from the associated Settlement Request (if present, otherwise blank).

FSS Settled Payments

14.3.2 Actions

Button	Description
Prev	Select Prev to view the details of the previous settled FSS Transaction.
Next	Select Next to view the details of the next settled FSS Transaction.
Close	Select Close to close the FSS Settled Payment Details screen and return to the FSS Settled Payments results screen.
Printer Icon	Select the Printer Icon to print the page.

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Rejected FSS Message Enquiry

15. REJECTED FSS MESSAGE ENQUIRY

15.1 Key Points

- The Rejected FSS Message Enquiry displays Settlement Requests rejected by the FSS due to a failed validation.
- Records may be filtered on a wide range of criteria.
- Use the Reason Code 'DUPL' to enquire on Settlement Requests detected as duplicates by the FSS.
- A limit of 10,000 records applies when **Find** is used. If the search exceeds 10,000 records the search is terminated and an error message is displayed.
- Data can be downloaded to EXCEL using **Export** checkbox and **Find**.
- Use **Find Last 500** to return the most recent 500 records (ignores filters). Export is not available.
- Displays rejected Settlement Requests for the current date or any prior calendar date within the previous five RITS business days.

15.2 Rejected FSS Message Enquiry screen

Select **Rejected Messages** from the **FSS** tab of the menu. The following screen is displayed.

Rejected FSS Message Enquiry	e e e e e e e e e e e e e e e e e e e
Enquiry Filter	8
Other Member All Reason Code All	Enquiry Date 09-Apr-2019 🗸
Transaction ID	
Amount From To	Time Created From 00 00 00 To
	Find Last 500 Find Clear Export

15.2.1 Filter criteria for Rejected FSS Message Enquiry

Field	Description
Other Member	Defaults to All. Select the counterparty's four character RITS mnemonic.
Reason Code	Defaults to All. Allows a user to filter at the Reason Code level, listing all available reject Reason Codes, including DUPL for duplicates. Reason codes are listed in section 7 of <i>Information Paper: FSS</i> <i>Message Specifications</i> .
Enquiry Date	Defaults to the current date. Select either the current date or any prior calendar date within the previous five RITS business days.

Rejected FSS Message Enquiry

Field	Description
Transaction ID	Filter by the message Transaction ID. Entering a Transaction ID in the filter criteria limits the search to that entry. All other filter criteria are ignored. A wildcard character '*' (asterisk) may be used at the beginning of the field followed by any number of alphanumeric characters (up to a total length of 35 characters excluding the wildcard). The results returned will include all records where the Transaction ID ends with the matching characters following the '*'.
Amount From	Enter an amount to search for messages larger than this amount or leave blank to set no minimum amount.
Amount To	Enter an amount to search for messages smaller than this amount. No entry is required to get the latest messages. The Amount From and Amount To filters can also be used together to specify a range for the payment amounts.
Time Created – From	Defaults to 00:00:00. Enter a time in the format hh:mm:ss to limit your search to messages created after this time.
Time Created – To	Enter a time in the format hh:mm:ss to limit your search to messages created before this time or leave blank to retrieve the latest rejected messages. The Time Created From and Time Created To filters can also be used together for rejected messages within the nominated time period.
Export	Select the checkbox to download results to an Excel spreadsheet, on selection of Find .

15.2.2 Actions

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Button	Description
Find Last 500	Select Find Last 500 to return the 500 most recently rejected messages. This option ignores the filters. It is not possible to export with this option.
Find	Select Find to display a list of rejected messages based on the filter criteria selected. Searches are limited to 10,000 records. If the search returns more than 10,000 records, the search is terminated and an error message is displayed. If this occurs, refine the search using the filters provided.
Clear	Select Clear to reset all fields to their default values. Any listed results are also cleared.
Printer Icon	Select the Printer Icon to print the page.

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Rejected FSS Message Enquiry

15.3 Rejected FSS Message Enquiry Results screen

Select appropriate filter criteria in the **Rejected FSS Message Enquiry** screen, and select **Find**. The following screen is displayed, showing the filter criteria and a list of results.

Rejected FSS Me	ssage E	Inquiry			
Enquiry Filter					8
Other Member All	~		Reason Code All 🗸		Enquiry Date 09-Apr-2019 V
Transaction ID					
Amount From		То		Time Created From	00 00 00 To
				Find Last	500 Find Clear Export
				1 Record foun	d [First Previous] 1 [Next Last
Date Time Created 🔶	Other Member	Reason Code	Transaction ID		Amount
09-Apr-2019 09:23:29	FEBR	AM04	APRLAU2SXXXN2019040801000	02322509030	-\$6,000,000,000.00

15.3.1 List headings

Field	Description
Date Time Created	Displays the date and time the FSS created the rejected Settlement Notification. Time is shown in AEST/AEDT 24hr time. Column can be sorted in ascending or descending order.
Other Member	Displays the counterparty' RITS mnemonic.
Reason Code	Displays the rejection Reason Code. This is blank where the enquiring Member is the Payee. See the <i>Information Paper: FSS Message Specifications</i> , which is available in the RITS Information Facility, for more details on FSS validations, as well as a list of reject codes and their description.
Transaction ID	Displays the Transaction ID contained in the Settlement Request.
Amount	Displays the transaction amount contained in the Settlement Request.

15.4 Viewing individual rejected message details

To view details of a rejected FSS Payment, select the transaction by clicking on the row in the **Rejected FSS Message Enquiry** results. The **Rejected FSS Message Details** screen is displayed.

This screen will show the details of the message received by the FSS and the response from the FSS (if one has been generated at the time of the enquiry).¹

 $^{^1}$ Note that fields populated will vary depending on whether the viewing Member is a Payer or Payee. For example, the reason code and description are shown to the payer only.

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Rejected FSS Message Enquiry

Rejected FSS Mess	age	Details				Ś	
		Mes	sage Received at FSS	;			
Message Definit	on	pacs.009.001.05			Sender	APRLAU2SXXX	
Date/Time Receiv	ed	09-Apr-2019 09:23:29	9		Payer	APRL	
BO Service Co	de	sct			Debtor	APRLAU2SXXX	
Message	ID	APRLAU2SXXX201904	080100002322409035		Creditor	FEBRAU2SXXX	
Transaction	ID	APRLAU2SXXXN20190408010002322509030			Debtor Agent		
End to End	ID	123ABC			Creditor Agent		
Amou	Int	-\$6,000,000,000.00		P	ossible Duplicate		
		м	essage Sent by FSS				
Message Definition	pa	cs.002.001.06	Messag	e ID	RSBKAUFSXXX201	90408010001176946600	
BAH Date/Time Created (AEST/AEDT)	09	-Apr-2019 09:23:29	Original Transactio	n ID	ID APRLAU2SXXXN2019040801000232		
BAH Date/Time Created (UTC)	20 T2	19-04-08 3:23:29.402Z	FSS Trans ID 1176946		117694660	.7694660	
Reason Code	AM	04	Transaction Status RJCT				
Reject Text	Ins	sufficient Funds	Acceptance Date/Time				
Copy Duplicate			Resulting FSS Bala	ance	\$3,000,000.00		
			Close				

Field

Description

Message Received at FSS

This section contains details of the rejected Settlement Request.

Date/Time Received	The date/time the Settlement Request was received by the FSS in AEST / AEDT.
BO Service Code	The BO (Back Office) Service Code component of the Business Service ID of the Settlement Request.
Message ID	The Message Id as per the rejected Settlement Request.
Transaction ID	The Transaction Id as per the rejected Settlement Request.
End to End ID	The End to End Id as per the rejected Settlement Request.
Amount	The amount as per the rejected Settlement Request.
Sender	The BIC11 of the sending Clearing participant as per the rejected Settlement Request.
Payer	The RITS Mnemonic of the ESA Holder whose FSS Balance was intended to be debited.

Rejected FSS Message Enquiry

Field	Description
Debtor	The BIC11 of the Debtor as per the rejected Settlement Request.
Creditor	The BIC11 of the Creditor as per the rejected Settlement Request.
Debtor Agent	The BIC11 of the Debtor Agent as per the rejected Settlement Request.
Creditor Agent	The BIC11 of the Creditor Agent as per the rejected Settlement Request.
Possible Duplicate	If the possible duplicate field is populated in the Settlement Request, the value is set to 'true'.

Message Sent by FSS

This section contains the details of the Settlement Notification sent in response to the above Settlement Request.

Message Definition	The full ISO Settlement Request message definition value as per the rejected Settlement Request.
BAH Date/Time Created (AEST/AEDT)	The date/time the Settlement Notification was created by the FSS in AEST / AEDT.
BAH Date/Time Created (UTC)	The date/time the Settlement Notification was created by the FSS in UTC.
Reason Code	The rejection reason code as contained in the Payer Settlement Notification.
Reject Text	The text associated with the reason code provided in the Payer Settlement Notification. Where the Settlement Request is identified as a duplicate (i.e. Copy Duplicate = 'DUPL'), this field is obtained from the original Settlement Notification.
Copy Duplicate	Display 'DUPL' if the Settlement Notification contains a Copy Duplicate field.
Message ID	The Message ID generated by the FSS.
Original Transaction ID	This is the Transaction Id in the Settlement Notification that references the relevant Settlement Request. Where the Settlement Request is identified as a duplicate (i.e. Copy Duplicate = 'DUPL'), this field is obtained from the original Settlement Notification.
FSS Trans ID	The FSS Transaction Id as generated by the FSS. Where the Settlement Request is identified as a duplicate (i.e. Copy Duplicate = 'DUPL'), this field is obtained from the original Settlement Notification.

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Rejected FSS Message Enquiry

Field	Description
Transaction Status	The transaction status as contained in the Settlement Notification. This is either 'RJCT' or 'ACSC'. Where the Settlement Request is identified as a duplicate (i.e. Copy Duplicate = 'DUPL'), this field is obtained from the original Settlement Notification.
Acceptance Date/Time	The date/time the original Settlement Request was settled.
Resulting FSS Balance	 This value is populated if: the reason code is AM04 (Insufficient Funds); and the intended Payer ESA Holder has elected to receive the resulting ES Balance in Settlement Notifications; or the Settlement Request was identified as a duplicate (i.e. Copy Duplicate = 'DUPL'). The value is then obtained from the original Settlement Notification.
Close	Select Close to close the FSS Settled Payment Details screen and return to the FSS Settled Payments results screen.

16. RECALL RITS ALLOCATION TRANSACTION

16.1 Key Points

- The **Recall RITS Allocation Transaction** function allows a Member to recall an FSS Top-up that is queued in RITS awaiting funds.
- The **Recall RITS Allocation Transaction** function displays RITS Allocation Transactions with a status of '*Limits Test'* only.
- Select **Recall** to initiate a recall of the RITS Allocation Transaction displayed. This cancels the Allocation Transfer.
- Prior to initiating a Recall, the Member should consider taking corrective action as necessary to ensure that any subsequent RITS Allocation Transactions are able to settle. For example, the Member might wish to lower their Reset Point to reduce the FSS Top-up amount at the next attempt.

16.2 Recall RITS Allocation Transaction screen

Select **Recall RITS AT** from the **FSS** tab of the menu. The following screen is displayed.

call RITS Allocation Transaction			¢
Time Received AT Trans ID RITS Trans ID	Tran Type	Amount	RITS Queue Status
10:47:08 AT16501 12825604	FSSTU	-\$12,500,000.00	Limits Test

16.2.1 List headings

Field	Description
Time Received	Displays the time the System Queue received the RITS Allocation Transaction. Time is shown in AEST/AEDT 24hr time.
AT Trans ID	Displays the unique Allocation Transfer ID assigned by RITS.
RITS Trans ID	Displays the Transaction ID that is assigned by RITS.
Tran Type	Displays the transaction type of the payment (FSSTU or FSSWD).
Amount	Displays the amount of the pending RITS Allocation Transaction.
RITS Queue Status	Displays the status of the payment.

Recall RITS Allocation Transaction

16.2.2 Actions

Button	Description
Recall	Select Recall to recall the RITS Allocation Transaction. Once the transaction has been successfully recalled the status of the associated Allocation Transfer record will be set to 'Cancelled'.
Printer Icon	Select the Printer Icon to print the page.

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FSS Notifications

17. FSS NOTIFICATIONS

17.1 Key Points

• Use FSS (Alert) Notification Maintenance to:

- Select the FSS Alert Notifications required.
- Enter one email address and up to 10 mobile phone numbers for each selected FSS Alert Notification.
- Send a test message to updated recipients.
- Although email address and SMS are optional fields for each notification, where a notification has been selected at least one contact detail (email or SMS) must be provided for that notification.

17.2 FSS Notification Maintenance screen

Select **FSS Notifications** from the **FSS** tab of the menu. The following screen is displayed.

SS Notification Maintenance											
Notification Low FSS Balance			FSS Settl	eme	nt Test Failed	Т	Unsettled RITS Allocation Transfer				
Receive		✓		V			✓				
Threshold	\$200,000,000.00										
Email	123@domain.com	1	456@domain	456@domain.com			323@domain.com				
	Name	Number	Name		Number		Name	Number			
	Joe Bloggs	040000001	John Smith		0400000000		Jane Smith	0401111111			
	Michael Jones	0433333333					Adam Smith	041111111			
							Milton Banner	0422222222			
SMS											
		1									
								1			
			Submit								

17.2.1 List headings

Field	Description
Receive	Select the alert notifications to be received.
Threshold	Enter a threshold amount to be used to trigger the Low FSS Balance Notification during RITS non-settlement hours.

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FSS Notifications

Field	Description
Email	Enter one recipient email address for each notification. The domain of the email address must match the email domain separately provided to the RITS Help Desk.
SMS	Enter up to ten recipient names and mobile numbers for each notification.

17.2.2 Actions

Button	Description
Submit	Select Submit to save all changes made to notification settings. Upon selecting Submit a confirmation screen is displayed. Members are given the opportunity to elect to send a test message to all new and updated recipients (SMS and email) upon confirmation. Any recent updates entered will be displayed in navy blue text.
Printer Icon	Select the Printer Icon to print the page.

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Override ESA/Credit Status/LVSS Settle Method

18. OVERRIDE ESA/CREDIT STATUS/LVSS SETTLE METHOD

18.1 Key points

- This function is used to set Override ESA and Credit Statuses for transactions sent to the System Queue, from the LVSS, RITS, SWIFT, Austraclear and CHESS-RTGS systems, and to set an override LVSS Settlement Method for LVSS transactions (applied to transactions where the Member is the payer).
- An Override ESA or Credit Status or LVSS Settlement Method replaces any ESA or Credit Status or LVSS Settlement Method set on a transaction when it is placed to the System Queue.
- An Override ESA or Credit Status or LVSS Settlement Method may be applied to each RITS Cash Account used by a Member. All transactions using that Cash Account are affected by the override Status. LVSS Settlement Method will only apply to LVSS transactions.
- Separate Override ESA Statuses can be set to apply to transactions above and below a Payment Threshold.
- There are four settings available for ESA and Credit Statuses:
 - Active;
 - Priority;
 - Deferred; and
 - *Null*. A Null value is represented by a blank.
- There are three settings available for changing LVSS Settle Method:
 - Individual;
 - Multilateral; and
 - *Null*. A Null value is represented by a blank.
- Where the default status field is left blank, the status applied to the transaction when it was sent to the System Queue remains unchanged. If no status was set, the System's default status of *Active* is applied for ESA Status and for Credit Status. If no LVSS Settlement Method was indicated in the FSI and no override is set, the System's default LVSS Settlement Method of *Individual* is applied.
- The default status applied by the System to Reservation Batch transactions is *Priority*. Override ESA and Credit statuses will not be applied to transactions in Reservation Batches.
- The default status applied by the System to RITS Allocation Transactions is *Priority*. Override ESA and Credit statuses will not be applied to RITS Allocation Transactions.

