



Identification Reference - Australian Company, Association or Co-operative

(Know Your Customer)

1 Full Name of Company, Association or Co-operative

2 Is the Company/Association/Co-operative registered?

No You must supply an original or certified* copy of one Identification Document (the rules or constitution or recent minutes of the Association or Co-operative) to assist with verification of this entity.

Yes

Full address of the registered office

 Postcode

3 Is it registered with ASIC?

ACN

Yes

No You must supply an original or certified* copy of one Identification/Registration Document from the relevant State/Territory registration body to assist with verification of this entity. (Alternatively, Co-operatives can supply information from their register or recent minutes).

Unique Identifying/Registration Number

4 Full Address of Place of Business, Administration, or Operations (if any). Alternatively, if an Association, provide the residential address of the public officer or, if there is no such person, the Association's President, Secretary or Treasurer. If a Co-operative, provide the residential address of the Co-operative's secretary or, if there is no such person, the Co-operative's President or Treasurer. (if same as address provided in 2 above write 'as above')

 Postcode

5 Proprietary/Private Companies, Associations and Co-operatives only, Name(s) of each Company Director or Association/Co-operative Chairman, Secretary and Treasurer (or equivalent in each case).

Full name (surname last) and Official Position (attach list if more than 3 names)

6 Proprietary/Private Companies only. Is the company licensed and subject to regulatory oversight by a Commonwealth, State or Territory statutory regulator?

Yes

No

Full Name(s) and address of any individual who owns, through one or more share holdings, more than 25% of the issued capital of the company.

Full name (surname last) and residential address

Authorised Officer(s) Details

- An Authorised Officer must be a director of the company or office holder of the Association/Co-operative.
- The Authorised Officer(s) must supply an original or certified* copy of one Identification document to establish that they hold this position. The Authorised Officer(s) of a registered Company or Association/Co-operative must obtain a recent director's listing (or equivalent) from the relevant registration body or their approved information broker(s) showing each Authorised Officer's name, their official position, address and date of birth. The Authorised Officer(s) of an unregistered Association must supply the recent minutes of the Association showing each Authorised Officer's name and official position.
- The Authorised Officer must be identified using the Identification Reference - Individual (KYC) form A055, except if previously authorised.

7 First Authorised Officer

Full name (surname last) and Official Position

Signature

Second Authorised Officer (if required)

Full name (surname last) and Official Position

Signature

* For a list of certifying officers, see Identification Reference - Individual (KYC) form A055.

Note: It is an offence under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 to make a false or misleading statement or to produce false or misleading documents.

Privacy: The information provided by you on this form to verify the identity of the Company, Association, or Co-operative is collected in accordance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 and Rules. Information may be disclosed to the Australian Transaction Reports and Analysis Centre (AUSTRAC) or as other legislation allows or requires.

Verification of Domestic Company/Association/Co-operative

Registered Company

Company name as registered by ASIC	Verification
<input style="width: 400px; height: 20px;" type="text"/>	<input style="width: 50px; height: 20px;" type="checkbox"/>
ACN issued	
<input style="width: 400px; height: 20px;" type="text"/>	<input style="width: 50px; height: 20px;" type="checkbox"/>
Proprietary Company or Public Company	
<input style="width: 400px; height: 20px;" type="text"/>	<input style="width: 50px; height: 20px;" type="checkbox"/>

Check www.asic.gov.au

Registered Association/Co-operative

Source of ID Document	Verification
<input style="width: 400px; height: 20px;" type="text"/>	<input style="width: 50px; height: 20px;" type="checkbox"/>
Full Name of Association/Co-operative	
<input style="width: 400px; height: 20px;" type="text"/>	<input style="width: 50px; height: 20px;" type="checkbox"/>
ACN issued by ASIC or Unique number of other state/territory body	
<input style="width: 400px; height: 20px;" type="text"/>	<input style="width: 50px; height: 20px;" type="checkbox"/>

Identification/registration document must be supplied by the Association (from the relevant registration body) or Co-operative (register or recent minutes of the Co-operative or obtained from the relevant registration body).

Unregistered Association

Type of ID Document	Verification
<input style="width: 400px; height: 20px;" type="text"/>	<input style="width: 50px; height: 20px;" type="checkbox"/>
Full Name	
<input style="width: 400px; height: 20px;" type="text"/>	<input style="width: 50px; height: 20px;" type="checkbox"/>

Identification document must be supplied by the Association (rules, constitution or recent minutes of the association).

Verification of First Authorised Officer Position

Verification of Second Authorised Officer Position (if any)

Type of ID Document	Verification	Type of ID Document	Verification
<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>	<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>
Issued by		Issued by	
<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>	<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>
Full Name (surname last)		Full Name (surname last)	
<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>	<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>
Official position of person		Official position of person	
<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>	<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>
Date of Birth (if shown)		Date of Birth (if shown)	
<input style="width: 140px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>	<input style="width: 140px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>
Address of person (if shown)		Address of person (if shown)	
<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>	<input style="width: 350px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="checkbox"/>

Identification document must be supplied by the Authorised Officer(s) of a registered Company/Association/Co-operative from the relevant registration body or their approved information broker(s). If the Association is unregistered, the Authorised Officer(s) must supply recent minutes of the Association.

Entity in TRIM Initials	<input style="width: 50px; height: 20px;" type="text"/>	Accepted Initials	<input style="width: 50px; height: 20px;" type="text"/>	/ /		Checked Initials	<input style="width: 50px; height: 20px;" type="text"/>	/ /	
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