Users may update statuses based on the roles allocated to them. The options are:

- Users with the roles *Override ESA Status, Override Credit Stat*us and *Override LVSS Settlement Method* are able to update the Payment Threshold, ESA and Credit Override Statuses and the LVSS Settlement Method Override;
- Users with only one (or two) of the *Override* roles are able to perform updates relevant to that role, while the column for the other items are only displayed; and
- Users that do not have any of the three roles are able to view override statuses but cannot update.

R I T S Override ESA/Credit Status/LVSS Settle Method

18.2 Override ESA/Credit Status/LVSS Settle Method screen

Select **Override Status** from **the ESA/Credit** tab of the **ESA Management** Menu to display the Override ESA/Credit Status/LVSS Settle Method screen.

Overn	ue ESA/ Creuit Status/	LV35 Settle M	lethou							Q
			ES.	A			С	R	LVSS SM	
Branch	Account	Threshold	Below T	hreshold	Above Threshold					
BQLQ01	124-002-AA	\$2 000 000	A	A -	P	P -	Current	New	Current	New -
BQLQ05	124-001-BBBB	\$5,000,000		•	P	P ▼		—		
BQLQ10	062-000-BQLQ10	\$0	N/A	N/A	Р	P 🔻		•		•
BQLQ2E	124-001-BQLQ2E	\$5,000,000	Р	P 🔻	A	Α -		•		-
BQLQ40	062-000-BQLQ40	\$0	N/A	N/A	Р	Ρ 🔻		•		•
BQLQ45	124-001-BQLQ45	\$0	N/A	N/A	Р	Р 🔻		•		-
BQLQA1	124-001-BQLQA1	\$0	N/A	N/A	Р	Ρ 🔻		•		•
BQLQLC	124-001-BQLQLC	\$0	N/A	N/A	Р	Р 🔻		•		•
BQLQLD	124-001-BQLQLD	\$0	N/A	N/A	Р	Ρ 🔻		-		•
BQLQS1	124-001-BQLQS1	\$0	N/A	N/A	Р	Р 🔻		•		•
		S	ubmit (Clear						

18.2.1 List headings

Field	Description
Branch	Displays the branches of the Member.
Account	Displays the Cash Accounts that belong to each branch.
ESA Threshold	Displays the Payment Threshold for the application of the Override ESA Statuses to each Cash Account. Defaults to \$0.00. Enter an amount from `0' to `9,999,999,999' (whole dollars only).
ESA Below Threshold Current	Displays the current Override ESA Status for transactions below the Threshold.
ESA Below Threshold New	Defaults to the current value. If no ESA Threshold is entered, the default value is 'N/A' and there is no dropdown list. Select from: 'A' for Active; 'D' for Deferred; 'P' for Priority; or blank for Null. The dropdown list is only available to users who have been allocated the Override ESA Status – Set Override role and where the user has entered an ESA Threshold amount that is not equal to \$0.00.
ESA Above Threshold Current	Displays the current Override ESA Status for transactions that are equal to or above the Threshold. Where no Threshold is set, this value applies to all transactions for that Cash Account.

R I T S Override ESA/Credit Status/LVSS Settle Method

Field	Description
ESA Above Threshold New	Defaults to the current value. Select from: 'A' for Active; 'D' for Deferred; 'P' for Priority; or blank for Null. The dropdown list is only available to users who have been allocated the Override ESA Status – Set Override role.
Credit Current	Displays the current override Credit Status.
Credit New	Defaults to the current value. Select from: 'A' for Active; 'D' for Deferred; 'P' for Priority; or blank for Null. The dropdown list is only available to users who have been allocated the Override Credit Status – Set Override role.
LVSS Settle Method Current	Displays the current override LVSS Settle Method.
LVSS Settle Method New	Defaults to the current value. Select from: 'I' for Individual; 'M' for Multilateral; or blank for <i>Null</i> . The dropdown list is only available to users who have been allocated the <i>LVSS Settlement Method</i> – <i>Set Override</i> role.

18.3 Set or Change a Threshold, Update Override ESA and/or Credit Status and/or LVSS Settle Method

To enter or change a Threshold value, enter an amount from '0' to '9,999,999,999' (whole dollars only). Abbreviations k, t, m and b are accepted (e.g. 1m = 1,000,000.00). Any new transactions entering the System Queue with an amount below the designated Threshold amount will be assigned the Below Threshold Override Status. Those with an amount that is equal to or above the designated Threshold will be assigned the Above Threshold Override Status.

To update an Override value, in the relevant drop down boxes in the **New** column for ESA Below Threshold, ESA Above Threshold, Credit and/or LVSS Settle Method, select the desired new value(s).

The Threshold and override statuses/LVSS Settle Method for more than one account may be updated at the same time.

R I T S Override ESA/Credit Status/LVSS Settle Method

18.3.1 Actions

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Button	Description
Submit	Select Submit to make the change.
Clear	Select Clear to clear all details entered.
Printer Icon	Select the Printer Icon to print the page.

18.3.2 Override ESA/Credit Status/LVSS Settle Method confirmation

Since actions in this function can impact on many transactions, the user is required to confirm the action.

Override ESA/Credit Status/LVSS Settle Method Confirmation											
			CR	CR		SM					
Branch Account	Threshold		Below Threshold		Above Threshold						
		Current	New	Current	New	Current	New	Current	New	Current	New
BQLQ2E	124-001-BQLQ2E	\$1,000,000	\$5,000,000		Р	Α	D				
OK Cancel											

18.3.3 Actions

Button	Description
ок	Select \mathbf{OK} to confirm the new Threshold, Below Threshold Override ESA Status, Above Threshold Override ESA Status, Credit Status and/or LVSS Settle Method.
Cancel	Select Cancel to reject the changes and return to Override ESA/Credit Status/LVSS Settle Method screen.

18.3.4 Override ESA/Credit Status/LVSS Settle Method notification

Once the change has been accepted by RITS, the following notification screen is displayed.

Override ESA/Credit Status/LVSS Settle Method Notification	J.
The change(s) have been submitted	
Close	

18.3.5 Actions

Close Select Close to return to the Override ESA/Credit Status/LVSS Settle Method screen.

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19. BULK ESA STATUS

19.1 Key points

- The user can change the ESA Status of multiple payments for a single branch or all branches.
- ESA Status can be changed for payments on the System Queue and/or new transactions entering the System Queue (the override status).
- An ESA Status of *Deferred* can be placed on all transactions on the System Queue and/or new payments entering the Queue Bulk Deferral. This can be reversed by a function called *Bulk Re-instatement*.
- The *Bulk Deferral/Re-instatement* feature can be used to halt the Queue and provides the opportunity to change the ESA Statuses of selected payments, thus releasing them for settlement. The re-instate option returns the ESA Status all other payments to what they were before the Queue was halted.
- Status changes will not be applied to transactions in Reservation Batches, which will always have *Priority* status.
- Status changes will not be applied to RITS Allocation Transactions, which will always have *Priority* status.

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Bulk ESA Status

19.2 Bulk ESA Status screen

Select **Bulk ESA Status** from the **ESA/Credit** tab of the **ESA Management** Menu. The following screen is displayed.

Bulk ESA Status				e e e e e e e e e e e e e e e e e e e
Do you wish to make bulk status changes to transactions belonging to:	 A Single Branch All Branches 			×
Select branch:	Please Select -			
New ESA status:	Please Select 💌			
To change statuses according to	o your selections:			
• 1. All transactions currently of	on the queue			
C 2. All new transactions enter	ring the queue			
C 3. All transactions currently of	on the queue AND all new tran	sactions entering the queu	e	
To halt settlement processing of	f navments already on the que	ue by bulk deferring:		
Provide a settlement processing of	payments already on the que	de by blik derenning.		
C 4. Bulk apply deferred status	s to all transactions on the que	eue		
C 5. Re-instate statuses that e	existed prior to the bulk deferra	al (Option 4), except for sta	tus change	es by you in between
To halt settlement processing o	f payments already on the que	ue and all new payments e	ntering the	e queue by bulk deferring:
C 6. Bulk apply deferred status	s to all transactions on the que	eue AND all new transaction	is entering	the queue
O 7. Bulk re-instate statuses to	o all transactions on the queue	AND the override statuses	that exist	ed before the bulk deferra
(Option 6), except changes mad	le by you in between			
				Submit Clear
Transaction History Deta	ils			
Time		User Logon	Branch	Ontion
28-Aug-2008 10:31:11		BOLO2E71	BOLO2E	1
28-Aug-2008 09:41:23		BOLO2E71	BOLO01	1
18-Oct-2006 13:14:24		BOLO2E71	BOLO2B	1
14-Sep-2006 09:01:05		BQLQ2E71	All	7
14-Sep-2006 09:00:52		BQLQ2E71	All	6
14-Sep-2006 09:00:41		BQLQ2E71	All	5
14-Sep-2006 09:00:25		BQLQ2E71	All	4
14-Sep-2006 09:00:05		BQLQ2E71	All	3
14-Sep-2006 08:59:48		BQLQ2E71	All	2
14-Sep-2006 08:59:31		BQLQ2E71	All	1

19.2.1 Entry fields for Bulk ESA Status

Field	Description
Do you wish to make bulk status changes to transactions belonging to:	Select A Single Branch or All Branches.
Select Branch	Select a branch from the list box only when the radio button 'A Single Branch' is selected.

Bulk ESA Status

Field	Description
Select New ESA Status	Select from: 'A' for Active; 'D' for Deferred; 'P' for Priority. This list box is only active after either Option 1, 2 or 3 is selected.
Option 1	Apply the new ESA Status to all transactions on the System Queue for the branch selected or all branches.
Option 2	Apply the new ESA Status to the Override ESA Status for the branch selected or all branches. The new override status will be applied to both the Below Threshold and Above Threshold Overrides.
Option 3	Apply the new ESA Status to all transactions on the System Queue and to the Override ESA Status for the branch selected or all branches. The new override status will be applied to both the Below Threshold and Above Threshold Overrides.
Option 4	Change the ESA Statuses of ALL transactions on the System Queue for the branch selected or all branches to <i>Deferred</i> .
Option 5	Re-instate the ESA Statuses of ALL transactions on the System Queue to what they were prior to Option 4. Any changes to statuses made after Option 4 are unaffected by Option 5.
Option 6	Change the ESA Statuses of ALL transactions on the System Queue and the Override ESA Status for the branch selected or all branches to <i>Deferred</i> . The new override status will be applied to both the Below Threshold and Above Threshold Overrides.
Option 7	Re-instate the ESA Statuses of ALL transactions on the System Queue and the Override ESA Status (for both Below Threshold and Above Threshold) for all branches to what they were prior to Option 6. Any changes to statuses made after Option 6 are unaffected by Option 7.

19.3 Update Bulk ESA Status

Make selections using the radio buttons and drop downs in the screen above.

Information appears on the screen after making a selection in the Option radio buttons to assist in the proper use of this function.

Button	Description
Submit	Select Submit to bulk update the ESA Status of transactions.
Clear	Select Clear to reset all fields to the default values.
Printer Icon	Select the Printer Icon to print the page.
Y

Bulk ESA Status

19.3.2 Transaction history details list headings

Field	Description
Time	Displays the date and time the ESA Status changes were made.
User Logon	Displays the user who made the ESA Status changes.
Branch	Displays the branch to which the ESA Status change relates.
Option	Displays the Option that was selected.

19.3.3 Bulk ESA Status Confirmation

Since actions in this function can impact many transactions, the user is required to confirm the action. The information displayed on the confirmation screen is contingent on the option chosen.

Bulk Changes t	o ESA S	Status Confirmation			Ś
	Option	Description	Branch	ESA Status	
	1	Bulk update all transactions currently on the queue	BQLQ2E	А	
		Are you sure that you wish to proce	ed with the b	ulk update?	

19.3.4 Actions

Button	Description
ОК	Select OK to confirm the bulk update.
Cancel	Select Cancel to return to the Bulk ESA Status screen.

19.3.5 Bulk ESA Status notification

Once the change has been accepted by RITS, the following notification screen is displayed.

Bulk Changes to ESA Status Notification	A.
The change(s) have been submitted	
Close	

19.3.6 Actions

Button	Description
Close	Select Close to return to the Bulk ESA Status screen.

Y

20. BULK CREDIT STATUS

20.1 Key points

- The user can change the Credit Status of multiple payments for a single branch or all branches.
- Credit Status can be changed for payments on the System Queue and/or new transactions entering the System Queue (the override status).
- Status changes will not be applied to transactions in Reservation Batches, which will always have *Priority* status.
- Status changes will not be applied to RITS Allocation Transactions, which will always have *Priority* status.

20.2 Bulk Credit Status screen

Select **Bulk Credit Status** from the **ESA/Credit** tab of the **ESA Management** Menu. The following screen is displayed.

Bulk Credit Status					A.
Do you wish to make bulk status changes to transactions belonging to: Select branch New Credit status To change statuses according to	 A Single Branch All Branches Please Select Please Select your selections: 				
• 1. All transactions currently o	n the queue				
© 2. All new transactions enteri	na the queue				
0.2. All transactions surrontly of	n the queue AND all new	transactions optoring the gu	2110		
S. All transactions currently of	The queue AND all new	transactions entening the qu	eue	0	uhanit Ola an
				5	ubmit Clear
Transaction History Detai	s				
Time		User Loc	on Branch	Ontion	
14-Sep-2006 09:03:04		BOLO2E	71 All	3	
14-Sep-2006 09:02:47		BOLO2E		-	
14-Sep-2006.09:02:34		DQLQZL	/1 All	2	
14 000 2000 00.02.04		BQLQ2E	71 All 71 All	1	
14-Sep-2006 09:01:28		BQLQ2E BQLQ2E BQLQ2E	71 All 71 All 71 BQLQ2B	1	
14-Sep-2006 09:01:28 24-May-2006 15:21:00		BQLQ2E BQLQ2E BQLQ2E BQLQ2E	71 All 71 All 71 BQLQ2B 71 BQLQ05	2 1 1 1	
14-Sep-2006 09:01:28 24-May-2006 15:21:00 23-Jan-2006 14:02:19		BQLQ2E BQLQ2E BQLQ2E BQLQ2E BQLQ2E	71 All 71 All 71 BQLQ2B 71 BQLQ05 71 BQLQ2E	2 1 1 1 1	
14-Sep-2006 09:01:28 24-May-2006 15:21:00 23-Jan-2006 14:02:19 22-Dec-2005 10:07:44		BQLQ2E BQLQ2E BQLQ2E BQLQ2E BQLQ2E BQLQ2E	All 71 All 71 BQLQ2B 71 BQLQ05 71 BQLQ2E 88 All	2 1 1 1 1 2	
14-Sep-2006 09:01:28 24-May-2006 15:21:00 23-Jan-2006 14:02:19 22-Dec-2005 10:07:44 25-Nov-2005 11:40:20		BQLQ2E BQLQ2E BQLQ2E BQLQ2E BQLQ2E BQLQ2E BQLQ2E	71 All 71 All 71 BQLQ2B 71 BQLQ05 71 BQLQ2E 38 All 71 BQLQ2E	2 1 1 1 1 2 1	
14-Sep-2006 09:01:28 14-Sep-2006 09:01:28 24-May-2006 15:21:00 23-Jan-2006 14:02:19 22-Dec-2005 10:07:44 25-Nov-2005 11:40:20 31-Oct-2005 10:47:14		BQLQ2E	Y1 All 71 All 71 BQLQ2B 71 BQLQ2F 71 BQLQ2E 88 All 71 BQLQ2E 88 BQLQ2E	2 1 1 2 2 1 2 2	
14-Sep 2006 09:01:28 14-Sep-2006 09:01:28 24-May-2006 15:21:00 23-Jan-2006 14:02:19 22-Dec-2005 10:07:44 25-Nov-2005 11:40:20 31-Oct-2005 10:47:14 31-Oct-2005 10:46:49		BQLQ2E BQLQ2E	AII 71 AII 71 AII 71 BQLQ2B 71 BQLQ2B 71 BQLQ2B 88 AII 71 BQLQ2E 88 BQLQ2E 88 BQLQ2E 88 BQLQ2E	2 1 1 1 2 1 2 2	
14-Sep 2006 09:01:28 14-Sep-2006 09:01:28 24-May-2006 15:21:00 23-Jan-2006 14:02:19 22-Dec-2005 10:07:44 25-Nov-2005 11:40:20 31-Oct-2005 10:47:14 31-Oct-2005 10:46:49		BQLQ2E	All 71 All 71 All 71 BQLQ2B 71 BQLQ2F 88 All 71 BQLQ2E 88 BQLQ2E 88 BQLQ2E	2 1 1 1 2 1 2 2 2	
14-Sep-2006 09:01:28 14-Sep-2006 09:01:28 24-May-2006 15:21:00 23-Jan-2006 14:02:19 22-Dec-2005 10:07:44 25-Nov-2005 11:40:20 31-Oct-2005 10:47:14 31-Oct-2005 10:46:49		BQLQ2E BQLQ2E	All 71 All 71 All 71 BQLQ2B 71 BQLQ2B 71 BQLQ2E 38 All 71 BQLQ2E 38 BQLQ2E 38 BQLQ2E 38 BQLQ2E	2 1 1 1 2 1 2 2 2	

Y

Bulk Credit Status

20.2.1 Entry fields for Bulk Credit Status

Field	Description
Do you wish to make bulk status changes to transactions belonging to:	Select A Single Branch or All Branches.
Select Branch	Select a branch only when the radio-button 'A Single Branch' is selected.
Select New Credit Status	Select from: <i>A'</i> for <i>Active</i> ; <i>D'</i> for <i>Deferred</i> ; <i>P'</i> for <i>Priority</i> .
Option 1	Apply the new Credit Status to all transactions on the System Queue for the branch selected or all branches.
Option 2	Apply the new Credit Status to the Override Credit Status for the branch selected or all branches.
Option 3	Apply the new Credit Status to all transactions on the System Queue and to the Override Credit Status for the branch selected or all branches.

20.3 Update bulk Credit Status

Make selections using the radio buttons and drop downs in the screen above.

Information appears on the screen after making a selection in the Option radio buttons to assist in the proper use of this function.

20.3.1 Actions

Button	Description
Submit	Select Submit to bulk update the Credit Status of transactions.
Clear	Select Clear to reset all fields to their default values.
Printer Icon	Select the Printer Icon to print the page.

20.3.2 Transaction history details list headings

Field	Description
Time	Displays the date and time the Credit Status changes were made.
User Logon	Displays the user who made the Credit Status changes.
Branch	Displays the branch to which the Credit Status change relates.
Option	Displays the Option that was selected.

¥

Bulk Credit Status

20.3.3 Bulk Credit Status confirmation

Since actions in this function can impact on many transactions, the user is required to confirm the action.

Bulk Changes to Credit Status Confirmation	J.
Are you sure that you wish to proceed with the bulk update?	
OK Cancel	

20.3.4 Actions

Button	Description
ОК	Select OK to confirm the bulk update.
Cancel	Select Cancel to return to the Bulk Credit Status screen.

20.3.5 Bulk Credit Status notification

Once the change has been accepted by RITS, the following notification screen is displayed:

Bulk Changes to Credit Status Notification
Bulk Update of Credit status has been processed
You have selected: 1. All transactions currently on the queue
With the following branch selection: BQLQ2E
The following status has been set: A
Close

20.3.6 Actions

Close

Select **Close** to return to the Bulk Credit Status screen.

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21. BULK LVSS SETTLEMENT METHOD MANAGEMENT

21.1 Key points

- The user can change the LVSS Settlement Method of multiple transactions to which the Member is payer for a single branch or all branches.
- LVSS Settlement Method can be changed for payments on the System Queue and/or new transactions entering the System Queue (the override status).

21.2 Bulk LVSS Settlement Method Management screen

Select **Bulk Settle Method** from the **ESA/Credit** tab of the **ESA Management** Menu. The following screen is displayed.

Bulk LVSS Settlement M	ethod Management				Ś
Do you wish to make bulk status changes to transactions belonging to:	A Single LVSS Branch All LVSS Branches				
Select LVSS Branch:	Please Select 💌				
New LVSS Settlement Method:	Please Select 💌				
To change statuses according to	your selections:				
\odot 1. All transactions currently o	n the queue				
C 2. All new transactions enteri	ng the queue				
C 3. All transactions currently o	n the queue AND all new transactions enteri	ng the queue			
					Submit Clear
Turner stien History Date:					
Transaction History Detail	S				
Date/Time		User Logon	Branch	Option	
15-Nov-2010 10:56:56		BQLQ2E71	All	1	
15-Sep-2010 16:35:25		BQLQ2E88	All	1	
14-Sep-2010 15:56:48		BQLQ2E88	BQLQLC	1	
25-May-2010 14:35:40		BQLQ2E71	BQLQLC	1	

21.2.1 Entry fields for LVSS Settlement Method Management

Field	Description
Do you wish to make bulk status changes to transactions belonging to:	Select A Single LVSS Branch or All LVSS Branches.
Select LVSS Branch	Select an LVSS branch from the list box only when the radio-button 'A Single Branch' is selected.
New LVSS Settlement Method	Select from: <i>`I'</i> for <i>Individual</i> ; or <i>`M'</i> for <i>Multilateral</i> .

nt

Field	Description
Option 1	Apply the new LVSS Settlement Method to all transactions on the System Queue for the branch selected or all branches.
Option 2	Apply the new LVSS Settlement Method to the Override LVSS Settlement Method for the branch selected or all branches.
Option 3	Apply the new LVSS Settlement Method to all transactions on the System Queue and to the Override LVSS Settlement Method for the branch selected or all branches.

21.3 Update Bulk LVSS Settlement Method

Make selections using the radio buttons and drop downs in the screen above.

Information appears on the screen after making a selection in the Option radio buttons to assist in the proper use of this function.

Button	Description
Submit	Select Submit to bulk update the LVSS Settlement Method of transactions.
Clear	Select Clear to reset all fields to their default values.
Printer Icon	Select the Printer Icon to print the page.

21.3.1 Actions

21.3.2 Transaction history details list headings

Field	Description
Date/Time	Displays the date and time the LVSS Settlement Method changes were made.
User Logon	Displays the user that made the LVSS Settlement Method changes.
Branch	Displays the branch to which the LVSS Settlement Method changes relate.
Option	Displays the Option that was selected.

21.3.3 Bulk LVSS Settlement Method Management confirmation

Since actions in this function can impact on many transactions, the user is required to confirm the action.

Bulk LVSS Settlement Method Management Confirmation	J.
Are you sure that you wish to proceed with the bulk update?	
OK Cancel	

R I T S Bulk LVSS Settlement Method Management

21.3.4 Actions

Y

Button	Description
ОК	Select OK to confirm the bulk update.
Cancel	Select Cancel to return to the Bulk LVSS Settlement Method Management screen.

21.3.5 Bulk LVSS Settlement Method Management notification

Once the change has been accepted by RITS, the following notification screen is displayed:



21.3.6 Actions

Button	Description
Close	Select Close to return to the Bulk LVSS Settlement Method Management screen.

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22. LVSS MULTILATERAL POSITION SUMMARY

22.1 Key points

This screen allows the ESA manager to view information relating to a Member's net settlement position for LVSS transactions eligible for multilateral settlement.

- The Current LVSS Multilateral Run panel is only populated when a Multilateral Run is in progress.
- While a Multilateral Run is in progress, the Current LVSS Multilateral Run net position is a fixed amount.
- The number and value of inward and outward transactions in the Current LVSS Multilateral Run can be viewed including clearing interest transactions.
- Start and end times for the current Multilateral Run can be viewed.
- Start and end times for the next Multilateral Run can be viewed.
- The number and value of LVSS transactions eligible to be selected in the next Multilateral Run can be viewed. A projected clearing interest figure is also shown.
- Current Available RITS Balance, ESA Sub-Limit and RITS Active Balance can be viewed.
- During testing of an LVSS Multilateral Run, if the Member's Cash Account and/or ESA is failing limits testing, details are shown at the bottom of the screen.

22.2 LVSS Multilateral Position Summary screen

Select **Multilateral Position** from the **ESA Management** tab on the menu. The following screen is displayed.

LVSS Multilateral P	osition Summary						Ś
Current LVSS Mu	ultilateral Run*		Next L	LVSS Mi	ıltilateral Run		
Net Position			Net Po	sition	\$0.00		1
	Amount	No.			Amou	nt	No.
Queued Inward			Eligible Qı Ir	ueued ward	\$0.00		0
Queued Outward			Eligible Qu	ueued tward	\$0.00		0
	Start Time	End Time	Projected Cle	earing terest			
Settlement Testing			211	terest	Start Ti	me	End Time
*Only displayed during LV period	/SS Multilateral settlemer	nt testing	Settlement To	esting	Start I		
RITS Balance							
Available RITS Baland	\$1,918,781,082.45	Sub-Limit	None set	RITS Ac	tive Balance	\$1,918,7	781,082.45

		Current LVSS Mu	ıltilateral Run Test F	ailures
Current Position	Account Number	Account Balance	Account Sub-Limit	Test Status
-\$2,000,000.0	124-001-BQLQLC	-\$93,418,002.24	-\$10,000,000.00	Failed C/A Sub-Limit

R I T S LVSS Multilateral Position Summary

22.2.1 LVSS Multilateral Position Summary details

Field	Description			
Current LVSS Multilateral Run panel Details are only populated in this panel when a Multilateral Run is testing.				
Net Postion	Displays the net position in the current Multilateral Run, including clearing interest transactions where applicable.			
Queued Inward	Displays the total amount and number of credit transactions selected in the current Multilateral Run, including clearing interest transactions where applicable.			
Queued Outward	Displays the total amount and number of debit transactions selected in the current Multilateral Run, including clearing interest transactions where applicable.			
Settlement Testing	Displays the start and end times of the current Multilateral Run.			

Next LVSS Multilateral Run panel

Displays details for the next Multilateral Run.

Net Position	Displays the net position of transactions eligible for selection in the next scheduled Multilateral Run and projected clearing interest on those transactions where one is scheduled for later in the day. Transactions are eligible if they have no deferred statuses and have an LVSS Settlement Method of "M". When no Multilateral Run is currently testing, this value includes all eligible queued LVSS transactions. When a Multilateral Run is currently testing, this value excludes transactions locked for settlement in the Multilateral Run that is testing.
Eligible Queued Inward	Displays the total amount and number of credit transactions eligible for selection in the next scheduled Multilateral Run where one is scheduled for later in the day.
Eligible Queued Outward	Displays the total amount and number of debit transactions eligible for selection in the next scheduled Multilateral Run where one is scheduled for later in the day.
Projected Clearing Interest	Displays a projection of the LVSS clearing interest on all LVSS transactions in the Eligible Queued Inward and Eligible Queued Outward fields in the Next LVSS Multilateral Run panel. This field is populated even if the Next LVSS Multilateral Run does not create clearing interest transactions.

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LVSS Multilateral Position Summary

Field	Description
Settlement Testing	Displays the starts and end times for the next scheduled Multilateral Run, where one is scheduled for later in the day.
RITS Balance panel	
Available RITS Balance	Displays the RITS Balance available for transactions with ESA Status of <i>Priority</i> .
Sub-Limit	Displays the current ESA Sub-Limit.
RITS Active Balance	Displays the RITS Balance available for transactions with ESA Status of <i>Active</i> . It is the difference between the Available RITS Balance and the Sub-Limit.

Current LVSS Multilateral Run Test Failures panel

Details are only populated in this panel when a Multilateral Run is testing and one or more accounts fails testing in the Multilateral Run.

Note that Cash Account limit processing must be turned off for all Cash Accounts used in the 9am Settlement, so only testing failures against the RITS Balance will be shown.

Current Position	Displays the net position of the RITS account (which may be the ESA or a Cash Account) that is failing limits testing in the current Multilateral Testing Run.					
Account Number	Displays the number of the RITS account (which may be the ESA or a Cash Account) that is failing limits testing in the current Multilateral Testing Run.					
Account Balance	Displays the balance of the RITS account (which may be the ESA or a Cash Account) that is failing limits testing in the current Multilateral Testing Run.					
Account Sub-Limit	Displays the Sub-Limit (if any is set) of the RITS account (which may be the ESA or a Cash Account) that is failing limits testing in the current Multilateral Testing Run.					
Test Status	 Displays the type of account and type of failure for each account that is failing limits testing. Possible reasons are: Failed ESA Limit Test Failed ESA Sub-Limit Test Failed C/A [Cash Account] Limit Test Failed C/A [Cash Account] Sub-Limit Test 					

LVSS Bilateral Position Summary

23. LVSS BILATERAL POSITION SUMMARY

23.1 Key points

- The **LVSS Bilateral Position Summary** provides a summary of LVSS transactions by Payment Service and by other Member.
- Only warehoused, queued and settled transactions are included. Rejected FSIs, recalled LVSS transactions and those deleted as unsettled at end of day are not included.
- For each Payment Service and other Member, it is possible to see the total value of FSIs sent to RITS by the enquiring Member and, separately, the total value of FSIs that were sent to RITS by the other Member.
- This enquiry can be used in the morning during preparations for 9am settlement to determine the Member's prospective 9am settlement obligation, as transactions that are queued but have a *deferred* status are included depending on filter settings.
- This enquiry can be used in reconciling against summary reports from lowvalue payments clearing systems to ensure that FSIs have been accepted by RITS for all clearing file exchanges in which the Member participates.
- An amount for a receipt displays in black, while an amount for a payment displays in red with a minus sign.

23.2 LVSS Bilateral Position Summary filter selection screen

Select the **LVSS Bilateral Position Summary** from the LVSS tab in the ESA Management menu. The following screen displays.

LVSS Bilateral Positi	ion Summary				Ś
Enquiry Filter					۲
Other Bank	All	FSI Payment Service	All	Settlement Date	15-Nov-2011 -
LVSS Settlement Method	All	All/Eligible	All	FSI Payment Date	
Status	All				
				Find Clear E	xport 🗆 Excel 💌

23.2.1 Filter criteria

Field	Description
Other Bank	Select the 4-character RITS mnemonic of another Member or All.
FSI Payment Service	Select the Payment Service or All.
Settlement Date	Defaults to the current date. Select either the current date, any of the previous 5 business days, or a future date within the warehouse period of 5 business days.
LVSS Settlement Method	Select 'I' for Individual, 'M' for Multilateral or All.

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LVSS Bilateral Position Summary

Field	Description
All/Eligible	Select <i>All</i> for all warehoused, queued, and settled FSIs (i.e. including those with a deferred status). Select <i>Eligible</i> for FSIs currently eligible for settlement (i.e. queued transactions with no <i>deferred</i> ESA, Cash Account or Credit status). Warehoused and settled transactions are not included.
Payment Date	Select the payment date for the FSIs.
Status	Select <i>Accepted</i> (for transactions that have been received by RITS and either warehoused or queued, depending on the Settlement Date), <i>Settled</i> or <i>All</i> .

23.2.2 Actions

Button	Description
Find	Select Find to access the data.
Clear	Select Clear to return the filter criteria to the default settings and clear the list.
Export	Select Export (in conjunction with PDF/Excel) to download the report in PDF or Excel format. All results are exported, regardless of whether the screen display panels are maximised or minimised.
PDF/Excel	Used in conjunction with Export.

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LVSS Bilateral Position Summary

23.3 Viewing positions in LVSS Bilateral Position Summary

Once the appropriate filter criteria have been entered, select **Find**. The following screen is displayed.

LVSS Bilat	teral Positi	on Summary						
Enquiry Filter								
	Other Bank		FC	I Payment Service		Sattlement	Data 16 Nov 2011	
	Other Bank		ra	I Payment Service		- Settlement		
LVSS Settl	ement Method	M		All/Eligible	All	 FSI Paymer 	t Date	
	Status	Accepted -						
						Find	lear Export 🗆 Excel 💌	
Summary							8	
LVSS Transa	ctions							
		Payment Ser	rvice	Originator		Counterparty	Net	
		А	PCE	\$5,500,00	0.00	-\$5,300,000.	\$200,000.00	
		А	PCR	\$5,200,00	0.00	-\$3,500,000.	\$1,700,000.00	
BEC		ECN	-\$25,400,000.00		\$26,940,000.	\$1,540,000.00		
		C	ECS	\$4,500,00	0.00	-\$4,750,000.	-\$250,000.00	
		Sub-T	otal	-\$10,200,00	0.00	\$13,390,000.	\$3,190,000.00	
10 Records found [First Previous] 1 [Next Last]								
	tions Dilatora							
LVSS Transactions Bilateral Summary								
	LIOIS BIIAtera	I Summary						
Other Bank	Payme	ent Service		Originator		Counterparty	Net	
Other Bank AIBK	Payme APCE	ent Service		Originator \$2,800,000.00		Counterparty -\$1,600,000.00	Net \$1,200,000.00	
Other Bank AIBK ROYC	Payme APCE APCE	ant Service		Originator \$2,800,000.00 \$2,700,000.00		Counterparty -\$1,600,000.00 -\$3,700,000.00	Net \$1,200,000.00 -\$1,000,000.00	
Other Bank AIBK ROYC AIBK	Payme APCE APCE APCR	ant Service		Originator \$2,800,000.00 \$2,700,000.00 \$4,200,000.00		Counterparty -\$1,600,000.00 -\$3,700,000.00 -\$1,800,000.00	Net \$1,200,000.00 -\$1,000,000.00 \$2,400,000.00	
Other Bank AIBK ROYC AIBK ROYC	APCE APCE APCR APCR	ent Service		Originator \$2,800,000.00 \$2,700,000.00 \$4,200,000.00 \$1,000,000.00		Counterparty -\$1,600,000.00 -\$3,700,000.00 -\$1,800,000.00 -\$1,700,000.00	Net \$1,200,000.00 -\$1,000,000.00 \$2,400,000.00 -\$700,000.00	
Other Bank AIBK ROYC AIBK ROYC AIBK	APCE APCE APCR APCR APCR BECN	ent Service		Originator \$2,800,000.00 \$2,700,000.00 \$4,200,000.00 \$1,000,000.00 -\$9,900,000.00		Counterparty -\$1,600,000.00 -\$3,700,000.00 -\$1,800,000.00 -\$11,700,000.00 \$11,740,000.00	Net \$1,200,000.00 -\$1,000,000.00 \$2,400,000.00 -\$700,000.00 \$1,840,000.00	
Other Bank AIBK ROYC AIBK ROYC AIBK HKBA	APCE APCE APCR APCR APCR BECN BECN	ent Service		Originator \$2,800,000.00 \$2,700,000.00 \$4,200,000.00 \$1,000,000.00 -\$9,900,000.00 -\$9,400,000.00		Counterparty -\$1,600,000.00 -\$3,700,000.00 -\$1,800,000.00 -\$11,700,000.00 \$6,700,000.00	Net \$1,200,000.00 -\$1,000,000.00 \$2,400,000.00 -\$700,000.00 \$1,840,000.00 -\$2,700,000.00	
Other Bank AIBK ROYC AIBK ROYC AIBK HKBA ROYC	APCE APCE APCR APCR APCR BECN BECN BECN	ent Service		Originator \$2,800,000.00 \$2,700,000.00 \$4,200,000.00 \$1,000,000.00 -\$9,900,000.00 -\$9,400,000.00 -\$6,100,000.00		Counterparty -\$1,600,000.00 -\$3,700,000.00 -\$1,800,000.00 -\$11,700,000.00 \$6,700,000.00 \$8,500,000.00	Net \$1,200,000.00 -\$1,000,000.00 \$2,400,000.00 \$2,400,000.00 \$1,840,000.00 \$2,700,000.00 \$2,400,000.00 \$2,400,000.00	
Other Bank AIBK ROYC AIBK ROYC AIBK HKBA ROYC BCYP	APCE APCE APCE APCR APCR BECN BECN BECN BECN CECS	ent Service		Originator \$2,800,000.00 \$2,700,000.00 \$4,200,000.00 \$1,000,000.00 -\$9,900,000.00 -\$6,100,000.00 \$900,000.00		Counterparty -\$1,600,000.00 -\$3,700,000.00 -\$1,800,000.00 -\$1,700,000.00 \$6,700,000.00 \$8,500,000.00 -\$1,250,000.00	Net \$1,200,000.00 -\$1,000,000.00 \$2,400,000.00 \$2,400,000.00 \$1,840,000.00 -\$2,700,000.00 \$2,400,000.00 -\$2,700,000.00 \$2,400,000.00 \$2,400,000.00 \$2,400,000.00 \$2,400,000.00	
Other Bank AIBK ROYC AIBK ROYC AIBK HKBA ROYC BCYP HKBA	APCE APCE APCE APCR APCR BECN BECN BECN BECN CECS CECS	ent Service		Originator \$2,800,000.00 \$2,700,000.00 \$4,200,000.00 \$1,000,000.00 -\$9,900,000.00 -\$6,100,000.00 \$900,000.00 \$1,600,000.00		Counterparty -\$1,600,000.00 -\$3,700,000.00 -\$1,800,000.00 -\$1,700,000.00 \$6,700,000.00 \$8,500,000.00 -\$1,250,000.00 -\$1,400,000.00	Net \$1,200,000.00 -\$1,000,000.00 \$2,400,000.00 \$2,400,000.00 \$1,840,000.00 \$1,840,000.00 \$2,400,000.00 \$2,400,000.00 \$2,400,000.00 \$2,400,000.00 \$2,400,000.00 \$2,00,000.00 \$20,000.00	

23.3.1 List headings

Field	Description
Summary panel – LVSS Transaction section	Summarises the Member's settlement obligations by Payment Service.
Payment Service	Displays each Payment Service for which obligations exist, subject to the filter criteria. Clearing interest transactions (Payment Service 'CINT') are not shown here.
Originator	Displays the sum of the value of all LVSS transactions matching the filter criteria for which the Member is the Originator, by Payment Service.
Counterparty	Displays the sum of the value of all LVSS transactions matching the filter criteria for which the Member is the Counterparty, by Payment Service.

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LVSS Bilateral Position Summary

Field	Description						
Net	Displays the sum of the figures in Originator and Counterparty columns.						
Sub-Total	The sum of the figures in each column, by Payment Service.						
Summary panel – Other Settlement Obligations section	This section can include Projected Clearing Interest, Settled Clearing Interest Transactions, and Exchange Summary Net Obligation.						
Projected Clearing Interest [Payment Service]	The sum of clearing interest on each queued FSI that meets the filter criteria and which has a Settlement Method of 'M'), for each Payment Service. A separate row is shown for each Payment Service for which there are relevant FSIs. Projected Clearing Interest is not displayed if a future Settlement Date is selected.						
Settled Clearing Interest Transactions	Calculated as the sum of the value of settled clearing interest transactions that meet the filter criteria. Settled Clearing Interest Transactions is not displayed where a Payment Date or FSI Payment Service is selected OR where the value selected for Status does not include Settled transactions.						
Exchange Summary Net Obligation	Exchange Summary Net Obligation is not displayed where a Payment Date or FSI Payment Service is selected, or where a future Settlement Date is selected.						
Total	Displays the sum of the figures in the Net column (i.e. the sum of all of the above elements).						
LVSS Transactions Bilateral Summary panel	Summarises the Member's LVSS settlement obligations by Payment Service and by other Member. This panel does not show projected or settled clearing interest transactions.						
Other Bank	Displays the RITS mnemonic of each other Member for which LVSS obligations exist, subject to the filter criteria.						
Payment Service	Displays each Payment Service for which LVSS obligations exist, subject to the filter criteria.						
Originator	Displays the sum of the value of all LVSS transactions that match the filter criteria for which the Member is the Originator and the other Member is the Counterparty, by Payment Service.						
Counterparty	Displays the sum of the value of all LVSS transactions that match the filter criteria for which the Member is the Counterparty and the other Member is the Originator, by Payment Service.						
Net	Displays the sum of the figures in the Originator and Counterparty columns.						

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LVSS Transaction Enquiry

24. LVSS TRANSACTION ENQUIRY

24.1 Key points

- The **LVSS Transaction Enquiry** displays all the Member's incoming and outgoing LVSS transactions (FSIs), including warehoused FSIs and clearing interest transactions.
- Users can enquire upon LVSS transactions for the current date, any of the previous 5 business days, or future dated transactions within the warehouse period of 5 business days.
- The details page for an FSI displays most of the fields from the FSI, including information not available in the Settled Payments or Transaction Enquiry details pages, e.g. Payment Date and Credit Items Value.

24.2 LVSS Transaction Enquiry filter selection screen

Select the LVSS Transaction Enquiry from the LVSS tab in the ESA Management menu. The following screen is displayed.

LVSS Transaction Enquiry							
Enquiry Filter					۲		
Settlement Date	28-Aug-2013 -	Payment Service	All 🔻	LVSS Settle Method	All 🔻		
Own Branch	All 🔻	Other Bank	All 🝷	Direction	Both 💌		
Status	All 🔻	Multilateral Run Start Time	All 🔻				
Find Clear Export T Excel -							

Field	Description
Settlement Date	Defaults to the current date. Select either the current date, any of the previous 5 business days, or a future date within the warehouse period.
Payment Service	Select the payment service or All.
LVSS Settle Method	Select 'I' for Individual, 'M' for Multilateral or All.
Own Branch	Select a branch belonging to the Member or All.
Other Bank	Select the four character RITS mnemonic of another Member or All.
Direction	Select <i>In</i> (inward payments), <i>Out</i> (outward payments) or <i>Both</i> (all payments).
Status	View transactions by status: <i>Accepted</i> , <i>Complete</i> , <i>Recalled</i> , <i>Unsettled</i> or <i>All</i> . Accepted will return transactions that are either warehoused (future dated) or queued (on the settlement date).

24.2.1 Filter criteria

LVSS Transaction Enquiry

Field	Description
Multilateral Run	Select the Multilateral Run Start Time or All. This filter is available
Start Time	only if LVSS Settle Method = M , Status = <i>Complete</i> and Settlement Date = current calendar day or earlier.

24.2.2 Actions

Button	Description
Find	Select Find to access the data.
Clear	Select Clear to return the filter criteria to the default settings and clear the list.
Cancel	Select Cancel to return to the Member Reports selection screen.
Export	Select Export (in conjunction with PDF/Excel) to download the report in PDF or Excel format.
PDF/Excel	Used in conjunction with Export .

24.3 Finding data for on-screen viewing and printing

Un-tick the **Export** box and select **Find** to display data subject to the filter criteria entered. The following screen is displayed.

Enquiry Filt	er									
Settleme	nt Date 🚺	1-Oct-201	3 -		Paymer	t Service	All 👻	LVSS Se	ttle Method Al	. •
Own Branch All		•	Other Bank All 🔻				Direction Both -			
					Multila	teral Run	All			
	Status A	11			S	tart Time	All			
								F	ind Clear E	xport Excel ▼
								170.0	10.000	
		Less M		1	1 Sec.			1/8 Records found	[First Previ	ious] 1, <u>2</u> [Next La:
Settle Date	Time Settled	Payt Serv	LVSM	Other Bank	Own Branch	External	TRN	Clearing Description	Status	Amount
02-Oct-2013	07:28:14	BECG	I	RBAA	BEND2E	RBAA75	98155	05:00	Complete	\$11,761,243.25
2-Oct-2013	07:28:14	BECG	I	CBAA	BEND2E	CBAAA3	9840214	07:00	Complete	\$0.00
2-Oct-2013	07:28:14	BECG	I	CBAA	BEND2E	CBAAA3	9890037	18:15	Complete	\$2,539,536.63
2-Oct-2013	07:28:14	BECG	I	NABL	BEND2E	NABLOOD	0000060633	18:30 - 01	Complete	\$1,098.14
2-Oct-2013	07:28:14	BECG	I	ANZB	BEND2E	ANZB01	1013NI0093	20:00-01	Complete	\$0.00
2-Oct-2013	07:28:14	BECG	I	CBAA	BEND2E	CBAAA3	9890180	20:00	Complete	\$0.00
2-Oct-2013	07:28:14	BECG	I	WPAC	BEND2E	WPACBE	3L00095581A	21:00	Complete	\$0.00
2-Oct-2013	07:28:14	BECG	I	RBAA	BEND2E	RBAA76	13872	23:00	Complete	\$2,729,672.91
2-Oct-2013	07:28:14	BECG	I	WPAC	BEND2E	WPACBE	3L00095476A	18:15	Complete	\$1,195,836.80
2-Oct-2013	07:28:15	BECG	I	RBAA	BEND2E	RBAA76	10878	18:00	Complete	\$11,754,022.95
2-Oct-2013	07:28:15	BECG	I	NABL	BEND2E	NABLOOD	000060677	21:00 - 02	Complete	\$0.00
2-Oct-2013	08:45:01	BECN	м	WPAC	BEND2E	WPACBE	3L00095443A	16:30-31	Complete	\$50,241,936.89
2-Oct-2013	08:45:01	BECN	м	ANZB	BEND2E	ANZB01	1013NI0049	18:00-02	Complete	\$34,827,269.27
2-Oct-2013	08:45:01	BECN	М	NABL	BEND2E	NABLOOD	000060663	20:30 - 05	Complete	\$26,382,250.94
2-Oct-2013	08:45:01	BECN	м	WPAC	BEND2E	WPACBE	3L00095378A	10:00-52	Complete	\$26,193,686.24
2-Oct-2013	08:45:01	BECN	М	WPAC	BEND2E	WPACBE	3L00095493A	18:30-17	Complete	\$24,840,037.49

LVSS Transaction Enquiry

24.3.1 List headings

Field	Description
Settle Date	Displays the settlement date of the LVSS transaction.
Time Settled	Displays the time of settlement of the LVSS transaction. This field is blank if the transaction is not settled.
Payt Serv	Displays the payment service used in the LVSS transaction.
LVSM	Displays the LVSS Settlement Method used in the LVSS transaction.
Other Bank	Displays the other bank's mnemonic.
Own Branch	Displays the Member's branch mnemonic.
External TRN	Displays the External Transaction ID (TRN) assigned by the creator of the FSI ("Originator") to the LVSS transaction.
Clearing Description	Displays the clearing description field from the FSI.
Status	Displays the status of the LVSS transaction at the time the search was performed.
Amount	Displays the settlement amount of the LVSS transaction.

24.4 Viewing individual transaction details

In the results panel of the LVSS Transaction Enquiry, select a transaction by clicking on the row to view the LVSS Transaction Details screen for that transaction.

LVSS Transaction Details TRN ROYC15424066 BECN 16-Nov-2011 Payment Service Settlement Date Payment Date 15-Nov-2011 Settlement Time 08:49:01 13:00 Settlement Amount Clearing Description \$1,900,000.00 **Clearing File Reference** Payer ID ROYC Optional Clear Details Clearing Details Payee ID BQLQ Originator ID ROYC Settlement Method м Counterparty ID Settlement Details Optional Settle Details BQLQ Credit Items Value \$1,250,000.00 Credit Items Number 1,100 Debit Items Value \$190,000.00 Debit Items Number 290 Close

Details are not available for LVSS clearing interest transactions.

LVSS Transaction Enquiry

24.4.1 Individual transaction detail headings

Field	Description
TRN	Displays the value from the FSI.
Payment Service	Displays the value from the FSI.
Payment Date	Displays the value from the FSI.
Clearing Description	Displays the value from the FSI.
Clearing File Reference	Displays the value from the FSI.
Clearing Details	Displays the value from the FSI.
Originator ID	Displays the value from the FSI.
Counterparty ID	Displays the value from the FSI.
Credit Items Value	Displays the value from the FSI.
Credit Items Number	Displays the value from the FSI.
Debit Items Value	Displays the value from the FSI.
Debit Items Number	Displays the value from the FSI.
Settlement Date	Displays the value from the FSI.
Settlement Time	Displays the value from the FSI.
Settlement Amount	Displays the value from the FSI.
Payer ID	Displays the value from the FSI.
Payee ID	Displays the value from the FSI.
Settlement Method	Displays the value from the FSI.
Settlement Details	Displays the value from the FSI.

24.5 Downloading the enquiry results in PDF format

The LVSS Transaction Enquiry results can be downloaded in PDF format, by selecting the PDF option from the dropdown menu next to the Export checkbox, checking the Export checkbox and selecting Find.

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LVSS Transaction Enquiry

In PDF format the enquiry results appear as follows.

LYS5_Transaction_051211 - Adobe Reader									
File Edit View Window Help									
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05-Dec-2 Settle Date 29-Nov-201 29-Nov-201 29-Nov-201 29-Nov-201 29-Nov-201 29-Nov-201 29-Nov-201 29-Nov-201	Time Settled	Res Payt Serv LVSM CINT M BECN M BECN M BECN M BECN M BECN M	erve Bar LVSS T Other Bank ROYC ROYC ROYC ROYC HKBA ROYC	nk Inform ransaction Own Branch BOLQ45 BOLQLC BOLQLC BOLQLC BOLQLC	Enquiry External TRN RITS12260376 BQL015233454 BQL015767111 BQL015767111 BQL015779685 BQL015779685 BQL015779685	Clearing Description 10:00-31 10:00-34 10:00-33 10:00-21 10:00-32	Status Complete Complete Complete Complete Complete Complete	Amount 	<u>~</u>
29-Nov-201 29-Nov-201 29-Nov-201 29-Nov-201 29-Nov-201	07:44:00 07:44:00 07:44:00 07:44:00 07:44:00 07:44:00	BECN M BECN M BECN M BECN M BECN M	AIBK AIBK HKBA HKBA AIBK	BQLQLC BQLQLC BQLQLC BQLQLC BQLQLC	BQLQ15766889 BQLQ15439902 BQLQ15577342 BQLQ15998334 BQLQ15435506	10:00-11 10:00-12 10:00-22 10:00-23 10:00-13	Complete Complete Complete Complete	-\$2,500,000.00 -\$2,700,000.00 -\$3,200,000.00 -\$4,200,000.00 -\$4,700,000.00	

24.6 Downloading the report in Excel format

The LVSS Transaction Enquiry results can be downloaded in Excel format, by selecting the Excel option from the dropdown menu next to the Export checkbox, checking the Export checkbox and selecting Find.

In Excel format the enquiry results appear as follows.

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	A1	• (•	f_x	Settle	Date								×
	А	В	С	D	E	F	G	Н	1		J	K	L 🗖
1	Settle Date	Time Settled	Payt Serv	/ LVSM	Other Bank	Own Branch	External TRN	Clearing Description	Status	Am	ount		
2	29-Nov-11	07:44:00	CINT	М	ROYC	BQLQ45	RITS12260376		Complete	-	\$835.62		
3	29-Nov-11	07:44:00	BECN	М	ROYC	BQLQLC	BQLQ15233454	10:00-31	Complete	-\$1,000	0,000.00		
4	29-Nov-11	07:44:00	BECN	M	ROYC	BQLQLC	BQLQ15767111	10:00-34	Complete	-\$1,100	0,000.00		
5	29-Nov-11	07:44:00	BECN	M	ROYC	BQLQLC	BQLQ15321095	10:00-33	Complete	-\$1,700	0,000.00		
6	29-Nov-11	07:44:00	BECN	M	нква	BQLQLC	BQLQ15779685	10:00-21	Complete	-\$2,000	J,000.00		
/	29-Nov-11	07:44:00	BECN	M	ROYC	BQLQLC	BQLQ15378234	10:00-32	Complete	-\$2,300	,000.00		
8	29-NOV-11	07:44:00	BECN	IVI N4	AIBK	BQLQLC	BQLQ15766889	10:00-11	Complete	-\$2,500	,000.00		=
9	29-NOV-11	07:44:00	BECN	IVI M		BQLQLC	BQLQ15439902	10:00-12	Complete	-52,700	000.00		
11	29-Nov-11	07:44:00	RECN	M			BQLQ15577542	10:00-22	Complete	-35,200	000.00		
12	29-Nov-11	07:44:00	BECN	M	AIRK	BOLOLC	BOL015/35506	10:00-13	Complete	-\$4,200	000.00		
13	25-1404-11	07.44.00	been	1VI	AIDIX	DQLQLC	5000	10.00-15	complete	-94,700	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
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LVSS Clearing Interest Enquiry

25. LVSS CLEARING INTEREST ENQUIRY

25.1 Key points

- The LVSS Clearing Interest Enquiry provides a cross-reference between a clearing interest transaction and the multiple FSIs comprising it.
- Each row displays details of a single FSI. Multiple rows will display the same clearing interest transaction details, as the clearing interest amounts for those multiple FSIs will have been totalled into a single clearing interest transaction.
- Only settled clearing interest transactions are shown.

25.2 LVSS Clearing Interest Enquiry filter selection screen

Select the **LVSS Clearing Interest Enquiry** from the LVSS tab in the ESA Management menu. The following screen is displayed.

LVSS Clearing Inter	est Enquiry		Ś
Enquiry Filter			۲
Other Bank	All	Settlement Date 16-Nov-2011	
			Find Clear Export Excel

25.2.1 Filter Criteria for LVSS Clearing Interest Enquiry

Field	Description
Other Bank	Select the other Member to the transaction. Or All.
Settlement Date	Select the Settlement Date of transactions, defaults to today or select one of the previous five business days.

25.2.2 Actions

Button	Description
Find	Select Find to display a list of messages based on the filter criteria selected.
Clear	Select Clear to reset all fields to their default values. Any listed messages are also cleared.
Export	Select Export , and either the Excel or PDF option in the adjacent dropdown box, and Find to 'export' a list of messages to an Excel spreadsheet or as a PDF document , based on the filter criteria selected.
Printer Icon	Select the Printer Icon to print the page.

LVSS Clearing Interest Enquiry

25.3 Finding transactions in LVSS Clearing File Enquiry

Once the appropriate filter criteria have been entered, select **Find**. The following screen is displayed.

LVSS Clearing Interest Enquiry										
Enquiry Filter								8		
Other Bank All Settlement Date 16-Nov-2011 Find Clear Export Excel										
					14 Rec	ords found [Fi	rst Previ	ous] 1 [Next Last]		
FSI TRN	Other Bank	Payment Service	Clearing Description	Payment Date	FSI Settlement Amount	Clearing Int Amount	Clearing Int Tran ID	Clearing Int Tran Amount		
BQLQ15454744	ROYC	APCE	EPDE 22:00	15-Nov-2011	\$2,700,000.00	\$369.86	12256716	-\$136.99		
ROYC15427736	ROYC	APCE	EPDE 22:00	15-Nov-2011	-\$3,700,000.00	-\$506.85	12256716	-\$136.99		
BQLQ15437081	ROYC	APCR	PAPER-NSW	15-Nov-2011	\$1,000,000.00	\$136.99	12256717	-\$95.89		
ROYC15457875	ROYC	APCR	PAPER-NSW	15-Nov-2011	-\$1,700,000.00	-\$232.88	12256717	-\$95.89		
ROYC15459127	ROYC	BECN	10:00	15-Nov-2011	\$1,300,000.00	\$178.08	12256718	\$328.76		
BQLQ15421567	ROYC	BECN	10:00-01	15-Nov-2011	-\$1,000,000.00	-\$136.99	12256718	\$328.76		
ROYC15424066	ROYC	BECN	13:00	15-Nov-2011	\$1,900,000.00	\$260.27	12256718	\$328.76		
BQLQ15453285	ROYC	BECN	13:00-01	15-Nov-2011	-\$2,300,000.00	-\$315.07	12256718	\$328.76		
ROYC15454608	ROYC	BECN	18:30	15-Nov-2011	\$3,000,000.00	\$410.96	12256718	\$328.76		
BQLQ15426061	ROYC	BECN	18:30-01	15-Nov-2011	-\$1,700,000.00	-\$232.88	12256718	\$328.76		
ROYC15438138	ROYC	BECN	20:15	15-Nov-2011	\$2,300,000.00	\$315.07	12256718	\$328.76		
BQLQ15457868	ROYC	BECN	20:15-01	15-Nov-2011	-\$1,100,000.00	-\$150.68	12256718	\$328.76		
BQLQ15419300	ROYC	CECS	ATM/EFTPOS	15-Nov-2011	\$2,000,000.00	\$273.97	12256719	-\$13.70		
ROYC1545729	ROYC	CECS	ATM/EFTPOS	15-Nov-2011	-\$2,100,000.00	-\$287.67	12256719	-\$13.70		

25.3.1 List headings

Field	Description
FSI TRN	The external TRN for each underlying FSI.
Other Bank	The other Member for each underlying FSI.
Payment Service	The Payment Service for each underlying FSI.
Clearing Description	The Clearing Description of each underlying FSI.
Payment Date	The Payment Date for each underlying FSI.
FSI Settlement Amount	The settlement amount for each underlying FSI. A negative value is shown in red with a minus sign.
Clearing Int Amount	The amount of the clearing interest that relates to each underlying FSI. A negative value is shown in red with a minus sign.
Clearing Int Tran ID	The Transaction ID assigned by RITS to each clearing interest transaction. Note that a single ID is repeated in the row for each underlying FSI to which the clearing interest transaction relates.
Clearing Int Tran Amount	The total amount of the clearing interest transaction, as identified by the Clearing Int Tran ID. A negative value is shown in red with a minus sign. Note that this field is repeated in the row for each underlying FSI to which the clearing interest transaction relates.

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26. LVSS FILE ENQUIRY

26.1 Key points

- View the content of LVSS files in RITS, including the message type and reject codes.
- View File Settlement Instructions (FSIs), File Settlement Responses (FSRs), File Recall Instructions (FRIs), File Recall Responses (FRRs) and File Settlement Advices (FSAs).
- Users of the Member that sends an FSI ("Originator") will be able to view the FSI and associated Advice(s) and/or Response that the Member received (if any). Users of the other Member to an FSI ("Counterparty") will only be able to view the Advices and/or Response that the Member received (if any).
- Members that use an agent (courier) to send and receive LVSS instructions, advices and responses will be able to view those files. The agent will not be able to view those files.
- LVSS transaction files sent for settlement on a forward date can be viewed in this function by searching with Enquiry Date = today's date.

26.2 LVSS File Enquiry screen

Select **LVSS Enquiry** from the **ESA Management** tab in the menu. The following screen is displayed.

LVSS File Enquiry		I I I I I I I I I I I I I I I I I I I
Enquiry Filter		8
Direction In 💌	Other Member All	Enquiry Date 13-Jan-2011
Message Type All	Payment Service All	
Reject Code All	TRN	Related Reference
		Time Received/Sent From 00 00 00 To
		Find Clear Export

26.2.1 Filter Criteria for LVSS File Enquiry

Field	Description
Direction	Select the Direction - Either In or Out (to or from RITS).
Other Member	Select the other party to the transaction. An All option is provided.
Enquiry Date	Enquire on today's date or any day up to the previous five business days.

LVSS File Enquiry

Field	Description
Message Type	 Select one message type from the following: FSI (File Settlement Instruction), FRI (File Recall Instruction), FSRS (File Settlement Response Settled), FSRU1 (File Settlement Response Rejected), FSRU2 (File Settlement Response Recalled), FSRU3 (File Settlement Response Unsettled at EOD), FRRS (File Recall Response Settled), FRRU1 (File Recall Response Rejected), FRRU1 (File Recall Response Rejected), FRRU2 (File Recall Response Failed), FSA1 (File Settlement Advice 1 - Accepted), FSA2 (File Settlement Advice 2 - Changed SM).
Payment Service	Select the payment service used in the transaction. An <i>All</i> option is provided.
Reject Code	Select from all available Reject codes. An <i>All</i> option is provided. A list of reject codes and descriptions is located in the Appendix of this user guide.
TRN	The TRN of the message. Enter up to 16 characters. Case sensitive.
Related Reference	The TRN of an FSI will be the Related Reference of the associated Response (FSR) and Advice (FSA). The TRN of an FRI will be the Related Reference of the associated Response (FRR).
Time Received/Sent From and TO	Permits searches on Time Received (for In messages) or Sent (for Out message). Use the From and To boxes to enter the time period for which you wish to search. Leave as null to access all messages for the selected enquiry date.

26.2.2 Actions

Button	Description
Find	Select Find to display a list of messages based on the filter criteria selected.
Clear	Select Clear to reset all fields to their default values. Any listed messages are also cleared.
Export	Select Export and Find to 'export' a list of messages to an Excel spreadsheet, based on the filter criteria selected.
Printer Icon	Select the Printer Icon to print the page.

LVSS File Enquiry

26.3 Finding messages in LVSS File Enquiry

Once the appropriate filter criteria have been entered in the **LVSS File Enquiry** screen, select **Find**. The following screen is displayed.

LVSS File Enquiry	y							Ś
Enquiry Filter								8
Direction In 💌		Other N	1ember	All		Enquiry	Date 15-Nov-201	1
Message Type All	•	Payme	nt Service					
Reject Code All		TRN				Related	Reference	
					Time Re	eceived/	Created From 00 0	0 00 то
							Fi	nd Clear Export 🗖
						53 R	ecords found [First	Previous] 1 [Next Last]
Date Time Received/Created ≑	Msg Type	Payment Service	Settle Date	Originator	Counter- party	Reject Code	TRN	Related Reference
15-Nov-2011 16:36:52	FSI	CECS	16-Nov-2011	BQLQ	ROYC		BQLQ15419300	▲
15-Nov-2011 16:36:07	FSI	CECS	16-Nov-2011	BQLQ	HKBA		BQLQ15438881	
15-Nov-2011 16:35:30	FSI	CECS	16-Nov-2011	BQLQ	BCYP		BQLQ15442881	
15-Nov-2011 16:31:14	FSI	APCR	16-Nov-2011	BQLQ	ROYC		BQLQ15437081	
15-Nov-2011 16:30:37	FSI	APCE	16-Nov-2011	BQLQ	ROYC		BQLQ15454744	
15-Nov-2011 16:29:33	FSI	APCR	16-Nov-2011	BQLQ	AIBK		BQLQ15437428	
15-Nov-2011 16:28:37	FSI	APCE	16-Nov-2011	BQLQ	AIBK		BQLQ15449161	
15-Nov-2011 16:20:43	FSI	BECG	16-Nov-2011	BQLQ	ROYC		BQLQ15432950	

26.3.1 List headings

Field	Description
Date Time Received/Sent	The date and time when the message was received by RITS (In messages) or sent from RITS (Out messages).
Msg Type	The LVSS message type.
Payment Service	The payment service used in the transaction.
Settle Date	The settlement date of the transaction.
Originator	The Originator in the LVSS transaction.
Counterparty	The Counterparty in the LVSS transaction.
Reject Code	The reject code for the message, if applicable.
TRN	The TRN of the LVSS message.
Related Reference	The TRN of the related FSI. It is only applicable to FSIs that have been recalled.

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LVSS File Enquiry

26.4 Viewing individual message details

Click on a line item to view the **LVSS File Enquiry Details** screen. This screen will show the details of the message received by RITS (only shown to the Originator) and the related advice or response from RITS if one has been generated at the time of the enquiry.

LVSS File Enquiry Detail	S		Ś
	Message Received at RITS		
Message Typ	e FSI	Payment Service	BECN
File Nam	e FSI.BQLQ.BQLQ15421567.xml	Payer ID	BQLQ
Date/Time Receive	d 15-Nov-2011 16:05:53	Payee ID	ROYC
Originator I	D BQLQ	TRN	BQLQ15421567
Counterparty I	D ROYC	Clearing Description	10:00-01
Settlement Dat	e 16-Nov-2011		
LVSS Settle Metho	d M		
Settlement Amour	nt \$1,000,000.00		
Messag	<pre><?xml version="1.0" encoding="UTF-8"? <FSI xmlns="urn:au:gov:rba:rits:lvss:xs <Hdr> <tx1d>BQLQ15421567</tx1d> <vrsn>1</vrsn> <clrinf> <pmtsvc>BECN</pmtsvc> <pmtdt>2011-11-15</pmtdt> <th>> d:LVSS"></th><th>_ </th></clrinf></pre>	> d:LVSS">	_
	Message Sent by RITS		
Message Type	FSRS	Message ID	L0068378
Message Type Description	FSRSettled	Related Reference	BQLQ16013632
File Name	FSRS.L0068378.BQLQ.BECN.XML		
Date/Time Created	16-Nov-2011 08:55:37		
Time Settled	12:02:21		
Reject Code			
Reject Text			
Message	xml version="1.0" encoding="UTF-8"? <fsrsettled xmlns="urn:au:gov:rba:rits:lvss:xsd:LVSS"> <hdr> <messageid>L0068378</messageid> 1.0 </hdr> <fsiinf> <txid>BQLQ16013632</txid> </fsiinf></fsrsettled>		

LVSS File Enquiry

26.4.1 Display criteria

Field	Description
Message Type	LVSS Instructions received by RITS are indicated by 'FSI' or 'FRI' and LVSS Responses or Advices sent by RITS are indicated by 'FSRS', 'FSRU1', 'FSRU2', 'FSRU3', 'FRRS', 'FRRU1', 'FRRU2', 'FSA1' or 'FSA2'. (See the Appendix for message type descriptions.)

26.4.2 Actions

Button	Description
Close	Select Close to close the details screen and return to the LVSS File Enquiry screen.

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Cash Account Status Queue Management

27. CASH ACCOUNT STATUS QUEUE MANAGEMENT

27.1 Key points

Counterparties of transactions use **Queued Payments Management – Cash** Account Status/Recall Cash Transfers to:

- View transactions on the System Queue.
- Change the Cash Account Status of one or more RITS Transactions.
- Recall single or multiple RITS Cash Transfers from the System Queue. Both the payer and the receiver can recall. (SWIFT and Austraclear payments are recalled from the source systems.)
- Note: It is not possible to recall an LVSS transaction from Queued Payments Management – Cash Account Status/Recall Cash Transfers. The checkbox is not available.
- An attempt to update the Cash Account Status of an LVSS transaction locked in a Multilateral Run will be rejected and a message generated in the Read Message facility.
- It will not be possible to change the Cash Account Status of, or to recall, queued transactions in Reservation Batches.
- It will not be possible to change the Cash Account Status of RITS Allocation Transactions.
- Unlike other transactions on the System Queue, RITS Allocation Transactions (specifically FSSTU) are not recalled using the **Cash Account Status Queue Management** function. Refer to chapter 16 for further information.
- **Tip:** Queue Status updates in this function take several seconds to be effected. Check the status of the update by selecting **Find** to re-query. Do not submit the same update again.

Users must be **linked** to the branch to view and manage payments for that branch in this function.

27.2 Queued Payments Management – Cash Account Status/Recall Cash Transfers screen

Select **Queue Mgt** from the **Cash Account** tab of the **ESA Management** Menu. The following screen is displayed.

Queued Payments	Management - Cash Accour	nt Status/Recall Cash Tr	ansfers 🔗
Enquiry Filter			8
Own Branch	Other Bank All	Evening Flag All 🗸	Transactions All 🗸
Source All 🗸	Batch All V Msg Type	✓ Direction Both ✓	Amount
Cash All	✓ Credit All ✓	esa Ali 🗸	And/Or And V
			Find Clear Export
Advanced			۸
Ext TRN	Tran Type All V	Session All	
	Time Received From 00 00 00	To	

R I T S Cash Account Status Queue Management

27.2.1 Filter criteria for Cash Account Status Queue Management

Field	Description
Own Branch	Filter at the branch level. Select from a list of all branches of the Member. Defaults to an empty field which is equivalent to <i>All</i> .
Other Bank	Defaults to <i>All</i> . Enter the counterparty's four-character mnemonic into this auto-populate field or leave as <i>All</i> to view transactions with all Members.
Evening Flag	Defaults to <i>All</i> . Select <i>All</i> , <i>EVE</i> (transactions eligible for settlement in the Evening Session) or <i>DAY</i> (transactions which are only eligible to settle before the end of the Settlement Close Session).
Transactions	Defaults to All. Select All or TBO (for TBO transactions only).
Source	Defaults to All. Select All or S (SWIFT), A (Austraclear), R (RITS), C (CHESS), B (BATCHES), L (LVSS) or T (RITS Allocation Transactions) to view transactions by source.
Batch	Only displayed if <i>B</i> is selected in Source field. Select the Batch Stream.
Msg Type	Defaults to All. Select from SWIFT payment message types.
Direction	Defaults to <i>Both</i> . Select <i>In</i> (inward payments), <i>Out</i> (outward payments) or <i>Both</i> (all payments). For RITS Allocation Transactions, if 'In' is selected the Tran Type 'FSSWD' will be displayed and if 'Out' is selected, the Tran Type 'FSSTU' will be displayed.
Amount	Enter any positive number up to \$10 billion or leave blank to view all amounts. This filter works in conjunction with Direction . Example: To find outgoing payments of more than \$1 million, enter \$1,000,000 in Amount and <i>Out</i> in Direction.
Cash	Defaults to All. Select Cash Account Status of Deferred, Active, Priority or Active + Priority. Only available when Direction filter selected is Out.
Credit	Defaults to <i>All</i> . Select Credit Status of <i>Deferred</i> , <i>Active</i> , <i>Priority</i> or <i>Active</i> + <i>Priority</i> . Only available when Direction filter selected is <i>Out</i> .
ESA	Defaults to All. Select ESA Status of Deferred, Active, Priority or Active + Priority, Bypass (intra-bank payments are not tested at ESA). Only available when Direction filter selected is Out.

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Cash Account Status Queue Management

Field	Description
And/Or	Defaults to <i>And</i> . Select <i>And</i> - the transaction list populates with all transactions that have the combination of statuses selected in the Cash Account, Credit or ESA boxes, (e.g. if <i>Active</i> is selected for Credit and <i>Deferred</i> is selected for ESA, then only those transactions with both an <i>Active</i> Credit and a <i>Deferred</i> ESA Status are displayed). Select <i>Or</i> - the transaction list populates with any transaction that has the statuses selected in the Cash Account, Credit or ESA boxes, (e.g., if <i>Active</i> is selected for Credit and <i>Deferred</i> is selected for ESA, then all transactions with an <i>Active</i> Credit Status or a <i>Deferred</i> ESA status are displayed).
Ext TRN	Enter an External Transaction ID/Instruction ID/Return ID to view that transaction.
Tran Type	Defaults to All. Transaction type describes the transaction. Select from ACLR, BATCH, CASHT, CHESR, CHESS, CURR, ESINT, FSS, FSEOD, FSSOD, GDES, LVSS, LVSSW, RBAPAY, RTEOD, SWIFT, SWIFTW, WCSH or WFDR. See section 4.2.1 of this user guide for descriptions.
Session	 Defaults to All. Or select: Pre 9am - to view those transactions on the System Queue in the Morning Settlement Session that are eligible to settle in that session, or Post 9am - to view those transactions on the System Queue in the Morning Settlement Session that are not eligible to settle until DAY session opens. Indicated by the 'Post 9AM' flag.
Time Received – From	Defaults to 00:00:00. Enter a time in the format hh:mm:ss.
Time Received – To	Enter a time in the format hh:mm:ss.

The Advanced Filter criteria panel can be minimised by clicking on the arrow indicator on the right hand side of the panel.

27.2	2.2	Actions

Button	Description
Find	Select Find to display the list of transactions eligible to be recalled or to have the Cash Account Status updated based on the filter criteria selected.
Clear	Select Clear to reset all fields to the default values. Any listed transactions are also cleared.
Export	Select Export and Find to 'export' a list of transactions to an Excel spreadsheet, based on the filter criteria selected.

Cash Account Status Queue Management

Button	Description
Print (icon)	Select Print (icon) to print a list of the transactions based on the filter criteria selected.

27.3 Finding transactions in the Queued Payments Management – Cash Account Status/Recall Cash Transfers screen

Once the appropriate filter criteria have been entered in the Queued Payments Management – Cash Account Status/Recall Cash Transfers screen, select **Find.** The following screen is displayed.

Queu	ed Pay	ments	Manag	ement -	· Casl	ı Acc	ount St	atus/	Recall	Casl	n Trans	fers		¢	8
Enquiry	Filter														۲
Own Bran	nch	~	Othe	r Bank Al			Eveni	ing Flag	All 💊	-		Trans	sactions	All	~
Sou	rce All	~	Batch	All	 Msg 	Туре		\sim	Direct	tion Bo	oth 🗸 An	nount [
Ca	ash All	\sim		Credit A	I		\sim	ESA	All		\sim	And/O	r	And	~
											F	ind	Clear	Export	
Advance	ed														8
Summar	v														
Summar	Ľ							Amount	No						×
					Qu	Jeued	, Inward	\$0.00	0						
					Que	ued O	utward 💲	100.00	1						
									1 Reco	ord fou	nd [Firs	t Prev	vious] 1	[Next	Last]
Time Rec 🔶	Pay Bank	Rec Bank	Pay Branch	Rec Branch	Eve Flag \$	Post 9AM	Trans Type	A	mount	¢	CSH Id \$ New	CR 🗧	ESA 🗘	Recall	
15:49:08	BLUE	WEST	BLUE2E	WEST2E			CASHP		-\$10	00.00	Α -] Р	D		
							Submit								
			A	storick /*	\ novt t		emenie in	diantos	Australia	and here	ach				
			An a	isterisk (*) next t	o a mn	iemonic in	dicates	Austracie	ear bra	nch				
		A has	h (#) next	to a trans	action i	ndicate	A hash (#) next to a transaction indicates that it is included in a targeted bilateral offset					offset			

27.3.1 List headings

Field	Description
Time Rec	Displays the time the System Queue received the transaction. Warehoused RITS Cash Transfers and SWIFT payments are placed on the System Queue during overnight processing, usually at around 02:30. Column can be sorted in ascending or descending order.
Pay Bank	Displays the paying Member's mnemonic.
Rec Bank	Displays the receiving Member's mnemonic.
Pay Branch	Displays the paying Member's branch mnemonic.
Rec Branch	Displays the receiving Member's branch mnemonic.
Eve Flag	A 'Y' flag which indicates the transaction is eligible to remain on the System Queue for settlement in the Evening Session. Column can be sorted in ascending or descending order.
Post 9am	A 'Y' flag indicates the transaction is not available for settlement until after the 9am settlement occurs and DAY session is open.
Trans Type	Displays the transaction type which describes the transaction, (e.g. ACPAY, ACREC, BCHPY, BCHRC, CASHP, CASHR, SWPAY, SWREC, LVPAY and LVREC). A full list is available in section 4.2.1 of this user guide.
Amount	Payment amount. Column can be sorted in ascending or descending order. Payments are shown in red with a negative sign. Receipts are shown in black with no sign.
Cash - Old	Displays the existing Cash Account Status of the payment. Column can be sorted in ascending or descending order.
Cash - New	Select a new Cash Account Status of the payment. This is only available to users who have been allocated the <i>Cash Account Queue Management</i> role.
Credit	Displays the Credit Status set by participating Member. Column can be sorted in ascending or descending order.
ESA	Displays the ESA Status set by participating Member. Column can be sorted in ascending or descending order.
Recall	Tick box to recall Cash Transfer from System Queue. This is only available to users who have been allocated the <i>Cash Account Queue Management</i> role.

R I T S Cash Account Status Queue Management

27.4 Update Cash Account Status

In the drop down boxes in the ${\bf New}$ column for the Cash Account Status, select the desired new value.

The statuses (including recalls) for more than one transaction may be updated at the same time.

27.4.1 Actions

Button	Description
Select New	Select a new Cash Account Status for the transaction. $D = Deferred$, $A = Active$, $P = Priority$.
Select Recall Check Box	Select a Cash Transfer transaction to recall.
Submit	Select Submit to make the change.
Select a Transaction	Select a transaction by clicking on it to view details.
Printer Icon	Select the Printer Icon to print the page.

27.5 Viewing individual transaction details

Select a transaction by clicking on it to view the Queued Transaction Details screen.

Queued T	ransa	ction Deta	ils							Ś
Me	ember	RBV1		Other	• Membe	RB	V2		Amount	\$0.00
							11/00			
Trans ID	659388	368			11/00		LVSS	Multileterel		
Ext TRN	RBV1R	BV00035626A			LVSS	settle M	Nethod	Multilateral		
Tran Type	LVREC				Pay	ment S	Service	BECN		
					Clearin	g Desc	ription	13:30-16		
					LVSS MU	Itilater	ral Run			
Queue Tes	st Info	rmation								
Time Activat	ted 1	3:45:57 1:27:42			Last Te	sted	n/a		Fa	iled Tests
Account D	etails									
Cash A	/C 01	13-059-956539	0	Account Lin	nit No	Limit		ESA Balan	ce \$17,	807,151,828.72
Balan	ice \$	90,912,507.19		Priority Balan	ce No	Limit		ESA Sub-Lin	nit \$0.0	0
Sub-Lin	nit n/	/a						Active Balan	ce \$17,	807,151,828.72
Active Balan	Ne N	o Limit								
				C	lose					

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Cash Account Status Queue Management

27.5.1 Individual transaction detail headings

Field	Description
Member	Displays the branch in which the transaction is recorded.
Other Member	Displays the counterparty's branch.
Amount	Displays the amount of the payment. Payments are shown in red with a negative sign. Receipts are shown in black with no sign.
Trans ID	Displays the Transaction ID that is assigned by RITS.
Ext TRN	Displays the External TRN/Instruction ID/Return ID of the transaction.
BIN	Displays the Batch Identification Number, if applicable
Tran Type	Displays the transaction type of the payment (e.g. ACPAY, ACREC, BCHPY, BCHRC, CASHP, CASHR, SWPAY, SWREC, LVPAY and LVREC). Refer to section 4.2.1 of this user guide for the full list.
Pay BIC	Displays the SWIFT BIC of the paying Member. Only visible for SWIFT transactions.
Rec BIC	Displays the SWIFT BIC of the receiving Member. Only visible for SWIFT transactions.
Msg Type	Displays the SWIFT message type. Only visible for SWIFT transactions.
Orig. Msg Type	Displays the original SWIFT message type of the payment return sent via the ISO 20022 CUG of the SWIFT PDS. Only visible for SWIFT messages sent through the ISO 20022 CUG.
ACLR Feeder Tran Type	Displays the Austraclear transaction type. Only visible for Austraclear transactions.
Rec Client ID	Displays the Austraclear Client mnemonic of the receiving Member. Only visible to receiving Member's bank for Austraclear transactions.
Rec Client Account	Displays the bank account used by the receiving Member in Austraclear. Only visible to receiving Member's bank for Austraclear transactions.
Pay Client ID	Displays the Austraclear Client mnemonic of the paying Member. Only visible to paying Member's bank for Austraclear transactions.
Pay Client Account	Displays the bank account used by the paying Member in Austraclear. Only visible to paying Member's bank for Austraclear transactions.
LVSS Settle Method	Displays whether the transaction is queued for I (<i>Individual</i>) or M (<i>Multilateral</i>) settlement. Only visible for LVSS transactions.
Payment Service	Displays the payment service of the transaction. Only visible for LVSS transactions.

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Cash Account Status Queue Management

Field	Description
Clearing Description	Displays the clearing description of the transaction. Only visible for LVSS transactions.
LVSS Multilateral Run	Displays Y if the transaction is currently locked in a Multilateral Settlement Run, otherwise the field is blank. Only visible for LVSS transactions.
Time Activated	Displays the time that the transaction was recognised by the System Queue processor.
Time on Queue	Displays the time spent on the System Queue.
Last Tested	Displays the time the transaction was last tested by the System Queue. To be tested by the System Queue Cash Account, Credit and ESA statuses must be Active or Priority.
Failed Tests	Displays the number of times the transaction has failed System Queue testing. The field will be blank if a transaction has not yet been tested for settlement.
Last Failure	 Displays the reason the transaction last failed System Queue testing. Only available to the paying Member. Cash Account Sub-Limit Failure Cash Account Limit Failure ESA Sub-Limit Failure ESA Limit Failure Multilateral Settle Fail (for Multilateral LVSS transactions)
Cash A/C	Displays the Cash Account number for the transaction.
Balance	Displays the Cash Account Balance at the time the screen was entered.
Sub-Limit	Displays the Cash Account Sub-Limit at the time the screen was entered. If a null Cash Account Sub-Limit is set 'None Set' is displayed. If limit processing is off 'N/A' is displayed.
Active Balance	Displays the Cash Account Active Balance at the time the screen was entered. If limit processing is on and the Sub-Limit is not null the Active Balance = Cash Account Balance – Cash Account Sub- Limit. If limit processing is on and the Cash Account Sub-Limit is null the Active Balance = Cash Account Balance + Cash Account Limit. If limit processing is off 'No Limit' displays.
Account Limit	Displays the limit set for the Cash Account. If limit processing is off 'No Limit' displays.
Priority Balance	Displays the Cash Account Priority Balance at the time the screen was entered. If limit processing is on the Priority Balance = Cash Account Balance + Cash Account Limit. If limit processing is off 'No Limit' displays.
ESA Balance	Displays the RITS Balance at the time the screen was entered.

Cash Account Status Queue Management

Field	Description
ESA Sub-Limit	Displays the ESA Sub-Limit at the time the screen was entered. If a null ESA Sub-Limit is set 'None Set' is displayed.
Active Balance	Displays the RITS Active Balance at the time the screen was entered. RITS Active Balance = RITS Balance – ESA Sub-Limit – Reserved Funds.

27.5.2 Actions

Button	Description
Close	Select Close to return to the list screen.

Override Cash Account Status

28. OVERRIDE CASH ACCOUNT STATUS

28.1 Key points

- An Override Cash Account Status replaces any Cash Account Status set prior to a transaction being sent to the System Queue from the RITS, SWIFT, Austraclear and CHESS-RTGS systems.
- An Override Cash Account Status may be applied to each RITS branch of the Member. They apply to all of the Cash Accounts owned by the branch. Where one of these RITS branches is the paying Member in a transaction, the Override Cash Account Status is applied to the transaction.
- There are four settings available:
 - Active;
 - Priority;
 - *Deferred*; and
 - *Null*. A Null value is represented by a blank.
- Where the override status field is left blank, the Cash Account Status applied to the transaction when it was sent to the System Queue remains unchanged. If no Cash Account Status was set, the System default Status of *Active* is applied.
- The default status applied by the System to Reservation Batch transactions is *Priority*. Override Cash Account statuses will not be applied to transactions in Reservation Batches. The default status applied by the RITS Allocation Transactions is *Priority*. Override Cash Account statuses will not be applied to RITS Allocation Transactions.
- Users must be linked to the branch to set override statuses for that branch.

28.2 Override Cash Account Status screen

Select **Override Status** from the **Cash Account** tab on the **ESA Management** Menu. The following screen is displayed.

			\neg
Branch		Current No	ew
ROYC20		P P	-
ROYC28		A A	-
ROYC2B		P P	•
R0YC2E		P P	•
ROYC30		P P	•
ROYC35		P P	•
ROYCA1		P P	•
ROYCS1		P P	•
Submit	Clear		
Override Cash Account Status

28.2.1 List headings

Field	Description
Branch	Displays all branches of the Member.
Current	Displays the current Override Cash Account Status.
New	Defaults to the current status. Select from: 'A' for Active; 'D' for Deferred; 'P' for Priority; or leave blank for Null. The drop down list is only available to users who have been allocated the Override Cash Account Status – Set Override role.

28.3 Update override Cash Account Status

In the drop down boxes in the $\ensuremath{\text{New}}$ column for Cash Account Status, select the desired new value(s).

The override statuses for more than one branch may be updated at the same time.

28.3.1 Actions

Button	Description
Submit	Select Submit to make the change.
Clear	Select Clear to clear all details entered.
Printer Icon	Select the Printer Icon to print the page.

28.3.2 Override Cash Account Status confirmation

Since actions in this function can impact on many transactions, the user is required to confirm the action.

Override Cash Account Status Conf	firmatio	n	
в	Branch	Current	New
R	ROYC2E	P	A
	O	K Cancel	

28.3.3 Actions

Button	Description
ОК	Select OK to confirm the new Override Cash Account Status.
Cancel	Select Cancel to reject the changes and return to Override Cash Account Status screen.

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Override Cash Account Status

28.3.4 Override Cash Account Status notification

Once the change has been accepted by RITS, the following notification screen is displayed.

Override Cash Account Status Notification	Ś
The amendments to the override Cash Account statuses have been successfully submitted	
Close	

28.3.5 Actions

Close

Select **Close** to return to the Override Cash Account Status screen.

Bulk Cash Account Status

29. BULK CASH ACCOUNT STATUS

29.1 Key points

- The user can change the Cash Account Status of multiple payments for a single branch or all branches.
- Cash Account Status can be changed for payments on the System Queue and/or new transactions entering the System Queue (the override status).
- In order to effect a bulk change of Cash Account Statuses, users must be **linked** to the branch that owns the transactions.
- Status changes will not be applied to transactions in Reservation Batches.
- Status changes will not be applied to RITS Allocation Transactions.

29.2 Bulk Cash Account Status screen

Select **Bulk Status** from the **Cash Account** tab on the **ESA Management** Menu. The following screen is displayed.

You can only apply BU					
	You can only apply BULK Cash Account status changes to transactions of branches to which you are linked				
Do you wish to make bulk status changes to transactions belonging to: Select branch New Cash Account status	 A Single Branch All Branches Please Select Please Select 				
To change statuses according to	your selections:				
I. All transactions currently on the queue					
C 2. All new transactions enter	ing the queue				
© 3. All transactions currently of	on the queue AND all new transactions enterin	a the aueue			
				Submit Clear	
Transaction History Detai	S				
fime		User Logon	Branch	Option	
4-Sep-2006 09:07:04		BQLQ2E71	All	3	
.4-Sep-2006 09:06:49		BQLQ2E71	All	2	
.4-Sep-2006 09:06:36		BQLQ2E71	All	1	
.4-Sep-2006 09:06:22		BQLQ2E71	BQLQ2B	3	
14-Sep-2006 09:06:07		BQLQ2E71	BQLQ2B	2	
14-Sep-2006 09:05:51		BQLQ2E/1	BQLQ2B	1	
13-Sep-2006 16:27:07		BQLQ2E/1	BQLQ40	2	
13-Sep-2006 13:46:51		BQLQ2E71	BQLQ2B	1	
13-Sep-2006 13:43:14		BQLQ2E71	BQLQ2B	1	
		DQLQ2L/I	DQLQ2D	1	

¥

Bulk Cash Account Status

29.2.1 EILLY HEIUS TOT BUIK CASH ACCOULT STATUS	29.2.1	Entry fields for Bulk Cash Account Status
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Field	Description
Do you wish to make bulk status changes to transactions belonging to:	Select A Single Branch or All Branches.
Select Branch	Select a branch only when the radio button 'A Single Branch' is selected.
Select New Cash Status	Select from: <i>A'</i> for <i>Active</i> ; <i>D'</i> for <i>Deferred</i> ; <i>P'</i> for <i>Priority</i> .
Option 1	Apply the new Cash Account Status to all transactions on the System Queue for the branch selected or all branches.
Option 2	Apply the new Cash Account Status to the Override Cash Account Status for the branch selected or all branches.
Option 3	Apply the new Cash Account Status to all transactions on the System Queue and to the Override Cash Account Status for the branch selected or all branches.

29.3 Update bulk Cash Account Status

Make selections using the radio buttons and drop downs in the screen above.

Information appears on the screen after making a selection in the Option radio buttons to assist in the proper use of this function.

29.3.1 Actions

Button	Description
Submit	Select Submit to bulk update the Cash Account Status of transactions.
Clear	Select Clear to reset all fields back to their default state.
Printer Icon	Select the Printer Icon to print the page.

29.3.2 Transaction history details list headings

Field	Description
Time	Displays the date and time the Cash Account Status changes were made.
Actioned By	Displays the user who made the Cash Account Status changes.

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Bulk Cash Account Status

Field	Description
Branch	Displays the branch that the Cash Account Status changes relate to.
Option	Displays the selected option chosen to change.

29.3.3 Bulk changes to Cash Account Status confirmation

Since actions in this function can impact on many transactions, the user is required to confirm the action.

Bulk Changes to Cash Account Status Confirmation	s.
Are you sure that you wish to proceed with the bulk update?	
OK Cancel	

29.3.4 Actions

Button	Description
ОК	Select OK to confirm the bulk update.
Cancel	Select Cancel to return to the Bulk Cash Account Status screen.

29.3.5 Bulk changes to Cash Account Status notification

Once the change has been accepted by RITS, the following notification screen is displayed.

Bulk Changes to Cash Account Status Notification	
Bulk Update of Cash Account status has been processed	
You have selected: 1. All transactions currently on the queue	
With the following branch selection: BQLQ2E	
The following status has been set: A	
Close	

29.3.6 Actions

Button	Description
Close	Select Close to return to the Bulk Cash Account Status screen.

Y

30. CASH ACCOUNT LIMIT

30.1 Key points

- Display a list of *active* status Cash Accounts of the Member.
- Set a Cash Account Limit or turn limit processing off. The Cash Account Limit is the amount up to which the balance of the Cash Account can go into debit.
- Cash Account limit processing must be turned off for all Cash Accounts used in 9am settlement.
- Cash Account limit processing must be turned off for the FS branch Cash Account.
- A Member may set a RITS Cash Account Limit for its own RITS Cash Accounts, including the feeder system clearing branches (e.g. BANKA1).
- Set a deferral block. The deferral block stops changes in the Cash Account Status to *Deferred* from *Active* or *Priority*.
- Where a Cash Account Limit is reduced below the Cash Account balance, the System permits inflows to the Cash Account, but prevents outflows until the cash position is brought back within the Cash Account Limit.
- If a Member changes its option from No Cash Account Limit to setting a Cash Account Limit and the Cash Account balance is in debit beyond the new limit, the System only allows credits to the Cash Account until the balance is brought within the new limit.

30.2 Cash Account Limit screen

Select **Limit** from the **Cash Account** tab on the **ESA Management** Menu. The following screen is displayed.

Cash Account Limit	Ś
Enquiry Filter	۲
State Clearing BSB 124-001 BANK OF TESTING, QUEENSLAND	
	Find Clear

30.2.1 Filter criteria for Cash Account Limit

Field	Description
Branch BSB	Select a Branch BSB from the drop down list to display the Cash Accounts for that BSB.

30.2.2 Actions

Button	Description
Find	Select Find to display information based on filter criteria selected.
Clear	Select Clear to reset all fields back to their default state.
Printer Icon	Select the Printer Icon to print the page.

Y

Cash Account Limit

30.3 Finding transactions in Cash Account Limit

Once the appropriate filter criteria have been entered in the Cash Account Limit screen, select **Find.** The following screen is displayed.

Cash Accou	int Limit			
Enquiry Filter				Ŭ
	State Clearing BSB 124-001 BANK OF T	ESTING, QUEENSLAND 💌		
				Find Clear
Bank Account		Branch	Limit	Sub-Limit
124-001-BBBB		BQLQ07	\$0.00	None set
124-001-BQLQ2E		BQLQ2E	\$99,000,000,000.00	\$9,899,999,999.00
124-001-BQLQA1		BQLQA1	\$0.00	None set
124-001-BQLQS1		BQLQS1	\$0.00	None set
124-001BQLQ60		BQLQ60	\$4,000,000,000.00	\$1,000,000,000.00
124-002-AA		BQLQ01	\$0.00	None set
124-002-TEST		BOLO05	\$0.00	None set

30.3.1 List headings

Field	Description
Bank Account	Displays the Cash Account of the BSB.
Branch	Displays the branch that owns the Cash Account. The status of the branch may be active or inactive.
Limit	Displays the amount of the Cash Account Limit.
Sub-Limit	Displays the amount of the Cash Account Sub-Limit. If no sub-limit is set (i.e. is set to null), 'None set' displays.

30.4 Update Cash Account Limits

Click on any of the accounts listed to update details or Limit as displayed below.

Update Cash Account Limit	
	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
Bank BSB	124-001 BQLQ 0900 TEST
Branch BSB	124-001 BANK OF TESTING, QUEENSLAND
Branch	BQLQ05, BQLQ05
Bank Account	124-002-TEST
Deferral Block	NO
Limit Processing	YES
Current Limit Amount	\$0.00
New Limit Amount	\$0.00
	Submit Cancel

Y

## **Cash Account Limit**

#### 30.4.1 Update Cash Account Limit detail headings

Field	Description
Bank BSB	Displays the bank BSB.
Branch BSB	Displays the branch BSB.
Branch	Displays the branch that owns the Cash Account.
Bank Account	Displays the Cash Account number.
Current Limit Amount	Displays the current Cash Account Limit.
New Limit Amount	Entry field for new Cash Account Limit. Defaults to the current limit amount.

#### 30.4.2 Entry criteria for Update Cash Account Limit

Field	Description
Deferral Block	If a deferral block is set, a Cash Account Status of Active or Priority may not subsequently be set to Deferred by the participant. Select 'Yes' to apply the deferral block.
Limit Processing	When the Limit Processing is set to 'No', Cash Account tests are not done. However, a Cash Account Status of Deferred will continue to prevent settlement.
New Limit Amount	Enter a Limit amount for the selected Cash Account. Must be greater than or equal to \$0.00 and less than \$10 billion.

#### 30.4.3 Actions

Button	Description
Submit	Select <b>Submit</b> to make the change.
Cancel	Select <b>Cancel</b> to clear the entries and return to the Cash Account Limit screen.
Printer Icon	Select the <b>Printer Icon</b> to print the page.

#### 30.4.4 Update Cash Account Limit notification

A notification screen is displayed indicating the updated details.

Update Cash Account Limit Notification
The amendments to the Cash Account Limit have been processed
Close

Y

#### **Cash Account Sub-Limit**

## 31. CASH ACCOUNT SUB-LIMIT

#### 31.1 Key points

- Set a Cash Account Sub-Limit to set-aside funds. This can be a positive or negative amount.
- Where a Cash Account Sub-Limit is set for a particular Cash Account, transactions on that account with a Cash Account Status of:
  - *Priority,* test against the Cash Account Limit;
  - Active, test against any Cash Account balance over and above the Sub-Limit.
- Where no Cash Account Sub-Limit is set, there is no difference between a Cash Account Status of *Priority* and *Active;* both are tested against the Cash Account Limit.
- A user must be linked to a branch before a Cash Account Sub-Limit can be set for that branch.
- Where Cash Account Limit processing is set to *NO*, the Sub-Limit functionality is not available.

#### 31.2 Cash Account Sub-Limit screen

Select **Sub-Limit** from the **Cash Account** tab on the **ESA Management** Menu. The following screen is displayed.

Cash Account Sub-Limit	A CONTRACTOR OF CONTRACTOR OFO
Enquiry Filter	۲
Branch BQLQ2E	Find Clear

#### 31.2.1 Filter criteria for Cash Account Sub-Limit

Field	Description
Branch	Select a branch from the drop down list to display Cash Accounts of that branch.

#### 31.2.2 Actions

Button	Description
Find	Select <b>Find</b> to display information based on filter criteria selected.
Clear	Select <b>Clear</b> to reset all fields back to their default state.
Printer Icon	Select the <b>Printer Icon</b> to print the page.

¥

## **Cash Account Sub-Limit**

## **31.3** Finding details in Cash Account Sub-Limit

Once the appropriate filter criteria have been entered in the Cash Account Sub-Limit screen, select **Find**. The following screen is displayed.

Cash Account Sub-Limit			Ś
Enquiry Filter			8
Branch BQLQ2E			Find Clear
Bank Account	Limit Processing	Limit	Sub-Limit
124-001-BQLQ2E	Y	\$99,000,000,000.00	\$9,899,999,999.00

#### 31.3.1 List headings

Field	Description
Bank Account	Displays the bank account number for all Cash Accounts used by the Branch.
Limit Processing	Indicates with a Y or N if Limit Processing is turned on or off.
Limit	Displays the amount of the Cash Account Limit.
Sub-Limit	Displays the amount of the Cash Account Sub-Limit. If no sub-limit is set (i.e. set to null) 'None Set' is displayed.

#### 31.4 Update Cash Account Sub-Limit

Click any of the accounts listed to update the sub-limit. The following screen is displayed.

Enter the new value into the **New Sub-Limit** field.

Update Cash Account Sub-Limit	
	~
Branch	BQLQ2E BQLQ 0900 TEST
Bank Account	124-001-BQLQ2E
Limit Processing	Y
Limit Amount	\$99,000,000,000.00
Current Sub-Limit	\$9,899,999,999.00
New Sub-Limit	\$9,899,999,999.00
[	Submit Cancel

## **Cash Account Sub-Limit**

#### 31.4.1 Actions

Field/Button	Description
New Sub-Limit	Enter a new Sub-Limit amount for the selected Cash Account. It can be a positive or negative amount or null. Null means that no sub- limit is set. Must be greater than or equal to the debit amount implied by the Cash Account Limit. For example, for a Cash Account limit of 100 (a debit of -100), the sub-limit cannot be less than -100.
Submit	Select <b>Submit</b> to make the change.
Cancel	Select <b>Cancel</b> to clear the entry and return to the Cash Account Sub- Limit screen.
Printer Icon	Select the <b>Printer Icon</b> to print the page.

## 31.4.2 Update Cash Account Sub-Limit notification

A notification screen is displayed indicating that the update has been submitted.

Update Cash Account Sub-Limit Notification	)
The amendment to the Cash Account Sub-Limit has been processed	
Close	

Y

# **32. CASH ACCOUNT ENQUIRY**

## 32.1 Key points

- View Cash Account balances.
- View transactions that have settled against these Cash Accounts.

## 32.2 Cash Account Enquiry screen

Select **Enquiry** from the **Cash Account** tab of the **ESA Management** Menu.

The screen is automatically populated with all Cash Accounts of the Member.

Cash Account Enquiry							
Enquiry Filt	ter						۲
Enquir	Enquiry Date 08-May-2009 Select the account that you wish to enquire on						
Owner	Bank Account	Balance	Limit	Sub-Limit	Deferral Block	Select account	
BQLQ01	124-002-AA	\$0.00	No Limit	N/A	N	۲	-
BQLQ05	124-002-TEST	\$0.00	No Limit	N/A	N	0	
BQLQ07	124-001-BBBB	\$0.00	No Limit	N/A	N	0	
Select branch if more than one branch uses this account All							

#### 32.2.1 Cash Account list headings

Field	Description
Owner	Displays the branch that owns the Cash Account.
Bank Account	Displays the number of the Cash Account.
Balance	Displays the current balance of the Cash Account.
Limit	Displays the limit set for the Cash Account. If limit processing is set to `N', `No Limit' displays.
Sub-Limit	Displays the sub-limit set for the Cash Account. If no sub-limit has been set (i.e. is set to null) 'None Set' displays. If limit processing is set to 'N', 'N/A' displays.
Deferral Block	If a deferral block is set, a Cash Account Status of Active or Priority may not subsequently be set to <i>Deferred</i> by the participant. Where a Member has set a Deferral Block on a Cash Account this field displays 'Y', otherwise 'N'.

## **Cash Account Enquiry**

32.2.2	Filter criteria for Cash Account E	Enquiries

Field	Description
Enquiry Date	The default is the current date. The previous five business days can be selected.
Select account	Select the Cash Account that you wish to enquire upon by clicking on the radio button provided.
Select branch if more than one branch uses this account	Select a branch from this list to filter transactions in the account selected.

#### 32.2.3 Actions

Button	Description
Find	Select <b>Find</b> to display a list of Cash Accounts based on the filter criteria selected. Searches are limited to 10,000 records. If the search covers more than 10,000 records, the search is terminated and a message is displayed. If this occurs, refine the search using the filters provided, or download the data using <b>Export</b> and <b>Find</b> . The transaction limit does not apply to data requests using Export.
Export	Select <b>Export</b> and Find to 'export' a list of transactions to an Excel spreadsheet, based on the filter criteria selected.
Clear	Select <b>Clear</b> to reset all fields back to their default state.
Printer Icon	Select the <b>Printer Icon</b> to print the page.

## **32.3** Finding transaction details in Cash Account Enquiry

Once the appropriate filter criteria have been entered in the Cash Account Enquiry screen, select **Find.** The following screen is displayed.

Cash Account Enquiry												
Enquiry Filte	er											۲
Enquiry	Date 08-Ma	y-2009 💌					Se	lect the account t	hat	you wish to e	enquire on	
Owner	Bank Account		Balance		Li	mit		Sub-Limit		Deferral Block	Select account	
BQLQ01	124-002-AA		4	\$0.00			No Limit	1	N/A	N	0	-
BQLQ05	124-002-TEST		\$0.00				No Limit	1	N/A	N	0	
BQLQ07	124-001-BBBB		4	\$0.00			No Limit	1	N/A	N	0	]_
Select branch if more than one branch uses this account All  Find Clear Export												
1 Record found [First   Previous] 1 [Next   Last]												
*If using the 'Select Branch' filter the balance will be the running total of the records retrieved												
Trans Type	Branch	Member	Date	Time	Completed	•	4	Amount		Balance	ð*	
9AMCR	BQLQ2E	BQLQ2E	08-May-2009		07:56:07			\$6,160,891.40		\$6,	160,891.40	0

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## **Cash Account Enquiry**

## 32.3.1 List headings

Field	Description
Trans Type	Displays the transaction type. See section 4.2.1 in this user guide for a complete list.
Branch	Displays the mnemonic of the branch in which the transaction was entered.
Other Member	Displays the counterparty's branch mnemonic.
Date	Displays the date the transaction was completed.
Time Completed	Displays the time the transaction was completed.
Amount	Displays the amount of the transaction. Payments are shown in red with a negative sign. Receipts are shown in black with no sign.
Balance	Displays a running balance of the Cash Account. Note that if a specific branch filter is selected, the Balance is a running total of the records retrieved.

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Appendices

# **33.** APPENDIX 1: AIF, CHESS-RTGS AND BATCH FEEDER MESSAGES

## 33.1 MT Messages

#### COMMANDS

мт	Sub Message Type	Message Description
198	001	Recall Request
198	002	Recall Response
198	004	Change ESA Status Request
198	005	Change ESA Status Response
198	007	Change Credit Status Request
198	008	Change Credit Status Response
198	013	Change ESA Sub-Limit Request
198	014	Change ESA Sub-Limit Response
198	031	Change ESA and Credit Status Request
198	032	Change ESA and Credit Status Response
ENQUI	RIES	
920		ESA Balance Enquiry Request (941)
920		ESA Statement Intraday Request (942)
941		ESA Balance Response
942		ESA Statement Intraday Response
198	016	ESA Balance Request Reject
198	017	ESA Statement Intraday Reject
198	018	Client Cash Account Balance Intraday Request
198	019	Client Cash Account Balance Intraday Response
UNSOL	ICITED ADVICES	
941		ESA Balance Start-of-Day Advice
198	003	Recall Advice
198	006	Change ESA Status Advice (directly in RITS)
198	009	Change Credit Status Advice (directly in RITS)
198	015	ESA Sub-Limit Change Advice

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198	026	Client Cash Account Balances End-of-Day Advice
198	027	Pre-Settlement Advice (Credit Level - Austraclear)
198	028	Pre-Settlement Advice (Credit Level)
198	029	Pre-Settlement Advice (ESA Level)
198	030	Time Period Advice - Start of Day
198	034	Broadcast Message
198	036	Post-Settlement Advice – Debit (Intrabank or Interbank)
198	037	Post-Settlement Advice – Credit (Intrabank or Interbank)
198	038	Unsettled Transaction – End-of-Day Advice
198	039	RITS Holiday Advice
198	041	Pre-Settlement Advice (Pending Credit)
198	936	Post-Settlement Intrabank Debit
198	937	Post-Settlement Intrabank Credit
942	001	ESA Interim Statement Advice
950	111	ESA Statement End of Day Summary Advice
950	222	ESA Statement End of Day Advice
950	888	ESA Statement End-of-Day Advice (at Interim Session)
950	999	ESA Statement End-of-Day Advice (at Final Close)
CHESS-	RTGS	
198	121	CHESS Feeder Settlement Request
198	122	CHESS Feeder Settlement Response
198	123	CHESS Feeder Recall Request
198	124	CHESS Feeder Recall Response
BATCH	FEEDER (Settlement-o	nly Batches)
198	131	Batch Feeder Settlement Request
198	132	Batch Feeder Settlement Response
198	133	Batch Feeder Recall Request
198	134	Batch Feeder Recall Response

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## Appendices

## 33.2 ISO 20022 Messages

COMMANDS				
Message Type	Message Acronym	Message Full Description		
camt.007	ECSQ	Change ESA and Credit Status Request		
camt.025	ECSS	Change ESA and Credit Status Response		
camt.056	RECQ	Recall Request		
camt.029	RECS	Recall Response		
ENQUIRIES				
camt.060	BAEQ	ESA Balance Enquiry Request (941)		
camt.052	BAES	ESA Balance Response		
camt.025	BAER	ESA Balance Request Reject		
camt.060	SINQ	ESA Statement Intraday Request (942)		
camt.052	SINR	ESA Statement Intraday Response		
camt.025	STIR	ESA Statement Intraday Reject		
camt.060	UNKN	Unresolved Request		
camt.025	GREJ	General Reject Notification		
	ADVICES			
admi.004	RHOL	RITS Holiday Advice		
admi.004	UNSA	Unsettled Transaction – End-of-Day Advice		
admi.004	TPUA	Time Period Advice - Start of Day		
camt.025	CCSA	Change Credit Status Advice (directly in RITS)		
camt.025	CESA	Change ESA Status Advice (directly in RITS)		
camt.025	REUA	Recall Advice		
camt.052	CCAB	Client Cash Account Balances End-of-Day Advice		
camt.052	REIA	ESA Interim Statement Advice		
camt.052	SODB	ESA Balance Start-of-Day Advice		
camt.053	STEA	ESA Statement End of Day Advice		
camt.053	STES	ESA Statement End of Day Summary Advice		
camt.053	STIS	ESA Statement End-of-Day Advice (at Interim Session)		
camt.053	STRS	ESA Statement End-of-Day Advice (at Final Close)		
camt.054	PRAC	Pre-Settlement Advice (Credit Level)		

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camt.054	PACL	Pre-Settlement Advice (Credit Level - Austraclear)
camt.054	POCE	Post-Settlement Advice – Interbank Credit
camt.054	POCA	Post-Settlement Intrabank Credit
camt.054	PODE	Post-Settlement Advice – Interbank Debit
camt.054	PODA	Post-Settlement Intrabank Debit

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## Appendices

# 34. APPENDIX 2: LVSS MESSAGES

Msg	Description
FSI	File Settlement Instruction
FRI	File Recall Instruction
FSRS	File Settlement Response Successful (Settled)
FSRU1	File Settlement Response Unsuccessful 1 (Rejected)
FSRU2	File Settlement Response Unsuccessful 2 (Recalled)
FSRU3	File Settlement Response Unsuccessful 3 (Unsettled at EOD)
FRRS	File Recall Response Successful (Recalled)
FRRU1	File Recall Response Unsuccessful 1 (Rejected)
FRRU2	File Recall Response Unsuccessful 2 (Failed)
FSA1	File Settlement Advice 1 (Accepted)
FSA2	File Settlement Advice 2 – (Changed SM [Settlement Method])

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## Appendices

## 35. APPENDIX 3: REJECT/REASON CODES¹

The following reject codes will be returned by RITS for: SWIFT Payments in the MT CUG of the SWIFT PDS; AIF MT messages; and LVSS XML messages.

Reject Code	Description
50	Insufficient funds
51	Processing error
60	Did not make FIN-Copy cut-off time
61	Did not make SWIFT Payment cut-off time
62	Unable to process update - LVSS Multilateral Settlement testing in progress
63	Invalid XML root element
64	Invalid LVSS Payment Service
65	Invalid LVSS Settlement Method
66	Cash Account Status not A D or P
67	Unauthorised LVSS Participant
68	Invalid Payment Date/Settlement Date combination
70	Payment Order (Transaction ID) does not exist
71	Payment Order already has this status
72	Payment Order Settled
73	Unauthorised Command/Enquiry
74	Duplicate TRN (for this date)
75	RTGS Closed
76	Bank code does not exist
77	Bank suspended
78	Value date is prior to current date
79	Value date is more than 7 days in advance of current date
80	ESA Status is not A D or P
81	Credit Status is not A D or P
82	This Cash Account does not exist
83	Request not valid during this period (RITS/RTGS State)
84	Warehoused payments not accepted from feeder system
85	Message recalled

¹ For FSS-related Reason Codes, refer to the *Information Paper: FSS Message Specifications* which is available on the *RITS Information Facility*.

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86	Message unsettled at end of day
87	Does not meet message format standards
88	Sub-Message type does not exist
89	MAC\PAC check failed
90	Message not valid during SWIFTEVE RITS/RTGS
91	Message not valid during SWIFTFINAL RITS/RTGS
92	Rejected by RITS/RTGS because no evening agreement or ineligible transaction source or ineligible party
93	Rejected by RITS/RTGS because one or more counterparties is not a bank (ACLR or ASX Feeder transactions)
94	Message not valid during SWIFTDAY RITS/RTGS
95	Rejected by RITS/RTGS because ineligible participants in Batch Stream
96	Rejected by RITS/RTGS because batch does not sum to \$0.00

The following ISO 20022 reject reason codes will be returned by RITS for SWIFT Payments in the ISO 20022 CUG of the SWIFT PDS and for AIF ISO 20022 messages.

Reason Code	Description
AC01	Invalid or missing ESA number
AC06	Blocked Account
AG03	Transaction Not Supported
AGNT	Rejected by RITS due to failed validation
AM12	Invalid Amount
CURR	Incorrect Currency
CUST	Recalled by customer
	(e.g. using an AIF command)
DT01	Interbank Settlement Date invalid
DUPL	Duplicate Payment
ED05	Message unsettled at end of day
LEGL	Settlement has already occurred
NOOR	The original transaction was never received
RC05	Invalid BIC identifier
TD03	Incorrect file structure
TM01	Invalid Cut Off Time
UM28	Invalid new priority status